

## On smooth projective threefolds with non-trivial surjective endomorphisms

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The main purpose of this paper is to announce the structure of non-singular projective threefold  $X$  with a surjective morphism  $f: X \rightarrow X$  onto itself, which is not an isomorphism.

We call it a non-trivial surjective endomorphism of  $X$ .

The details will be published elsewhere.

**Lemma 1.** Let  $f: X \rightarrow X$  be a surjective morphism from a non-singular projective variety  $X$  onto itself. Then

- (1)  $f$  is a finite morphism.
- (2) If  $\kappa(X) \geq 0$ ,  $f$  is a finite étale morphism.
- (3) If  $f$  is a finite étale morphism, then  $\chi(\mathcal{O}_X) = \deg(f) \cdot \chi(\mathcal{O}_X)$ .

The structure of algebraic surfaces with a non-negative Kodaira dimension, which admit a non-trivial surjective endomorphism, are fairly simple. They are minimal and by taking a finite étale covering, isomorphic to an abelian surface or a direct product of an elliptic curve and a smooth curve of genus  $\geq 2$ . In this note, we are mainly concerned with the case where  $X$  is a smooth projective threefold with non-negative Kodaira dimension  $\kappa(X)$ . Contrary to the case of algebraic surfaces, they are not necessarily minimal, but similar results also hold in this case. We cannot drop the assumption that  $f: X \rightarrow X$  is a morphism. There are infinitely many examples which admit a generically finite rational map  $f: X \dashrightarrow X$  of degree  $\geq 2$ , eg. a Kummer surface, or a relatively minimal elliptic surface with a global section.

**Notations.** In the present note, by a smooth projective  $n$ -fold  $X$ , we mean a non-singular projective manifold of dimension  $n$  defined over  $\mathbf{C}$ .

$K_X$ : the canonical bundle of  $X$

$\kappa(X)$ : the Kodaira dimension of  $X$

$\chi(\mathcal{O}_X)$ : the Euler-Poincaré characteristic of the structure sheaf  $\mathcal{O}_X$

$N_1(X) := (\{1\text{-cycles on } X\}) / \equiv \otimes_{\mathbf{Z}} \mathbf{R}$ , where  $\equiv$  means a numerical equivalence.

$NE(X)$ : the smallest convex cone in  $N_1(X)$  containing all effective 1-cycles.

$\overline{NE}(X)$ : Kleiman-Mori cone of  $X$ , ie. the closure of  $NE(X)$  in  $N_1(X)$  for the metric topology.

$\rho(X) := \dim_{\mathbf{R}} N_1(X)$ , the Picard number of  $X$ .

The next propositions, which are direct consequences of Mori theory [1], play a key role in this paper.

**Proposition 2.** Let  $f: Y \rightarrow X$  be a finite, étale covering between smooth projective  $n$ -folds with  $\rho(X) = \rho(Y)$ .

Then  $f^*: N_1(X) \rightarrow N_1(Y)$  (resp.  $f_*: N_1(Y) \rightarrow$

$N_1(X)$ ) is isomorphic and  $f^* \overline{NE}(X) = \overline{NE}(Y)$

(resp.  $f_* \overline{NE}(Y) = \overline{NE}(X)$ ).

Moreover, if the canonical bundle  $K_X$  of  $X$  (hence  $K_Y \simeq f^* K_X$ ) is not nef, there is a one to one correspondence between

{extremal rays on  $\overline{NE}(X)$ } and {extremal rays on  $\overline{NE}(Y)$ } under the above isomorphisms  $f^*$  and  $f_*$ .

**Proposition 3.** Let  $X, Y$  be non-singular projective threefolds with non-negative Kodaira dimensions. Assume that  $X$  and  $Y$  have the same Picard number and there exists a finite étale covering  $f: Y \rightarrow X$ , which is not an isomorphism.

If the canonical bundle  $K_X$  of  $X$  (hence  $K_Y \simeq f^*(K_X)$ ) is not nef, then Mori's extremal contractions of  $X$  (resp.  $Y$ ),  $\text{Cont}_R: X \rightarrow X'$  (resp.  $\text{Cont}_{\tilde{R}}: Y \rightarrow Y'$ ), associated to each extremal ray

$R$  of  $\overline{NE}(X)$  (resp.  $\tilde{R}$  of  $\overline{NE}(Y)$ ), is a birational divisorial contraction, which is (inverse of) the blow-up along a smooth curve  $C$  (resp.  $\tilde{C}$ ) on  $X'$  (resp.  $Y'$ ). Moreover  $C$  (resp.  $\tilde{C}$ ) is not  $\mathbf{P}^1$  and if  $f^*(R) = \tilde{R}$ , the following commutative diagram holds.

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