76. Criteria for the Finiteness of Restriction of U(g)-modules to Subalgebras and Applications to Harish-Chandra Modules

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Let g be a finite-dimensional complex Lie algebra, and U(g) be the universal enveloping algebra of g. In this paper, we give simple and useful criteria for finitely generated U(g)-modules H to remain finite under the restriction to subalgebras $A \subset U(g)$, by using the algebraic varieties in g^* associated to H and A. It is shown that, besides the finiteness, the U(g)-modules H satisfying our criteria preserve some important invariants under the restriction.

Applying the criteria to Harish-Chandra modules of a semisimple Lie algebra g, we specify among other things, a large class of Lie subalgebras of g on which all the Harish-Chandra modules are of finite type. This allows us to extend largely the finite multiplicity theorems for induced representations of a semisimple Lie group, established in our earlier work [8].

1. Associated varieties for finitely generated U(g)-modules. We begin with defining three important invariants: the associated variety, the Bernstein degree and the Gelfand-Kirillov dimension, of finitely generated modules over a complex Lie algebra (cf. [6]).

Let V be a finite-dimensional complex vector space. We denote by $S(V) = \bigoplus_{k=0}^{\infty} S^k(V)$ the symmetric algebra of V, where $S^k(V)$ is the homogeneous component of S(V) of degree k. Let $M = \bigoplus_{k=0}^{\infty} M_k$ be a finitely generated, nonzero, graded S(V)-module, on which S(V) acts in such a way as $S^k(V) M_{k'} \subset M_{k+k'}$ $(k, k' \ge 0)$. Then each homogeneous component M_k of M is finite-dimensional.

Proposition 1 (Hilbert-Serre, see [9, Ch. VII, §12]). (1) There exists a unique polynomial $\varphi_M(q)$ in q such that $\varphi_M(q) = \dim(M_0 + M_1 + \cdots + M_q)$ for sufficiently large q.

(2) Let $(c(M)/d(M)!)q^{d(M)}$ be the leading term of φ_M . Then c(M) is a positive integer, and the degree d(M) of this polynomial coincides with the dimension of the associated algebraic cone

(1.1) $\nu(M) := \{ \lambda \in V^* \mid f(\lambda) = 0 \text{ for all } f \in \operatorname{Ann}_{S(V)} M \}.$

Here, $\operatorname{Ann}_{S(V)}M$ denotes the annihilator of M in S(V), V^* the dual space of V, and S(V) is identified with the polynomial ring over V^* in the canonical way.

For a finite-demensional complex Lie algebra g, let $(U_k(\mathfrak{g}))_{k=0,1...}$ denote the natural filtration of enveloping algebra $U(\mathfrak{g})$ of g, where $U_k(\mathfrak{g})$ is the subspace of $U(\mathfrak{g})$ generated by elements $X_1 \ldots X_m$ with $m \le k$ and $X_j \in \mathfrak{g}(1$ $\le j \le m$). We identify the associated commutative ring gr $U(\mathfrak{g}) = \bigoplus_{k \ge 0}$