

### 38. Solution of a Problem of Yokoi

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In [12]–[16] Yokoi studied what he called  $p$ -invariants for a real quadratic field  $Q(\sqrt{p})$  where  $p \equiv 1 \pmod{4}$  is prime. In [9] we generalized this concept to an arbitrary real quadratic field  $Q(\sqrt{d})$  where  $d$  is positive and square-free. We provided numerous applications including bounds for fundamental units and an investigation of the class number one problem related to non-zero  $n_d$ , (defined below). It is the purpose of this paper to give a complete list and a proof that the list is valid (with one possible value remaining) of all  $Q(\sqrt{d})$  having class number  $h(d)=1$  when  $n_d \neq 0$ . Moreover we show that if the exceptional value of  $d$  exists then it is a counterexample to the Generalized Riemann Hypothesis. This completes the task of Yokoi begun in [15]–[16].

In what follows the fundamental unit  $\varepsilon_d(>1)$  of  $Q(\sqrt{d})$  is denoted  $(t_d + u_d\sqrt{d})/\sigma$  where  $\sigma = \begin{cases} 2 & \text{if } d \equiv 1 \pmod{4} \\ 1 & \text{if } d \equiv 2, 3 \pmod{4} \end{cases}$ . Now set:

$$B = ((2t_d)/\sigma - N(\varepsilon_d) - 1)u_d^2$$

where  $N$  is norm from  $Q(\sqrt{d})$ . This boundary  $B$  was studied in [4], [5] and [14].

The following generalizes Yokoi's notion of a  $p$ -invariant  $n_p$  where  $p \equiv 1 \pmod{4}$  is prime (see [12]–[16]).

Let  $n_d$  be the nearest integer to  $B$ ; i.e.,

$$n_d = \begin{cases} \lfloor B \rfloor & \text{if } B - \lfloor B \rfloor < \frac{1}{2} \\ \lfloor B \rfloor + 1 & \text{if } B - \lfloor B \rfloor > \frac{1}{2} \end{cases}$$

(where  $\lfloor x \rfloor$  is the greatest integer less than or equal to  $x$ ).

In [9] we proved the following:

**Theorem 1.** *Let  $d > 0$  be square-free and let  $u_d > 2$ . Then the following are equivalent:*

- (1)  $n_d = 0$
- (2)  $t_d > 4d/\sigma$
- (3)  $u_d^2 > 16d/\sigma^2$ .

The above generalizes the main result of Yokoi in [12].

We also proved in [9] the following consequences of Theorem 1.

**Corollary 1.** *If  $n_d \neq 0$  then  $\varepsilon_d < 8d/\sigma^2$ .*

**Corollary 2.** *If  $n_d \neq 0$  then there are only finitely many  $d$  with  $h(d)=1$ .*

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