

76. Counting Points in a Small Box on Varieties

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§ 1. Let $G_i(X_1, \dots, X_n)$ $i=1, 2, \dots, s$ be forms with rational integer coefficients of degree ≥ 2 and $n \geq 4$. Let p be a prime and Q a box in $\mathbf{R}^n$, $Q = \{\mathbf{x} \in \mathbf{R}^n; |x_i - a_i| < B_i \ i=1, \dots, n\}$. Consider a system of congruences

$$G_i(X_1, \dots, X_n) \equiv 0 \pmod{p} \quad i=1, \dots, s.$$

We are interested in the number of solutions $\mathbf{x}=(x_1, \dots, x_n)$ of these congruences, lying in a given relatively small box Q in $\mathbf{R}^n$. We write $N(G_1, \dots, G_s, Q)$ or $N(\mathbf{G}, Q)$ briefly for that number. Namely,

$$N(\mathbf{G}, Q) = \#\{\mathbf{x} \in \mathbf{Z}^n \cap Q; \mathbf{G}(\mathbf{x}) \equiv 0 \pmod{p}\}.$$

In case $Q=[0, p]^n$, there is a classical theorem of Lang and Weil [10] and a far-reaching result of Deligne [6] for nonsingular $\mathbf{G}$. When solutions in a small box Q are considered, a delicate handling is required since there are no nontrivial solutions at all if Q is too small; $X_1^d + \dots + X_n^d \equiv 0 \pmod{p}$, d even, has nontrivial solutions only if $\max |x_i| \gg p^{1/d}$. G. Meyerson [12] and R. C. Baker [1] gave sufficient conditions for $N > 1$. On the other hand W. M. Schmidt [5], though not explicitly mentioned, virtually showed that, under certain nonsingularity condition, $N \sim |Q|/p^s$ for a cube Q of size $\gg p^{1/d + \rho_n(d)}$, where $|Q|$ is the volume of Q and $\rho_n = c_1(d)s/n$. He proved this by using his deep result on "incomplete" exponential sums. His result is in a sense best possible. However, n must be very large in order that the theorem is meaningful, since $c_1(d)$ is very large at present. W. M. Schmidt [15] also gave a condition of similar type for $N \sim |Q|/p^s$, without nonsingular condition. For these, an excellent reference is [2].

In the present paper, we first show that, under some conditions, $N \sim |Q|/p^s$ for any large box Q and $n \geq 4$ (Theorem 1). Throughout our paper, nonsingular mod p means nonsingular over the algebraic closure of the finite field with p elements. Let us introduce the following property $P_o(p)$. $P_o(p)$: the highest degree part of $a_1 G_1 + \dots + a_s G_s$ is nonsingular mod p for all non-zero s -tuples $(a_1, \dots, a_s)$ of integers (mod p).

Theorem 1. (a) Let p be a prime, $p \geq B_1, \dots, B_n \geq c(n, \mathbf{d}, \varepsilon)$ and $|Q| \geq c(n, \mathbf{d}, \varepsilon)p^{(n/2)+s}$. Assume that $\mathbf{G}$ defines a variety of codim s mod p and that $P_o(p)$ holds. Then

$$(1) \quad (1-\varepsilon)(|Q|/p^s) \leq N(\mathbf{G}, Q) \leq (1+\varepsilon)(|Q|/p^s).$$

(b) Let p be a prime, $p \geq c(n, \mathbf{d}, \varepsilon)$ and Q a cube with $|Q| \geq p^{(n/2)+s - ((n-2s)/(2n-2))}$. Assume that $\mathbf{G}$ defines a nonsingular variety of codim s mod p and that $P_o(p)$ holds. Then (1) holds.

The proof uses a counting function $F(\mathbf{X})$ introduced later and some