41. A Remark on a Sufficient Condition for Hypoellipticity

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1. Introduction. Let $P=P(x, D_x) = \sum_{|\alpha| \le m} a_{\alpha}(x)D_x^{\alpha}$ be a differential operator where $x = (x_1, \dots, x_n)$ is a point of a open subset Ω in real *n*-space R_x^n , $\alpha = (\alpha_1, \dots, \alpha_n)$ is a multi-index with its length $|\alpha| = \alpha_1$ $+ \dots + \alpha_n$ and $D_x^{\alpha} = (-i\partial/\partial x_1)^{\alpha_1} \dots (-i\partial/\partial x_n)^{\alpha_n}$. For $\xi \in R^n$ we denote $\xi^{\alpha} = \xi_1^{\alpha_1} \dots \xi_n^{\alpha_n}, |\xi| = (\xi_1^2 + \dots + \xi_n^2)^{1/2}, \langle \xi \rangle = 1 + |\xi|, P(x, \xi) = \sum_{|\alpha| \le m} a_{\alpha}(x)\xi^{\alpha}$ and $P_{(\beta)}^{(\alpha)}(x, \xi) = D_{\xi}^{\alpha}(iD_x)^{\beta}P(x, \xi).$

Simple and weak sufficient conditions for hypoellipticity are given by L. Hörmander which include not only differential operators but also pseudo-differential operators ([2] § 4 Theorem 4.2, p. 164). In this note we shall give a slightly different sufficient condition for hypoellipticity which is stated by using a basic weight function depending also on the *x*-variable instead of $\langle \xi \rangle$ only. The usage such a basic weight function is effective for study of asymptotic behavior of spectral function of hypoelliptic differential operator which will appear in a forthcoming paper.

We confine ourselves in case of differential operators but it seems quite possible to extend it in case of pseudo-differential operators, because the proof of the main theorem depends on a construction of a parametrix just along the arguments in [1] and [2]. I wish to thank Mr. M. Nagase for his advice through discussion.

2. Theorem and outline of the proof. Theorem. Let $P(x,\xi)$ be written in the sum $P(x,\xi) = p_0(x,\xi) + p_1(x,\xi)$ where $p_0 = p_0(x,\xi)$ and $p_1 = p_1(x,\xi)$ satisfy the following conditions:

(2.1) The coefficients are in C^{∞} .

For any $x \in \Omega$ and α and β there exist the constants $C_{x,\alpha,\beta} > 0$, $C_x > 0$, and $A_x > 0$ such that

(2.2) $|p_{\mathfrak{g}(\beta)}^{(\alpha)}(x,\xi)| \leq C_{x,\alpha,\beta} |p_{\mathfrak{g}}(x,\xi)|^{1-\rho|\alpha|+\delta|\beta|}$

 $(2.2)' \quad |p_{1(\beta)}^{(\alpha)}(x,\xi)| \leq C_{x,\alpha,\beta} |p_0(x,\xi)|^{1-\rho(|\alpha|+1)+\delta(|\beta|+1)} \quad for \ |\xi| \geq A_x,$

where ρ and δ are some constants depending only on P(x, D) and satisfying $0 \leq \delta < \rho \leq 1$,

(2.3) $|p_0(x,\xi)| \ge C_x |\xi|^{m'} \quad 0 \le m' \ge m, \quad for |\xi| \ge A_x,$ (2.4) $m'\delta \le 1,$

and $C_{x,\alpha,\beta}$, C_x and A_x are bounded when x is in compact subset of Ω . Then the operator $P(x, D_x)$ is hypoelliptic: $u \in \mathcal{D}'(\Omega)$ satisfying the equa-