

Three facts of valuation theory

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1. Statement of results. Let k be a field and (R, m) a local integral k -algebra with field of fractions K . We study k -valuations ν of K with a center in R , that is, such that their valuation ring (R_ν, m_ν) contains R and $m_\nu \cap k = (0)$. We denote by Φ the totally ordered group of the valuation and set $\Gamma = \nu(R \setminus \{0\}) \subset \Phi_+ \cup \{0\}$. The valuation determines on R a filtration defined by the ideals

$$P_\phi(R) = \{x \in R / \nu(x) \geq \phi\}$$

$$\text{or } P_\phi^+(R) = \{x \in R / \nu(x) > \phi\},$$

and the associated graded ring introduced by Spivakovsky ([6], see also [4], [7]):

$$\text{gr}_\nu R = \bigoplus_{\phi \in \Gamma} P_\phi / P_\phi^+,$$

which is a Γ (or Φ_+)-graded $(R/m_\nu \cap R)$ -algebra. We assume throughout that Φ has finite rational rank $r(\Phi)$ (and therefore is countable) and finite height (or rank) $h(\Phi)$. The three facts, extracted from [8], are the following:

1) A connexion between valuation theory and toric geometry

Proposition 1.1. *For any specialization (see [10], vol.2, Chap. VI, §16) of the valuation ν to a valuation ν_0 with a center in R and such that $m_{\nu_0} \cap R = m$ and the residue field extension $k_R \rightarrow k_{\nu_0} = R_{\nu_0} / m_{\nu_0}$ induced by the inclusion $R \subset R_{\nu_0}$ is trivial, the algebra $\text{gr}_{\nu_0} R$ is isomorphic to a quotient of a polynomial ring $k_R[(U_i)_{i \in I}]$ with coefficients in k_R and possibly countably many indeterminates by a binomial ideal, i.e. an ideal with (possibly countably many) generators of the form $U^m - \lambda_{mn} U^n$ where $U^m = U_1^{m_1} \cdots U_s^{m_s}$ and $\lambda_{mn} \in k_R^*$. It means that it is a deformation of a (non normal) toric variety (see [2]), possibly of infinite embedding dimension, which is nothing but $\text{Spec} k_R[t^\Gamma]$, where $k_R[t^\Gamma]$ is the semigroup algebra of Γ , obtained by replacing all λ_{mn} by 1.*

2) Structure of valuation semigroup algebras and regularity of $\text{gr}_\nu R$

Proposition 1.2. *The graded k_ν -algebra $\text{gr}_\nu R$ is a filtering direct limit of termic maps (i.e. mapping a variable to a term, of the form constant*

a monomial) between polynomial subalgebras in $r(\Phi)$ variables. The semigroup algebra $k_\nu[t^{\Phi^+}]$ is the direct limit of the corresponding system of toric (or monomial) maps, obtained by replacing all the constants by 1.

3) Noetherianity of ν -adic completions

Proposition 1.3. *Assume that R is an analytically irreducible noetherian local ring. If ν_1 denotes the valuation of height one with which ν is composed, and $\mathfrak{p} = m_{\nu_1} \cap R$ the center of ν_1 on R , then the completion \hat{R}^ν of the ring R with respect to the topology defined by the $(P_\phi)_{\phi \in \Phi_+}$ -filtration, is isomorphic as topological ring to a quotient of the \mathfrak{p} -adic completion $\hat{R}^\mathfrak{p}$ of R ; it is noetherian.*

In particular, if R is excellent, so is \hat{R}^ν since $\hat{R}^\mathfrak{p}$ is excellent by ([5]).

2. Ideas of proofs. 1) Since R_ν is a valuation ring, the Φ_+ -graded k_ν -algebra $\text{gr}_\nu R$ has the property that each of its homogeneous components is a 1-dimensional vector space over k_ν . If the residual extension is trivial, the same is true over k_R , and since the k_R -algebra $\text{gr}_\nu R$ is a graded subalgebra of $\text{gr}_\nu R_\nu$, each of its homogeneous components is a k_R -vector space of dimension ≤ 1 . By an observation of Korkina ([3], see also [2]), this implies the result: taking a (possibly countable) system of homogeneous generators of the algebra gives a graded surjection $k_R[(U_i)_{i \in I}] \rightarrow \text{gr}_\nu R$ once U_i is given the degree of its image. The kernel is generated by homogeneous polynomials, but any two terms of such a polynomial have non zero k_R -proportional images, which shows that the kernel is generated by binomials.

2) Let ν be a valuation of height one, i.e. with archimedean value group $\Phi \subset \mathbf{R}$ (see [10], Vol. II). Assume first that Φ is generated by m rationally independent positive real numbers τ_1, \dots, τ_m . We use the Perron algorithm as expounded in ([9], B. I, p. 861), but with a somewhat different interpretation. The algorithm consists in writing