

13. Levi Conditions for Hyperbolic Operators with a Stratified Multiple Variety

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1. Introduction and result. Let $P(x, D_x)$ be a differential operator of order m , i.e. $P(x, D_x) = P_m(x, D_x) + P_{m-1}(x, D_x) + \cdots$, where $P_j(x, D_x)$, $j=0, \dots, m$, denotes the homogeneous part of order j of P (here $D_x = (1/i)\partial/\partial x$). We assume that P has C^∞ (smooth) coefficients in the open subset $\Omega \subset \mathbf{R}^{n+1}$, and that $0 \in \Omega$. Consider the principal symbol of P , $p_m(x, \xi)$, which we shall assume to be a homogeneous polynomial of degree m with real valued smooth coefficients; we say that P is hyperbolic with respect to the direction ξ_0 if the equation $p_m(x, \xi) = 0$, where $x = (x_0, x_1, \dots, x_n)$, $\xi = (\xi_0, \xi_1, \dots, \xi_n)$, has only real roots in ξ_0 . It has long been well known that if P is strictly hyperbolic, i.e. if all the above mentioned roots of $p_m(x, \xi) = 0$ are distinct, then the Cauchy problem

$$P(x, D_x)u = f, \quad \partial_0^j u|_{x_0=0} = g_j, \quad j=0, \dots, m-1,$$

is well posed. Well posedness, roughly speaking, means that there exists a unique distribution solution for any choice of the distributions f and g_j 's. On the other hand, if the roots of $p_m(x, \xi)$ are not distinct, it is well known that in general we have well posedness only if we assume some conditions on the lower order terms, see e.g. [7] and [9] in the case of double roots, [10] and [11] in the case of roots of higher multiplicity.

When roots of higher multiplicity occur an important object is the localised principal symbol: If $d^j p_m(\rho) = 0$, $j=0, \dots, r-1$, and $d^r p_m(\rho) \neq 0$, define $p_{m,\rho}(\delta z) = \lim_{t \rightarrow 0} t^{-r} p_m(\rho + t\delta z)$, where $\delta z \in T_\rho(T^*\Omega)$, the tangent space at ρ of $T^*\Omega \simeq \Omega \times \mathbf{R}_\xi^{n+1}$.

In this note we present a result on necessary conditions for the well posedness of the Cauchy problem for P . Here is a list of the assumptions we make:

(H₁) The principal symbol $p_m(x, \xi)$ is real and hyperbolic with respect to ξ_0 .

(H₂) The characteristic roots of $\xi_0 \mapsto p_m(x, \xi_0, \xi')$ have multiplicity of order at most 3 and $\text{Char } P = \{(x, \xi) \mid p_m(x, \xi) = 0\} = \Sigma_1 \cup \Sigma_2 \cup \Sigma_3$, where

$$\begin{aligned} \Sigma_1 &= \{(x, \xi) \in T^*\Omega \mid p_m(x, \xi) = 0, dp_m(x, \xi) \neq 0\}, \\ \Sigma_2 &= \{(x, \xi) \in T^*\Omega \mid p_m(x, \xi) = 0, dp_m(x, \xi) = 0, d^2 p_m(x, \xi) \neq 0\}, \\ \Sigma_3 &= \{(x, \xi) \in T^*\Omega \mid p_m(x, \xi) = 0, dp_m(x, \xi) = 0, d^2 p_m(x, \xi) = 0\}. \end{aligned}$$

Here and in the sequel $x' = (x_1, \dots, x_n)$ and analogously for ξ' .

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