

30. On Regular Subalgebras of a Symmetrizable Kac-Moody Algebra

By Satoshi NAITO

Department of Mathematics, Kyoto University

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Let $\mathfrak{g}(A)$ be a Kac-Moody algebra with A a symmetrizable generalized Cartan matrix (= GCM) over the complex number field \mathbb{C} . In this paper, we study its certain subalgebras called *regular subalgebras*. These subalgebras are defined as a natural infinite dimensional analogue of *regular semi-simple subalgebras* of a finite dimensional complex semi-simple Lie algebra in the sense of Dynkin. The latter plays an important role in the classification of semi-simple subalgebras (cf. [1]).

§ 1. Definition of regular subalgebras. Let A be an $n \times n$ symmetrizable GCM, and \mathfrak{h} be a Cartan subalgebra of the Kac-Moody algebra $\mathfrak{g}(A)$. Then we have the root space decomposition of $\mathfrak{g}(A)$:

$$\mathfrak{g}(A) = \mathfrak{h} \oplus \sum_{\alpha \in \Delta} \mathfrak{g}_{\alpha},$$

where $\mathfrak{g}_{\alpha} = \{x \in \mathfrak{g}(A); [h, x] = \langle \alpha, h \rangle x, \text{ for all } h \in \mathfrak{h}\}$ for $\alpha \in \mathfrak{h}^*$ (the algebraic dual of \mathfrak{h}), and $\Delta \subset \mathfrak{h}^*$ is the root system of $\mathfrak{g}(A)$ (see [3] for details). To define a *regular subalgebra* of $\mathfrak{g}(A)$, we introduce the notion of *fundamental* subset of Δ .

Definition 1.1. A subset $\bar{\Delta} = \{\beta_1, \dots, \beta_m, \beta_{m+1}, \dots, \beta_{m+k}\}$ of the root system Δ of $\mathfrak{g}(A)$ is called *fundamental* if it satisfies the following:

- (1) $\bar{\Delta} = \{\beta_r\}_{r=1}^{m+k}$ is a linearly independent subset of \mathfrak{h}^* ;
- (2) $\beta_s - \beta_t \notin \Delta \cup \{0\}$ ($1 \leq s \neq t \leq m+k$);
- (3) β_i is a real root ($1 \leq i \leq m$) and β_j is a positive imaginary root ($m+1 \leq j \leq m+k$).

Now, let $(\cdot | \cdot)$ be a fixed standard invariant form on $\mathfrak{g}(A)$ such that $(\alpha_i | \alpha_j) \in \mathbb{Z}$ ($1 \leq i, j \leq n$), where $\{\alpha_i\}_{i=1}^n \subset \Delta$ is the set of all simple roots of $\mathfrak{g}(A)$ (cf. [3, Chap. 2]). For each imaginary root β_j ($m+1 \leq j \leq m+k$), we define $\beta_j^\vee := \nu^{-1}(\beta_j) \in \mathfrak{h}$, where $\nu: \mathfrak{h} \rightarrow \mathfrak{h}^*$ is a linear isomorphism determined by $\langle \nu(h), h' \rangle = (h | h')$ ($h, h' \in \mathfrak{h}$). For real root β_i ($1 \leq i \leq m$), $\beta_i^\vee \in \mathfrak{h}$ has been defined as a dual real root of β_i , and we know $\beta_i^\vee = 2/(\beta_i | \beta_i) \cdot \nu^{-1}(\beta_i)$ (cf. [3, Chap. 5]).

Proposition 1.1. Let $\bar{\Delta} = \{\beta_r\}_{r=1}^{m+k}$ be a fundamental subset of Δ , and put $\bar{A} := (\bar{a}_{ij})_{i,j=1}^{m+k}$, where $\bar{a}_{ij} = \langle \beta_j, \beta_i^\vee \rangle$. Then, \bar{A} is a symmetrizable GGCM (= generalized GCM). Moreover, $\bar{a}_{ii} = 2$ if and only if β_i is a real root ($1 \leq i \leq m+k$).

Here, \bar{A} is a GGCM means that \bar{A} satisfies the following:

- (C1) either $\bar{a}_{ii} = 2$ or \bar{a}_{ii} is a non-positive integer;
- (C2) \bar{a}_{ij} is a non-positive integer if $i \neq j$;