

57. Convergence Theorems for the Pseudo-Conformally Invariant Nonlinear Schrödinger Equation

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(Communicated by Shokichi IYANAGA, M. J. A., Sept. 12, 1990)

§ 1. Introduction. L^α denotes the space of α -summable function on \mathbf{R}^N with the norm $\|\cdot\|_\alpha$. H^s represents the standard Sobolev space of order s on \mathbf{R}^N . We will use the abbreviation $\|\cdot\| = \|\cdot\|_2$. We put $i = \sqrt{-1}$, $\sigma = 2 + 4/N$, $\partial_t = \partial/\partial t$, $\partial_j = \partial/\partial x_j$ ($j=1, \dots, N$), $\nabla = (\partial_1, \dots, \partial_N)$ and $\Delta = \nabla \cdot \nabla$ (Laplace operator on \mathbf{R}^N). $(I; L^\alpha)$ denotes the space of continuous functions from a interval $I \subset \mathbf{R}$ to L^α with the norm $\|\cdot\|_{\alpha, \infty, I} = \sup_{t \in I} \|\cdot(t)\|_\alpha$. If $I = \mathbf{R}$, we will use $\|\cdot\|_{\alpha, \infty, \mathbf{R}} = \|\cdot\|_{\alpha, \infty}$. μ denotes the Lebesgue measure on \mathbf{R}^N . For brevity we write $[f > \eta] = \{x \in \mathbf{R}^N; f(x) > \eta\}$.

This paper is concerned with the following Cauchy problem for the nonlinear Schrödinger equation:

$$C(p) \quad \begin{aligned} 2i\partial_t u + \Delta u + |u|^{p-1}u &= 0, & (t, x) \in \mathbf{R} \times \mathbf{R}^N, \\ u(0, x) &= u_0(x) & x \in \mathbf{R}^N, \end{aligned}$$

where $1 < p < 2^* - 1$ ($2^* = 2N/(N-2)$ if $N \geq 3$, arbitrary number larger than 2 if $N=1$ and 2). It is well known that for any $u_0 \in H^1$, there exist an open interval I in \mathbf{R} containing the origin and a unique solution $u_p(t, x)$ of $C(p)$ in $C(I; H^1)$ which satisfies two conservation laws;

$$(1) \quad \|u_p(t)\| = \|u_0\|,$$

$$(2) \quad E_{p+1}(u_p) = \|\nabla u_p\|^2 - \frac{2}{p+1} \|u_p\|_{p+1}^{p+1} = E_{p+1}(u_0).$$

If $1 < p < 1 + 4/N$, u_p exists globally in time, i.e., $I = \mathbf{R}$ by (2) and the Gagliardo-Nirenberg inequality. That is, there is a positive constant $C(p, E_p)$ such that

$$(3) \quad \|\nabla u_p\|_{2, \infty}, \quad \|u_p\|_{p+1, \infty} < C(p, E_p).$$

If $p \geq 1 + 4/N$, however, there exist singular solar solutions exploding their L^2 norms of the gradient in finite time (blow-up): Each singular solution $u(t)$ shows that

$$(4) \quad \lim_{t \rightarrow T} \|\nabla u(t)\| = \infty \quad \text{for some } T \in \mathbf{R}.$$

So it can occur that

$$(5) \quad \limsup_{p \uparrow 1 + 4/N} \|\nabla u_p\|_{2, \infty} = \limsup_{p \uparrow 1 + 4/N} \|u_p\|_{\sigma, \infty} = \infty.$$

Thus our purpose is to obtain more precise analysis of the behavior of (u_p) as $p \uparrow 1 + 4/N$ in $C(\mathbf{R}; L^\sigma)$ (or $C(\mathbf{R}; H^1)$). We will consider the rescaling function:

$$(6) \quad u_{p,\lambda}(t, x) = \lambda^{N/2} u_p(\lambda^2 t, \lambda x),$$

where

$$(7) \quad \lambda_p = 1 / \|u_p\|_{\sigma, \infty}^{\sigma/2} \quad (\rightarrow 0 \text{ as } p \uparrow 1 + 4/N).$$