

**27. Spectral Properties of the Operator Associated  
with a Retarded Functional Differential  
Equation in Hilbert Space**

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In [4] the fundamental result on the structural operator for the linear retarded functional differential equation

$$(1) \quad du(t)/dt = A_0 u(t) + A_1 u(t-h) + \int_{-h}^0 a(s) A_2 u(t+s) ds$$

in a Hilbert space  $H$  was established. Here,  $-A_0$  is the operator associated with a bounded sesquilinear form  $a(u, v)$  defined in  $V \times V$  and satisfying Gårding's inequality

$$\operatorname{Re} a(u, u) \geq c \|u\|^2, \quad c > 0,$$

where  $V$  is a Hilbert space densely and continuously imbedded in  $H$  and  $\| \cdot \|$  is the norm of  $V$ . It is known that  $A_0$  generates an analytic semigroup in both of  $H$  and  $V^*$ . It is assumed that  $A_1$  and  $A_2$  are bounded linear operators from  $V$  to  $V^*$  and  $A_i A_0^{-1}$ ,  $i=1, 2$ , are bounded also in  $H$ . The real valued function  $a(s)$  is assumed to be Hölder continuous in  $[-h, 0]$ .

Let  $S(t): M = H \times L^2(-h, 0; V) \rightarrow M$  be the solution semigroup for (1) considered as an equation in  $V^*$ : for  $g = (g^0, g^1) \in M$

$$S(t)g = (u(t; g), \quad u(t + \cdot; g)),$$

where  $u(t; g)$  is the mild solution of (1) satisfying the initial conditions

$$(2) \quad u(0; g) = g^0, \quad u(s; g) = g^1(s) \quad \text{for } s \in [-h, 0].$$

In this paper we investigate the spectral properties of the infinitesimal generator  $A$  of  $S(t)$  in the special case where  $A_1 = \gamma A_0$  with some real constant  $\gamma$ ,  $A_2 = A_0$  and the imbedding  $V \subset H$  is compact. Hence, in what follows throughout this paper we consider the equation

$$(3) \quad du(t)/dt = A_0 u(t) + \gamma A_0 u(t-h) + \int_{-h}^0 a(s) A_0 u(t+s) ds$$

with  $A_0$ ,  $\gamma$ ,  $a$  satisfying the assumptions stated above.

According to the Riesz-Schauder theory  $A_0$  has a discrete spectrum:  $\sigma(A_0) = \{\mu_j: j=1, 2, \dots\}$ . Set

$$(4) \quad m(\lambda) = 1 + \gamma e^{-\lambda h} + \int_{-h}^0 e^{\lambda s} a(s) ds.$$

It is clear that  $m(\lambda)$  is an entire function and

$$(5) \quad m(\lambda) \rightarrow 1 \quad \text{as } \operatorname{Re} \lambda \rightarrow +\infty.$$

The following lemmas are proved as Theorems 6.1 and 7.2 of

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