

21. On the Trotter Product Formula

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Introduction. Kato [5] (cf. Kato-Masuda [8]) proved the Trotter product formula $s\text{-}\lim_{n \rightarrow \infty} [e^{-tA/n} e^{-tB/n}]^n = e^{-t(A+B)}P$ for the form sum $A \dot{+} B$ of self-adjoint operators A and B which are bounded from below in a Hilbert space \mathcal{H} . Here P is the orthogonal projection of \mathcal{H} onto the closure of $\mathcal{D}(|A|^{1/2}) \cap \mathcal{D}(|B|^{1/2})$. The purpose of this paper is to extend this result to prove a product formula for the form sum of self-adjoint operators which are not necessarily bounded from below. The product formula obtained involves a "truncation" procedure.

1. Notations and results. First we consider the case of two operators. Let A and B be self-adjoint operators in a Hilbert space \mathcal{H} with spectral families $\{E_A(\lambda)\}$ and $\{E_B(\lambda)\}$, respectively. Let A_+ and A_- be the positive and negative parts of A , i.e. $A_+ = AE_A([0, \infty)) \geq 0$, $A_- = -AE_A((-\infty, 0)) \geq 0$, and $A = A_+ - A_-$. Define B_+ and B_- similarly for B .

Assume that $\mathcal{D}(A_+^{1/2}) \subset \mathcal{D}(B_+^{1/2})$ and $\mathcal{D}(B_+^{1/2}) \subset \mathcal{D}(A_+^{1/2})$, and that there exist constants $\alpha \geq 0$ and $0 \leq \beta < 1$ such that

$$\begin{aligned} \|A_+^{1/2}u\|^2 &\leq \alpha \|u\|^2 + \beta \|B_+^{1/2}u\|^2, & u \in \mathcal{D}(B_+^{1/2}), \\ \|B_+^{1/2}u\|^2 &\leq \alpha \|u\|^2 + \beta \|A_+^{1/2}u\|^2, & u \in \mathcal{D}(A_+^{1/2}). \end{aligned} \quad (1)$$

Set $\mathcal{D} = \mathcal{D}(A_+^{1/2}) \cap \mathcal{D}(B_+^{1/2})$, and let P be the orthogonal projection of \mathcal{H} onto the closure $\overline{\mathcal{D}}$ of \mathcal{D} . Then the quadratic form

$$u \mapsto \|A_+^{1/2}u\|^2 + \|B_+^{1/2}u\|^2 - \|A_+^{1/2}u\|^2 - \|B_+^{1/2}u\|^2, \quad u \in \mathcal{D}, \quad (2)$$

is bounded from below and closed. The *form sum* of A and B is defined as the self-adjoint operator in the Hilbert space $\overline{\mathcal{D}}$ associated with (2) and denoted by $A \dot{+} B$.

For each $0 < \tau \leq \infty$, $\mathcal{F}(\tau)$ is the class of bounded real-valued functions $h(t, \lambda)$ on $[0, \tau) \times \mathbf{R}$ satisfying the following conditions:

- (i) for each fixed λ , $h(t, \lambda)$ is continuous in t at $t=0$ with $h(0, \lambda) = 1$, $(\partial/\partial t)h(0, \lambda) = -\lambda$;
- (ii) for each fixed t , $h(t, \lambda)$ is Borel measurable in λ with $1 \leq h(t, \lambda)$ for $\lambda < 0$, $h(t, 0) = 1$ and $0 \leq h(t, \lambda) \leq 1$ for $\lambda > 0$;
- (iii) there is a constant M such that $|1 - h(t, \lambda)| \leq M t |\lambda|$, $0 \leq t < \tau$, $\lambda \in \mathbf{R}$.

The main result is the following product formula.

Theorem 1. *Let $f(t, \lambda)$ and $g(t, \lambda)$ be in $\mathcal{F}(\tau)$ for some $0 < \tau \leq \infty$, and assume that there exists a constant $z > 1$ such that*