

## 99. On a Stability of Essential Spectra of Laplace Operators on Non-Compact Riemannian Manifolds

By Kenrô FURUTANI

Department of Mathematics, Faculty of Science and Technology,  
Science University of Tokyo

(Communicated by Kôzaku YOSIDA, M. J. A., Nov. 12, 1980)

§ 1. Introduction. Let  $M$  be an  $n$ -dimensional Riemannian manifold,  $g$  its Riemannian metric and  $\Delta_g$  the Laplace operator associated to  $g$ . If  $M$  is compact, it is well known that  $\Delta_g$  is essentially self-adjoint in  $L_2(M, d_g x)$ , where  $d_g x$  is the volume element associated to  $g$ . Also the spectrum  $\sigma(\Delta_g)$  of  $\Delta_g$  consists of only isolated eigenvalues with finite multiplicities. On the other hand, if  $M$  is not compact,  $\Delta_g$  has in general many selfadjoint extensions, and the spectrum may contain continuous part or eigenvalues with infinite multiplicities. In the first case, under a deformation of a Riemannian metric, the eigenvalues move continuously in a certain sense. In this note we concern ourselves with essential spectrum of  $\Delta_g$  for a non-compact manifold. We show the following

**Theorem.** *Let  $(M, g)$  be a Riemannian manifold. Assume that  $\Delta_g$  is essentially selfadjoint. Let  $g_1$  be another Riemannian metric which is different from  $g$  only on a compact subset  $K$  of  $M$ . Then,*

- (i)  $\Delta_{g_1}$  is also essentially selfadjoint in  $L_2(M, d_{g_1} x)$ ,
- (ii) the essential spectrum of  $\Delta_g$  is contained in the spectrum  $\sigma(\Delta_{g_1})$  of  $\Delta_{g_1}$ .

Here the essential selfadjointness of  $\Delta_g$  means that the closure  $\bar{\Delta}_g$  in  $L_2(M, d_g x)$  of  $\Delta_g$  acting on  $C_0^\infty(M)$  is selfadjoint. In this case, it is easy to show that it coincides with the extension of  $\Delta_g$  in the sense of distribution, that is, the domain  $D$  of  $\bar{\Delta}_g$  consists of those  $\phi \in L_2(M, d_g x)$  such that  $\Delta_g \phi \in L_2(M, d_g x)$ .

For selfadjoint operators the spectrum can be divided into two parts, the one consisting of all isolated eigenvalues with finite multiplicities and the other, remaining set, called the essential spectrum. The following proposition is known (see [3, p. 518]).

**Proposition.** *Let  $\lambda$  be in the essential spectrum of a selfadjoint operator  $A$  on a Hilbert space  $H$ . Then there exists an orthonormal sequence  $\{x_n\}_{n \geq 1}$  in  $H$  such that*

$$\|(A - \lambda)x_n\| \rightarrow 0, \quad \text{as } n \rightarrow \infty.$$

§ 2. Proof of the theorem. For  $\phi \in L_2(M, d_g x)$  we denote its norm by  $\|\phi\|$ . Let  $U$  be an open subset of  $M$  such that the closure  $\bar{U}$  is