

36. On an Analytic Index-formula for Elliptic Operators

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§ 1. Preliminaries. In his work [1], [3], M. F. Atiyah indicated an analytic formula for the index of elliptic differential operators on compact manifolds. The aim of this note is to describe this formula more explicitly.

Assume that both X and Y are differentiable vector bundles with fibre C^l over a compact oriented Riemannian manifold M without boundary and that they are provided with hermitian metric in each fibre. Let P be an elliptic differential operator of order m from $\mathcal{E}(X)$ to $\mathcal{E}(Y)$, where $\mathcal{E}(X)$ is the space of C^∞ sections of X provided with the usual topology. We denote by $L^2(X)$ the space of L^2 sections of X . Then, considered as a densely defined linear operator from $L^2(X)$ to $L^2(Y)$, P is closable. We denote its minimal closed extension by the same symbol P . Since P is a densely defined closed operator, there is its adjoint P^* which is a densely defined closed operator from $L^2(Y)$ to $L^2(X)$. It is well known that P has a finite index $\text{Ind}(P)$.

§ 2. Results. Our first result is the following:

Theorem 1. *Let λ be a positive number. Then we have the formula*

$$(1) \quad \text{Ind}(P) = \lim_{\lambda \rightarrow \infty} \lambda [\text{Trace}(\lambda + (P^*P)^k)^{-1} - \text{Trace}(\lambda + (PP^*)^{k-1})]$$

where k is an arbitrary integer which is larger than $\frac{n}{2m}$.

Proof. The following proof is a variant of the discussion used in M. F. Atiyah and R. Bott [3].

Let $A = \{0, \lambda_1, \lambda_2, \dots\}$ be the set of eigen values of PP^* or P^*P with $0 < \lambda_1 < \lambda_2 < \dots$. Let $\Gamma_j(X)$ and $\Gamma_j(Y)$ be, respectively, the eigen-spaces of P^*P and PP^* corresponding to λ_j . It is well known that $\Gamma_j(X), \Gamma_j(Y)$ are of finite dimension. Let P_j denote the restriction of P to $\Gamma_j(X)$. Then we have the following complexes:

$$0 \longrightarrow \Gamma_j(X) \xrightarrow{P_j} \Gamma_j(Y) \longrightarrow 0, \quad j = 0, 1, 2, 3, \dots$$

Obviously,

$$\begin{aligned} \text{Ind}(P) &= \dim \Gamma_0(X) - \dim \Gamma_0(Y), \\ 0 &= \dim \ker P_j - \dim \text{coker } P_j, \end{aligned}$$

because $P^*P|_{\Gamma_j(X)} = \lambda_j$, $PP^*|_{\Gamma_j(Y)} = \lambda_j$. Hence