

103. On Some Examples of Non-normal Operators

By Masatoshi FUJII
Fuse Senior Highschool

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1. Introduction. Following after the terminology of Halmos [4], consider a (bounded linear) operator T acting on a Hilbert space \mathfrak{H} . As usual, we shall call

$$W(T) = \{(Tx|x); \|x\|=1\}$$

the *numerical range* of T and

$$r(T) = \sup \{|\lambda|; \lambda \in \sigma(T)\}$$

the *spectral radius* of T , where $\sigma(T)$ is the spectrum of T . An operator T is called *normaloid* if $\|T\|=r(T)$ and *convexoid* if $\bar{W}(T) = \text{co } \sigma(T)$ where $\bar{W}(T)$ is the closure of $W(T)$ and $\text{co } S$ is the convex hull of S . We shall also say that an operator T satisfies the *growth condition* (G_1) if

$$\|(T-\lambda)^{-1}\| \leq \frac{1}{\text{dist}(\lambda, \sigma(T))}$$

for any $\lambda \notin \sigma(T)$. An operator satisfying the condition (G_1) is a *convexoid*.

In a recent paper [7], Luecke proves the following theorem which gives a method of construction of operators satisfying the condition (G_1) :

Theorem A (Luecke). *If A is an operator acting on a Hilbert space \mathfrak{H} , then there is an operator B acting on a Hilbert space \mathfrak{R} such that their direct sum $T=A \oplus B$ acting on $\mathfrak{H} \oplus \mathfrak{R}$ satisfies the condition (G_1) .*

In his proof, the desired B satisfies the normality and $\bar{W}(A) = \sigma(B)$. Using Theorem A, he can prove that there is an operator satisfying the condition (G_1) which is not a normaloid.

Inspired by Luecke's work and a seminar talk of R. Nakamoto (Theorem 5 in the below), we shall adapt the method to construct another examples of operators in §2 and apply them to study for a few relations between classes of non-normal operators in §3.

For our purpose, we shall introduce two classes of operators which are systematically discussed by Hildebrandt [5] without their names:

Definition B. An operator T is called a *numeroid* (resp. *spectroid*) if the closed numerical range $\bar{W}(T)$ (resp. the spectrum $\sigma(T)$) is a spectral set for T in the sense of von Neumann [8].