

22. Uniqueness in the Cauchy Problem for Partial Differential Equations with Multiple Characteristic Roots

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(Comm. by Kôzaku YOSIDA, M. J. A., Feb. 12, 1974)

1. Introduction. We are concerned with the uniqueness theorem in the Cauchy problem for the following type of partial differential equations:

$$Pu \equiv \partial_t^m u + \sum_{|a|+j \leq m} a_{a,j}(x, t) \partial_x^a \partial_t^j u = 0, \quad (x \in R^l).$$

Here we assume $a_{a,j}(x, t)$ are sufficiently smooth functions. In the case where the characteristic roots are simple and the coefficients $a_{a,j}(x, t)$ ($|a|+j=m$) are all real, A. P. Calderón [1] proved the uniqueness theorem in 1958. When (x, t) is two-dimensional, T. Carleman [2] obtained the same result as early as 1938. S. Mizohata [6] proved the uniqueness in the case of elliptic type of order 4 with smooth characteristic roots. Many authors have studied the uniqueness with at most double smooth characteristic roots ([3], [5], etc.). Then a study for elliptic type with triple characteristic roots, was made by K. Watanabe [10], under the assumption that the multiplicity of the characteristic roots is constant.

The purpose of this note is to announce with a short proof a result on the uniqueness theorem for operators with multiple characteristic roots. A forthcoming article will give a detailed proof. Let us consider the following type of operator:

$$P = P_p(x, t; \partial_x, \partial_t)^m + P_{mp-1}(x, t; \partial_x, \partial_t) + R(x, t; \partial_x, \partial_t),$$

where $m \geq 2$ and $p \geq 1$. Here we assume that, 1) P_p is a homogeneous partial differential operator of order p with real coefficients, continuously differentiable up to order $l + \max\{mp, 6\}$. Moreover its characteristic roots $\{\lambda_j(x, t; \xi)\}_{1 \leq j \leq p}$ of $P_p(x, t; \xi, \lambda) = 0$ are distinct for all real $\xi (\neq 0)$, 2) P_{mp-1} is a homogeneous partial differential operator of order $mp-1$ with real coefficients belonging to $C^{l+\max\{mp-1, 5\}}$, 3) R is a partial differential operator of order at most $mp-2$, with bounded measurable coefficients.

Let $\{\lambda_j(x, t; \xi)\}_{1 \leq j \leq p}$ be the characteristic roots of P_p . We introduce the following conditions.

- (A) $P_{mp-1}(0, 0; \xi, \tau)|_{\tau=\lambda_j(0,0;\xi)} \neq 0$ for all $\xi \in R^l - \{0\}$ ($1 \leq j \leq p$)
 (B₁) $P_{mp-1}(x, t; \xi, \tau)|_{\tau=\lambda_j(x,t;\xi)} \equiv 0$ for all $(x, t, \xi) \in U \times (R^l - \{0\})$
($1 \leq j \leq p$)