

ON THE BOUNDARY OBSTRUCTIONS TO THE JACOBIAN PROBLEM

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§ 1. Introduction

Let K be an algebraically closed field of characteristic zero and let $f(X, Y)$ and $g(X, Y)$ be polynomials with K -coefficients which satisfy the Jacobian condition:

$$(1.1) \quad J(f, g) = f_x g_y - f_y g_x = 1$$

where f_x, f_y etc. are respective partial derivatives. The so-called Jacobian conjecture is the following.

(J.C. I) “If (1.1) is satisfied, X and Y are polynomials of f and g ”.

Typical examples are given by elementary transformations which are defined by finite compositions of the following transformations.

(i) $(f, g) = (aX + bY + e, cX + dY + e')$ where $ad - bc \neq 0$ or

(ii) $(f, g) = (X, Y + h(X))$ where $h(X)$ is an arbitrary polynomial.

By the theorem of Jung [J], (J.C. I) is equivalent to

(J.C. II) “If (1.1) is satisfied, (f, g) is an elementary transformation”.

Let $m = \text{degree}(g)$ and let g_m be the m -th homogeneous part of g . Among the various results about (J.C. I), the following is due to Abyankar [Ab]:

$g_m = 0$ has at most 2 points in $P^1(K)$ if (1.1) is satisfied by f and g .

It is easy to prove that (J.C. II) is equivalent to (J.C. III) (See § 4.):

(J.C. III) “If $g_m = 0$ consists of two points, there is no polynomial f such that $J(f, g) = 1$ ”.

In this paper, we study the necessary conditions (“boundary obstruction”) of the boundaries of the Newton polygon $N(g)$ for the existence of f such that $J(f, g) = 1$. Unfortunately there exist polynomials which have no obstructions on the boundary. Our main results are in § 6 (Theorem (6.3) etc.).

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