

ADDITIVE POLYNOMIALS

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Introduction. Results. By an additive polynomial (a.p.), or p -polynomial, over a field k we mean one with coefficients in that field satisfying the identity

$$(1) \quad f(x + y) = f(x) + f(y),$$

where x and y are independent indeterminates. If the characteristic of k is zero the a.p.'s are trivial, but if it is a prime p they are the finite sums

$$(2) \quad f(x) = \sum \alpha_\nu x^{\nu^p}$$

with ν running over nonnegative integers. They have been studied by Ore [4], [5], Hasse and Witt [2], and Artin [1].

The basic principles of a.p. are so completely developed in [4], [5], [6] that one would not expect new results without introducing further restrictions on k . We were led to such restrictions by some questions about generalized local class field theory. These we will discuss in another paper; it is enough to mention here that, if we call a subgroup \mathfrak{v} of the additive group k^+ of a field k *open* when there is a polynomial $u(x)$, over k , such that $\mathfrak{v} \supset u(k) = \{u(\alpha) \mid \alpha \in k\}$, then the study of norm groups of higher ramified extensions of regular local fields [9] involves certain open subgroups of the residue class field. (See, for example, [9], Proposition 7, noting that the assumption of algebraic closure is not used in its proof.) When k is a Galois field the open subgroups are simply all subgroups but in other cases they are very interesting as the following summary of our results shows.

Let k be any field satisfying

Axiom 1. k has characteristic $p \neq 0$.

Axiom 2. k has no inseparable extension.

Axiom 3. k has for each integer n at most one extension (in any algebraic closure) of degree n and has exactly one extension of degree p .

Then a subgroup of k^+ is open if and only if it is the set $f(k^+)$ of values of some a.p. $f(x)$; for each such $f(x)$, $(k^+ : f(k^+))$ equals the number of zeros of $f(x)$ in k (hence is finite, and a power of p); for every open subgroup \mathfrak{v} there is an a.p. $g(x)$ such that $\mathfrak{v} = g(k^+)$, $g(x)$ has all its zeros in k , and every a.p. $f(x)$ with $f(k^+) \subset \mathfrak{v}$ is of form $g(h(x))$. The additive group k^+ , considered as vector space over its prime subfield, has a linear topology [3; 74] in which "open subgroup" has the meaning defined above; the a.p.'s induce an everywhere dense subring of the ring of all continuous endomorphisms of k^+ , under a natural topology on that ring.

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