

POLYNOMIALLY AND RATIONALLY CONVEX SETS

BY

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On a Euclidean space of even dimension we can introduce, by a choice of complex-valued coordinate functions, z_1, \dots, z_n , the structure of complex n -space, C^n . We can then associate with each compact subset, X , of our space, its *polynomial convex hull in C^n* , denoted $\text{hull}(X)$. By definition, $\text{hull}(X)$ is the set of all p in C^n which satisfy the relation

$$|f(p)| \leq \max_{x \in X} |f(x)|,$$

for every polynomial, $f(z_1, \dots, z_n)$. When $X = \text{hull}(X)$, we say that X is *polynomially convex in C^n* .

Our primary object of study here is the polynomial convex hull of X . However, we have found it very helpful to consider also, as an intermediary set, $R\text{-hull}(X)$, the *rational convex hull of X in C^n* . By definition, $R\text{-hull}(X)$ consists of all p in C^n such that

$$|g(p)| \leq \max_{x \in X} |g(x)|,$$

for every rational function, g , which is analytic about X . For our purposes, we often prefer the alternate description of $R\text{-hull}(X)$, (1.1), as the set of all p in C^n for which $j(p) \in j(X)$, for every polynomial, f . If $X = R\text{-hull}(X)$, we say that X is *rationally convex in C^n* . Notice that

$$X \subset R\text{-hull}(X) \subset \text{hull}(X).$$

These hulls are compact, and both inclusions can be proper.

Our aim is to understand what these hulls look like. In what sense does X “surround” them in C^n ? Consider first C^1 , where the complete picture is well known. There, every compact X is rationally convex (obvious), and $\text{hull}(X)$ is formed by adjoining to X all the bounded components of its complement (classical, see (1.3)). Thus, in C^1 , rational convexity

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