

## CONNECTEDNESS IM KLEINEN AND LOCAL CONNECTEDNESS IN $2^X$ AND $C(X)$

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Let  $X$  be a compact connected metric space and  $2^X(C(X))$  denote the hyperspace of closed subsets (subcontinua) of  $X$ . In this paper the hyperspaces are investigated with respect to point-wise connectivity properties. Let  $M \in C(X)$ . Then  $2^X$  is locally connected (connected im kleinen) at  $M$  if and only if for each open set  $U$  containing  $M$  there is a connected open set  $V$  such that  $M \subset V \subset U$  (there is a component of  $U$  which contains  $M$  in its interior). This theorem is used to prove the following main result. Let  $A \in 2^X$ . Then  $2^X$  is locally connected (connected im kleinen) at  $A$  if and only if  $2^X$  is locally connected (connected im kleinen) at each component of  $A$ . Several related results about  $C(X)$  are also obtained.

A continuum  $X$  will be a compact connected metric space.  $2^X(C(X))$  denotes the hyperspace of closed subsets (subcontinua) of  $X$ , each with the finite (Vietoris) topology, and since  $X$  is a continuum, each of  $2^X$  and  $C(X)$  is also a continuum (see [5]).

One of the earliest results about hyperspaces of continua, due to Wojdyslawski [7], was that each of  $2^X$  and  $C(X)$  is locally connected if and only if  $X$  is locally connected. As a point-wise property, local connectedness is stronger than connectedness im kleinen, which in turn is stronger than aposyndesis. The author [1] has shown that if  $X$  is any continuum, then each of  $2^X$  and  $C(X)$  is aposyndetic. It is the purpose of this paper to investigate the internal structure of  $2^X$  and  $C(X)$  with respect to these properties. In particular, we determine necessary and sufficient conditions (in terms of the neighborhood structure in  $X$ ) that  $2^X$  be locally connected at a point and that  $2^X$  be connected im kleinen at a point. We also determine that  $C(X)$  has, in general, stronger point-wise connectivity properties than either  $2^X$  or  $X$ .

For notational purposes, small letters will denote elements of  $X$ , capital letters will denote subsets of  $X$  and elements of  $2^X$ , and script letters will denote subsets of  $2^X$ . If  $A \subset X$ , then  $A^*$  (int  $A$ ) (bd  $A$ ) will denote the closure (interior) (boundary) of  $A$  in  $X$ .

Let  $x \in X$ . Then  $X$  is locally connected (l.c.) at  $x$  if for each open set  $U$  containing  $x$  there is a connected open set  $V$  such that  $x \in V \subset U$ .  $X$  is connected im kleinen (c.i.k.) at  $x$  if for each open set  $U$  containing  $x$  there is a component of  $U$  which contains  $x$  in its interior.  $X$  is aposyndetic at  $x$  if for each  $y \in X - x$  there is a

continuum  $M$  such that  $x \in \text{int } M$  and  $y \in X - M$ .

If  $A_1, \dots, A_n$  are subsets of  $X$ , then  $N(A_1, \dots, A_n) = \{B \in 2^X \mid \text{for each } i = 1, \dots, n, B \cap A_i \neq \emptyset, \text{ and } B \subset \bigcup_{i=1}^n A_i\}$ . The collection of all sets of the form  $N(U_1, \dots, U_n)$ , with  $U_1, \dots, U_n$  open in  $X$ , is a base for the finite topology. It is easy to establish that

$$N(U_1, \dots, U_n)^* = N(U_1^*, \dots, U_n^*)$$

and that  $N(V_1, \dots, V_m) \subset N(U_1, \dots, U_n)$  if and only if  $\bigcup_{j=1}^m V_j \subset \bigcup_{i=1}^n U_i$  and for each  $U_i$  there exists a  $V_j$  such that  $V_j \subset U_i$  (see [5]). We remark also that the finite topology is equivalent to the Hausdorff metric topology on  $2^X$  whenever  $X$  is a compact metric space (theorem on page 47 of [4]).

If  $\mathcal{A} \subset 2^X$ , then  $\bigcup \{A \mid A \in \mathcal{A}\}$  is open (closed) in  $X$  whenever  $\mathcal{A}$  is open (closed) in  $2^X$  (see [5]). Furthermore, if  $\mathcal{A} \cap C(X) \neq \emptyset$  and  $\mathcal{A}$  is connected, then  $\bigcup \{A \mid A \in \mathcal{A}\}$  is connected (Lemma 1.2 of [3]).

If  $n$  is a positive integer, then  $F_n(X) = \{A \in 2^X \mid A \text{ has at most } n \text{ elements}\}$  and  $F(X) = \bigcup_{n=1}^\infty F_n(X)$ .

An order arc in  $2^X(C(X))$  is an arc which is also a chain with respect to the partial order on  $2^X(C(X))$  induced by set inclusion. If  $A, B \in 2^X$ , then there exists an order arc from  $A$  to  $B$  if and only if  $A \subset B$  and each component of  $B$  meets  $A$  (Lemma 2.3 of [3]). It follows (Lemma 2.6 of [3]) that every order arc whose initial point is an element of  $C(X)$  is entirely contained within  $C(X)$ .

It will be convenient to begin our study by considering points of  $C(X)$ .

**THEOREM 1.** *Let  $M \in C(X)$ . Then  $2^X$  is c.i.k. at  $M$  if and only if for each open set  $U$  containing  $M$  there is a component of  $U$  which contains  $M$  in its interior.*

*Proof.* Suppose  $2^X$  is c.i.k. at  $M$ . Let  $U$  be an open set containing  $M$ . Then  $M \in N(U)$ , so there exists a component  $\mathcal{C}$  of  $N(U)$  containing  $M$  in its interior. It follows that  $\bigcup \{A \mid A \in \mathcal{C}\}$  is a connected set containing  $M$  in its interior and lying in  $U$ .

Conversely, suppose that for each open set  $U$  containing  $M$  there is a component of  $U$  which contains  $M$  in its interior. Let  $N(U_1, \dots, U_n)$  be a basic open set containing  $M$  and let  $N(V_1, \dots, V_m)$  be a basic open set such that  $M \in N(V_1, \dots, V_m) \subset N(V_1, \dots, V_m)^* \subset N(U_1, \dots, U_n)$ . Let  $V = \bigcup_{i=1}^m V_i$ . Then there is a component  $C$  of  $V$  which contains  $M$  in its interior. For each  $i = 1, \dots, m$ , let  $W_i = V_i \cap \text{int } C$ . Then  $M \in N(W_1, \dots, W_m) \subset N(V_1, \dots, V_m)$ . If  $A \in N(W_1, \dots, W_m)$ , then  $A \subset C^*$ , and  $A, C^* \in N(V_1^*, \dots, V_m^*) =$

$N(V_1, \dots, V_m)^* \subset N(U_1, \dots, U_n)$ . Since  $C^*$  is connected there exists an order arc in  $N(U_1, \dots, U_n)$  from  $A$  to  $C^*$ . It follows that there is a component of  $N(U_1, \dots, U_n)$  which contains  $M$  in its interior.

**COROLLARY 1.** *Let  $x \in X$ . Then  $2^X$  is c.i.k. at  $\{x\}$  if and only if  $X$  is c.i.k. at  $x$ .*

**LEMMA 1.** *Let  $V$  be a connected open set and  $V_1, \dots, V_n$  be open sets such that  $\bigcup_{i=1}^n V_i = V$ . Then  $N(V_1, \dots, V_n)$  is connected.*

*Proof.* Let  $p$  be the smallest positive integer such that  $F_p(X) \cap N(V_1, \dots, V_n) \neq \emptyset$ . We will show that

$$\mathcal{F} = \bigcup_{i=p}^{\infty} (F_i(X) \cap N(V_1, \dots, V_n))$$

is connected.

Let  $\mathcal{A} = \{\{x_1, \dots, x_n\} \mid \text{for each } i = 1, \dots, n, x_i \in V_i, \text{ and } x_i = x_j \text{ if and only if } i = j\}$ . We will first establish that  $\mathcal{A}$  lies in a connected subset of  $\mathcal{F}$ . Let  $\{x_1, \dots, x_n\}, \{y_1, \dots, y_n\} \in \mathcal{A}$ . Define  $\mathcal{A}_1 = \{\{x_1, \dots, x_n, y\} \mid y \in V\}$  and  $\mathcal{B}_1 = \{\{y_1, x_2, \dots, x_n, y\} \mid y \in V\}$ . Then each of  $\mathcal{A}_1$  and  $\mathcal{B}_1$  is the continuous image of the connected set  $V$ , so  $\mathcal{A}_1$  is a connected subset of  $\mathcal{F}$  which contains  $\{x_1, \dots, x_n\}$  and  $\{x_1, \dots, x_n, y_1\}$  and  $\mathcal{B}_1$  is a connected subset of  $\mathcal{F}$  which contains  $\{x_1, \dots, x_n, y_1\}$  and  $\{y_1, x_2, \dots, x_n\}$ . Similarly, for each  $i = 2, \dots, n - 1$  define  $\mathcal{A}_i = \{\{y_1, \dots, y_{i-1}, x_i, \dots, x_n, y\} \mid y \in V\}$  and

$$\mathcal{B}_i = \{\{y_1, \dots, y_i, x_{i+1}, \dots, x_n, y\} \mid y \in V\}.$$

Then  $\mathcal{A}_i$  is a connected subset of  $\mathcal{F}$  which contains  $\{y_1, \dots, y_{i-1}, x_i, \dots, x_n\}$  and  $\{y_1, \dots, y_i, x_i, \dots, x_n\}$  and  $\mathcal{B}_i$  is a connected subset of  $\mathcal{F}$  which contains  $\{y_1, \dots, y_i, x_i, \dots, x_n\}$  and  $\{y_1, \dots, y_i, x_{i+1}, \dots, x_n\}$ . Define  $\mathcal{A}_n = \{\{y_1, \dots, y_{n-1}, x_n, y\} \mid y \in V\}$  and

$$\mathcal{B}_n = \{\{y_1, \dots, y_n, y\} \mid y \in V\}.$$

Then  $\mathcal{A}_n$  is a connected subset of  $\mathcal{F}$  which contains  $\{y_1, \dots, y_{n-1}, x_n\}$  and  $\{y_1, \dots, y_n, x_n\}$  and  $\mathcal{B}_n$  is a connected subset of  $\mathcal{F}$  which contains  $\{y_1, \dots, y_n, x_n\}$  and  $\{y_1, \dots, y_n\}$ . It follows that  $\bigcup_{i=1}^n (\mathcal{A}_i \cup \mathcal{B}_i)$  is a connected subset of  $\mathcal{F}$  which contains  $\{x_1, \dots, x_n\}$  and  $\{y_1, \dots, y_n\}$ .

Now let  $\{x_1, \dots, x_m\} \in \mathcal{F} - \mathcal{A}$ . If  $p \leq m < n$ , choose  $n - m$  distinct elements  $x_{m+1}, \dots, x_n$  such that  $\{x_1, \dots, x_m, x_{m+1}, \dots, x_n\} \in \mathcal{A}$ . For each  $i = 1, \dots, n - m$  let  $\mathcal{C}_i = \{\{x_1, \dots, x_{m+(i-1)}, y\} \mid y \in V\}$ . Then  $\mathcal{C}_i$  is a connected subset of  $\mathcal{F}$  containing  $\{x_1, \dots, x_{m+(i-1)}\}$  and  $\{x_1, \dots, x_{m+i}\}$ . Hence  $\bigcup_{i=1}^{n-m} \mathcal{C}_i$  is a connected subset of  $\mathcal{F}$  containing  $\{x_1, \dots, x_m\}$  and  $\{x_1, \dots, x_n\}$ .

If  $m \geq n$ , let  $\{y_1, \dots, y_n\} \in \mathcal{A}$ . Let  $\mathcal{D}_1 = \{\{x_1, \dots, x_m, y\} \mid y \in V\}$ .

Then  $\mathcal{D}_1$  is a connected subset of  $\mathcal{F}$  containing  $\{x_1, \dots, x_m\}$  and  $\{x_1, \dots, x_m, y_1\}$ . For each  $i = 2, \dots, n$ , let  $\mathcal{D}_i = \{\{x_1, \dots, x_m, y_1, \dots, y_{i-1}, y\} \mid y \in V\}$ . Then  $\mathcal{D}_i$  is a connected subset of  $\mathcal{F}$  containing  $\{x_1, \dots, x_m, y_1, \dots, y_{i-1}\}$  and  $\{x_1, \dots, x_m, y_1, \dots, y_i\}$ . Hence  $\bigcup_{i=1}^n \mathcal{D}_i$  is a connected subset of  $\mathcal{F}$  containing  $\{x_1, \dots, x_m\}$  and  $\{x_1, \dots, x_m, y_1, \dots, y_n\}$ . With an analogous construction we can show that there is a connected subset of  $\mathcal{F}$  which contains  $\{y_1, \dots, y_n\}$  and  $\{x_1, \dots, x_m, y_1, \dots, y_n\}$ . It follows that there is a connected subset of  $\mathcal{F}$  which contains  $\{x_1, \dots, x_m\}$  and  $\{y_1, \dots, y_n\}$ .

We have now established that  $\mathcal{A}$  lies in a connected subset of  $\mathcal{F}$  and that each member of  $\mathcal{F} - \mathcal{A}$  lies in a connected subset of  $\mathcal{F}$  which meets  $\mathcal{A}$ . Hence  $\mathcal{F}$  is connected. Since  $\mathcal{F}$  is dense in  $N(V_1, \dots, V_n)$ , it follows that  $N(V_1, \dots, V_n)$  is connected.

**THEOREM 2.** *Let  $M \in C(X)$ . Then  $2^X$  is l.c. at  $M$  if and only if for each open set  $U$  containing  $M$  there exists a connected open set  $V$  such that  $M \subset V \subset U$ .*

*Proof.* Suppose  $2^X$  is l.c. at  $M$ . Let  $U$  be an open set containing  $M$ . Then  $M \in N(U)$ , so there exists a connected open set  $\mathcal{V}$  such that  $M \in \mathcal{V} \subset N(U)$ . It follows that  $M \subset \bigcup \{A \mid A \in \mathcal{V}\} = V \subset U$ , and  $V$  is open and connected.

Conversely, suppose that for each open set  $U$  containing  $M$  there exists a connected open set  $V$  such that  $M \subset V \subset U$ . Let  $N(U_1, \dots, U_n)$  be a basic open set such that  $M \in N(U_1, \dots, U_n)$  and let  $U = \bigcup_{i=1}^n U_i$ . Then there exists a connected open set  $V$  such that  $M \subset V \subset U$ . Let  $V_i = V \cap U_i$ . Then  $M \in N(V_1, \dots, V_n) \subset N(U_1, \dots, U_n)$ , and by Lemma 1,  $N(V_1, \dots, V_n)$  is connected.

**COROLLARY 2.** *Let  $x \in X$ . Then  $2^X$  is l.c. at  $\{x\}$  if and only if  $X$  is l.c. at  $x$ .*

We remark that if  $M \in C(X)$  and  $2^X$  is l.c. at  $M$ , then Lemma 1 and Theorem 2 imply the existence of a local base of connected sets at  $M$ , each of which is of the form  $N(U_1, \dots, U_n)$ .

The next several results concern the relationships between  $2^X$  and  $C(X)$  with respect to local connectedness and connectedness im kleinen at points of  $C(X)$ .

**THEOREM 3.** *Let  $M \in C(X)$ . If  $2^X$  is c.i.k. at  $M$ , then  $C(X)$  is c.i.k. at  $M$ .*

*Proof.* Let  $N(U_1, \dots, U_n) \cap C(X)$  be an open set containing  $M$ . Let  $N(V_1, \dots, V_m)$  be an open set such that  $M \in N(V_1, \dots, V_m) \subset$

$N(V_1, \dots, V_m)^* \subset N(U_1, \dots, U_n)$ . Since  $2^X$  is c.i.k. at  $M$ , there exists an open set  $N(W_1, \dots, W_k)$  such that

$$M \in N(W_1, \dots, W_k) \subset N(V_1, \dots, V_m)$$

and with the property that  $B \in N(W_1, \dots, W_k)$  implies  $N(V_1, \dots, V_m)$  contains a connected set containing  $B$  and  $M$ . Then  $N(U_1, \dots, U_n)$  contains a continuum containing  $B$  and  $M$ .

Let  $K \in N(W_1, \dots, W_k) \cap C(X)$ . Then there exists a continuum  $\mathcal{L}$  in  $N(U_1, \dots, U_n)$  containing  $K$  and  $M$ . Now  $\bigcup \{A \mid A \in \mathcal{L}\} = L \in C(X)$ , and  $L \in N(U_1, \dots, U_n)$ , since  $\mathcal{L} \subset N(U_1, \dots, U_n)$ . It follows that there exist order arcs  $\mathcal{L}_K$  and  $\mathcal{L}_M$  in  $N(U_1, \dots, U_n) \cap C(X)$  from  $K$  to  $L$  and from  $M$  to  $L$ . So  $\mathcal{L}_K \cup \mathcal{L}_M$  is a continuum in  $N(U_1, \dots, U_n) \cap C(X)$  containing  $K$  and  $M$ . Hence  $C(X)$  is c.i.k. at  $M$ .

**COROLLARY 3.** *Let  $M \in C(X)$ . If for each open set  $U$  containing  $M$  there is a component of  $U$  which contains  $M$  in its interior, then  $C(X)$  is c.i.k. at  $M$ .*

Corollary 3 is a generalization of Theorem 6 of [6]. The example following Theorem 6 of [6] shows that the converse of Corollary 3 is false. It also shows that the converse of Question 1 below is false.

*Question 1.* Let  $M \in C(X)$ . If  $2^X$  is l.c. at  $M$ , is  $C(X)$  l.c. at  $M$ ?

**COROLLARY 4.** *Let  $x \in X$ . Then  $X$  is c.i.k. at  $x$  if and only if  $C(X)$  is c.i.k. at  $\{x\}$ .*

*Proof.* If  $X$  is c.i.k. at  $x$ , then by Corollary 1,  $2^X$  is c.i.k. at  $\{x\}$ , and by Theorem 3,  $C(X)$  is c.i.k. at  $\{x\}$ .

Suppose  $C(X)$  is c.i.k. at  $\{x\}$ . Let  $U$  be an open set containing  $x$ . Then  $\{x\} \in N(U) \cap C(X)$ , so there exists an open set  $N(V) \cap C(X)$ ,  $\{x\} \in N(V) \cap C(X) \subset N(U) \cap C(X)$ , with the property that  $M \in N(V) \cap C(X)$  implies  $N(U) \cap C(X)$  contains a connected set containing  $M$  and  $\{x\}$ .

Now  $x \in V \subset U$ . Let  $y \in V$ . Then  $\{y\} \in N(V) \cap C(X)$ , so  $N(U) \cap C(X)$  contains a connected set  $\mathcal{L}$  containing  $\{y\}$  and  $\{x\}$ . It follows that  $\bigcup \{L \mid L \in \mathcal{L}\}$  is a connected subset of  $U$  containing  $x$  and  $y$ . Hence  $X$  is c.i.k. at  $x$ .

**COROLLARY 5.** *Let  $x \in X$ . If  $X$  is l.c. at  $x$ , then  $C(X)$  is l.c. at  $\{x\}$ .*

*Proof.* This follows from the observation that if  $V$  is connected, then  $N(V) \cap C(X)$  is connected, since each point of  $(N(V) \cap C(X)) - F_1(V)$  can be joined by an order arc in  $N(V) \cap C(X)$  to a point of  $F_1(V)$ , and  $F_1(V)$  is connected.

The next example shows that the converse of Corollary 5 is false.

EXAMPLE 1. This example is from page 113 of [2]. For each positive integer  $n$  and each positive integer  $m$  let  $L_{n,m}$  denote the line segment in the plane from  $(1/(n+1), (-1)^{n+1}/m(n+1))$  to  $(1/n, 0)$ . Let  $A_n = (\bigcup_{m=1}^{\infty} L_{n,m})^*$  and let  $X = (\bigcup_{n=1}^{\infty} A_n)^*$ . Then  $X$  is c.i.k. at  $(0, 0)$  but is not l.c. at  $(0, 0)$ .

We now give a brief argument that  $C(X)$  is l.c. at  $\{(0, 0)\}$ . For each  $n \geq 2$  choose  $q_n, r_n$ , and  $s_n$  so that  $1/(n+1) < q_n < r_n < 1/n < s_n < 1/(n-1)$ . Let  $U_n = \{(x, y) \mid x < r_n\}$  and  $V_n = \{(x, y) \mid q_n < x < s_n\}$ . Then  $N(U_n) \cup N(U_n, V_n)$  is a continuum-wise connected open set in  $C(X)$  containing  $\{(0, 0)\}$ , for if  $M, N \in N(U_n) \cup N(U_n, V_n)$ , then  $M, N \subset \{(x, y) \mid x < 1/n\} \cup \{(x, 0) \mid 1/n \leq x < s_n\}$  and a continuum can be constructed in  $C(X)$  containing  $M$  and  $N$  and lying in  $N(U_n) \cup N(U_n, V_n)$ . Clearly  $\{N(U_n) \cup N(U_n, V_n) \mid n = 2, 3, \dots\}$  is a neighborhood base at  $\{(0, 0)\}$ .

The following definition and Lemma 2 concern the finite topology and will be used in proving our main results, in which we obtain necessary and sufficient conditions that  $2^X$  be l.c. (c.i.k.) at an arbitrary point.

Let  $A \in 2^X$ . A basic open set  $N(U_1, \dots, U_n)$  is *essential with respect to*  $A$  if  $A \in N(U_1, \dots, U_n)$  and for each  $i = 1, \dots, n$ ,  $A - \bigcup_{j \neq i} U_j \neq \emptyset$ .

LEMMA 2. Let  $A \in 2^X$  and  $N(U_1, \dots, U_n)$  be an open set containing  $A$ . Then there exists an open set  $N(V_1, \dots, V_m)$  such that  $A \in N(V_1, \dots, V_m) \subset N(U_1, \dots, U_n)$  and  $N(V_1, \dots, V_m)$  is *essential with respect to*  $A$ .

*Proof.* Choose  $x_1, \dots, x_n \in A$  such that  $x_i \in U_i$ . Let  $V_1, \dots, V_n$  be open sets such that for each  $i = 1, \dots, n$ ,  $x_i \in V_i \subset \bigcap \{U_j \mid x_i \in U_j\}$  and with the additional property that  $V_i = V_j$  if  $x_i = x_j$  and  $V_i \cap V_j = \emptyset$  if  $x_i \neq x_j$ . Let  $\{V_1, \dots, V_k\}$  (relabeling if necessary) be the set of  $V_i$ 's which are distinct. For each  $y \in A - \bigcup_{i=1}^k V_i$  let  $O_y$  be an open set such that  $y \in O_y \subset \bigcap \{U_j \mid y \in U_j\}$  and such that  $O_y \cap \{x_1, \dots, x_n\} = \emptyset$ . Since  $A - \bigcup_{i=1}^k V_i$  is compact, there exist  $y_1, \dots, y_p$  such that  $A - \bigcup_{i=1}^k V_i \subset \bigcup_{i=1}^p O_{y_i}$ . We may assume that all the  $O_{y_i}$ 's are distinct. Let  $\{O_{y_1}, \dots, O_{y_q}\}$  (relabeling if necessary) be the subset of

$\{O_{y_1}, \dots, O_{y_p}\}$  consisting of all the  $O_{y_i}$ 's with the property that  $(A - \bigcup_{i=1}^k V_i) - \bigcup_{j \neq i} O_{y_j} \neq \emptyset$ .

For notational purposes, for each  $j = 1, \dots, q$  let  $O_{y_j} = V_{k+j}$  and let  $k + q = m$ . Then  $A \in N(V_1, \dots, V_k, V_{k+1}, \dots, V_m)$ . Clearly

$$N(V_1, \dots, V_k, V_{k+1}, \dots, V_m) \subset N(U_1, \dots, U_n).$$

For each  $j = 1, \dots, k$  there exists  $x_i \in A$  such that  $x_i \in V_j$  and  $x_i \notin (\bigcup_{p=1}^m V_p) - V_j$ . For each  $j = k + 1, \dots, m$ ,

$$\left( A - \bigcup_{i=1}^k V_i \right) - \bigcup_{\substack{i=k+1 \\ i \neq j}}^m V_i \neq \emptyset,$$

so there exists  $a_j \in V_j \cap (A - \bigcup_{i=1}^k V_i)$  such that  $a_j \notin \bigcup_{i \neq j} V_i$ . It follows that  $N(V_1, \dots, V_m)$  is essential with respect to  $A$ .

**THEOREM 4.** *Let  $A \in 2^X$ . Then  $2^X$  is c.i.k. at  $A$  if and only if  $2^X$  is c.i.k. at each component of  $A$ .*

*Proof.* Suppose that  $2^X$  is c.i.k. at  $A$ . Let  $A_1$  be a component of  $A$  and let  $W$  be an open set containing  $A_1$ . Let  $U$  be an open set such that  $A_1 \subset U \subset U^* \subset W$  and such that  $(\text{bd } U) \cap A = \emptyset$ . Let  $\{U_1, \dots, U_n\}$  be a finite cover of  $A - U$  by open sets such that for each  $i = 1, \dots, n$ ,  $U \cap U_i = \emptyset$  and  $A \cap U_i \neq \emptyset$ . Then  $A \in N(U, U_1, \dots, U_n)$ .

Let  $\mathcal{E}$  be a component of  $N(U, U_1, \dots, U_n)$  which contains  $A$  in its interior. Define  $f: \mathcal{E} \rightarrow N(U)$  by  $f(B) = B \cap U$ . If  $N(V_1, \dots, V_p) \subset N(U)$ , then  $f^{-1}(N(V_1, \dots, V_p)) = N(V_1, \dots, V_p, U_1, \dots, U_n) \cap \mathcal{E}$ , so  $f$  is continuous. Hence  $f(\mathcal{E})$  is connected.

Let  $N(V_1, \dots, V_q)$  be an open set such that  $A \in N(V_1, \dots, V_q) \subset \mathcal{E}$ . Let  $\{V_1, \dots, V_m\}$  (relabeling if necessary) be the largest subset of  $\{V_1, \dots, V_q\}$  with the property that for each  $j = 1, \dots, m$ ,  $V_j \cap U \neq \emptyset$ . Let  $\{V_1, \dots, V_k\}$  (relabeling if necessary) be the largest subset of  $V_1, \dots, V_m$  with the property that for each  $j = 1, \dots, k$ ,  $V_j \cap (\bigcup_{i=1}^n U_i) = \emptyset$ . For each  $j = 1, \dots, k$ , let  $V_j^1 = V_j \cap U$  and  $V_j^2 = V_j \cap (\bigcup_{i=1}^n U_i)$ . Then

$$\begin{aligned} A \in N(V_1^1, \dots, V_k^1, V_{k+1}, \dots, V_m, V_1^2, \dots, V_k^2, V_{m+1}, \dots, V_q) \\ = \mathcal{V} \subset N(V_1, \dots, V_q) \subset \mathcal{E}. \end{aligned}$$

Now if  $B \in \mathcal{V}$ , then

$$\begin{aligned} f(B) &= B \cap U \\ &= B \cap \left[ \left( \bigcup_{j=1}^k V_j^1 \right) \cup \left( \bigcup_{j=k+1}^m V_j^2 \right) \right] \in N(V_1^1, \dots, V_k^1, V_{k+1}, \dots, V_m). \end{aligned}$$

Conversely, suppose  $C \in N(V_1^1, \dots, V_k^1, V_{k+1}, \dots, V_m)$ . For each  $j = 1, \dots, k$ , let  $x_j \in V_j^2$  and for each  $j = m + 1, \dots, q$  let  $x_j \in V_j$ . Then

$C \cup \{x_1, \dots, x_k, x_{m+1}, \dots, x_q\} \in \mathcal{V}$  and  $f(C \cup \{x_1, \dots, x_k, x_{m+1}, \dots, x_q\}) = C \in f(\mathcal{V})$ . Hence  $f(\mathcal{V}) = N(V_1^1, \dots, V_k^1, V_{k+1}, \dots, V_m)$ . So  $f(\mathcal{E})$  contains an open set containing  $A \cap U$ .

Let  $C = \bigcup \{f(B) \mid B \in \mathcal{E}\}$ . Then  $C^* \subset U^* \subset W$ . Let  $C(A_i)$  be the component of  $C^*$  which contains  $A_i$ . Let  $N(V_1, \dots, V_m, V_{m+1}, \dots, V_p)$  be an open set such that  $A \in N(V_1, \dots, V_m, V_{m+1}, \dots, V_p) \subset \mathcal{E}$  and such that  $\bigcup_{i=1}^m V_i \subset U$  and  $\bigcup_{i=m+1}^p V_i \subset \bigcup_{i=1}^m U_i$ . Let  $\{V_1, \dots, V_k\}$  (relabeling if necessary) be the largest subset of  $\{V_1, \dots, V_m\}$  with the property that for each  $i = 1, \dots, k$ ,  $V_i^* \cap C(A_i) = \emptyset$ . (A slight modification of the following argument is necessary in the case that  $\{V_1, \dots, V_k\} = \emptyset$ .) Let  $O$  be an open set containing  $C(A_i)$  such that  $O \cap (\bigcup_{i=1}^k V_i^*) = \emptyset$  and such that  $(\text{bd } O) \cap C^* = \emptyset$ .

Let  $x \in A_i$ . Suppose  $x \notin \text{int } C(A_i)$ . Let  $O_x$  be an open set containing  $x$  such that  $O_x \subset O \cap (\bigcap \{V_i \mid x \in V_i\})$ . Let  $y \in O_x$  such that  $y \in C(A_i)$  and let  $C(y)$  be the component of  $C^*$  which contains  $y$ . Since  $(\text{bd } O) \cap C^* = \emptyset$ ,  $C(y) \subset O$ . Let  $O_y$  be an open set containing  $C(y)$  such that  $O_y \subset O$ ,  $O_y \cap C(A_i) = \emptyset$ , and such that  $(\text{bd } O_y) \cap C^* = \emptyset$ .

Now  $O_y, O - O_y^*$ , and  $X - O^*$  are disjoint open sets, and  $C^* \subset O_y \cup (O - O_y^*) \cup (X - O^*)$ . Consequently the sets  $N(O_y), N(O - O_y^*), N(X - O^*), N(O_y, O - O_y^*), N(O_y, X - O^*), N(O - O_y^*, X - O^*),$  and  $N(O_y, O - O_y^*, X - O^*)$  are pairwise disjoint, and  $f(\mathcal{E})^*$  is contained in the union of these sets.

For each  $i = 1, \dots, k$ , let  $x_i \in V_i$ . For each  $i = k + 1, \dots, m$ ,  $C(A_i) \cap V_i^* \neq \emptyset$ , and since  $O - O_y^*$  is an open set containing  $C(A_i)$ , there exists  $x_i \in O - O_y^*$  such that  $x_i \in V_i$ . Then  $\{x_1, \dots, x_m\}, \{x_1, \dots, x_m, y\} \in N(V_1, \dots, V_m) \subset f(\mathcal{E})$ . Furthermore,  $\{x_1, \dots, x_m\} \in N(O - O_y^*, X - O^*)$  and  $\{x_1, \dots, x_m, y\} \in N(O_y, O - O_y^*, X - O^*)$ . Hence  $f(\mathcal{E})^*$  is not connected, so  $f(\mathcal{E})$  is not connected, a contradiction. Thus the assumption that  $x \notin \text{int } C(A_i)$  was false. It follows that  $C(A_i)$  is a connected subset of  $C^*$  which contains  $A_i$  in its interior. Hence, by Theorem 1,  $2^X$  is c.i.k. at  $A_i$ .

For the converse, suppose that  $2^X$  is c.i.k. at each component of  $A$ . Let  $\mathcal{U}$  be an open set containing  $A$  and  $N(U_1, \dots, U_n)$  be a basic open set such that  $A \in N(U_1, \dots, U_n) \subset N(U_1, \dots, U_n)^* \subset \mathcal{U}$ . By Lemma 2 we may assume that  $N(U_1, \dots, U_n)$  is essential with respect to  $A$ . For each component  $A_\alpha$  of  $A$  let  $\{U_{i_1}, \dots, U_{i_{n_\alpha}}\}$  be the largest subset of  $\{U_1, \dots, U_n\}$  with the property that for  $j = 1, \dots, n_\alpha$ ,  $A_\alpha \cap U_{i_j} \neq \emptyset$ . Then  $A_\alpha \in N(U_{i_1}, \dots, U_{i_{n_\alpha}})$ . Let  $U_\alpha = \bigcup_{j=1}^{n_\alpha} U_{i_j}$ . By Theorem 1 there is a component  $M_\alpha$  of  $U_\alpha$  which contains  $A_\alpha$  in its interior. For each  $j = 1, \dots, n_\alpha$  let  $V_j^\alpha = (\text{int } M_\alpha) \cap U_{i_j}$ . Then  $A_\alpha \in N(V_1^\alpha, \dots, V_{n_\alpha}^\alpha) \subset N(U_{i_1}, \dots, U_{i_{n_\alpha}})$ .

Now  $A \subset \bigcup_\alpha (\bigcup_{j=1}^{n_\alpha} V_j^\alpha)$  and since  $A$  is compact there exists a finite subcover of  $A$  of the form  $\bigcup_{i=1}^m (\bigcup_{j=1}^{n_{\alpha_i}} V_j^{\alpha_i})$ . Then

$$A \in N(V_1^{\alpha_1}, \dots, V_{n_{\alpha_1}}^{\alpha_1}, \dots, V_1^{\alpha_m}, \dots, V_{n_{\alpha_m}}^{\alpha_m}) \subset N(U_1, \dots, U_n).$$

The last inclusion follows from the construction and the fact that  $N(U_1, \dots, U_n)$  is essential with respect to  $A$ . Let  $M = \bigcup_{i=1}^m M_{\alpha_i}^*$ . Then  $M \in N(U_1, \dots, U_n)^*$ .

Let  $B \in N(V_1^{\alpha_1}, \dots, V_{n_{\alpha_1}}^{\alpha_1}, \dots, V_1^{\alpha_m}, \dots, V_{n_{\alpha_m}}^{\alpha_m})$ . Note that  $B = \bigcup_{i=1}^m (B \cap \bigcup_{j=1}^{n_{\alpha_j}} V_j^{\alpha_j*})$ . Now  $B \cap (\bigcup_{j=1}^{n_{\alpha_1}} V_j^{\alpha_1*}) \subset M_{\alpha_1}^*$ , so there exists an order arc  $\mathcal{B}_{\alpha_1}$  from  $B \cap (\bigcup_{j=1}^{n_{\alpha_1}} V_j^{\alpha_1*})$  to  $M_{\alpha_1}^*$ . Define  $f_1: \mathcal{B}_{\alpha_1} \rightarrow \mathcal{U}$  by  $f_1(C) = C \cup (\bigcup_{i=2}^m (B \cap \bigcup_{j=1}^{n_{\alpha_j}} V_j^{\alpha_j*}))$ . Since union is continuous,  $f_1(\mathcal{B}_{\alpha_1})$  is connected, and  $B, M_{\alpha_1}^* \cup (\bigcup_{i=2}^m (B \cap \bigcup_{j=1}^{n_{\alpha_j}} V_j^{\alpha_j*})) \in f_1(\mathcal{B}_{\alpha_1})$ . For each  $i = 2, \dots, m$ , there exists an order arc  $\mathcal{B}_{\alpha_i}$  from  $B \cap (\bigcup_{j=1}^{n_{\alpha_i}} V_j^{\alpha_i*})$  to  $M_{\alpha_i}^*$ . For each  $i = 2, \dots, m - 1$ , define  $f_i(\mathcal{B}_{\alpha_i}) \rightarrow \mathcal{U}$  by

$$f_i(C) = \left( \bigcup_{k=1}^{i-1} M_{\alpha_k}^* \right) \cup C \cup \left( \bigcup_{k=i+1}^m (B \cap \bigcup_{j=1}^{n_{\alpha_k}} V_j^{\alpha_k*}) \right).$$

Then  $f_i(\mathcal{B}_{\alpha_i})$  is a connected subset of  $\mathcal{U}$  containing  $(\bigcup_{k=1}^{i-1} M_{\alpha_k}^*) \cup (\bigcup_{k=i}^m (B \cap \bigcup_{j=1}^{n_{\alpha_k}} V_j^{\alpha_k*}))$  and  $(\bigcup_{k=1}^i M_{\alpha_k}^*) \cup (\bigcup_{k=i+1}^m (B \cap \bigcup_{j=1}^{n_{\alpha_k}} V_j^{\alpha_k*}))$ . Define  $f_m(\mathcal{B}_{\alpha_m}) \rightarrow \mathcal{U}$  by  $f_m(C) = (\bigcup_{k=1}^{m-1} M_{\alpha_k}^*) \cup C$ . Then  $f_m(\mathcal{B}_{\alpha_m})$  is a connected subset of  $\mathcal{U}$  containing  $(\bigcup_{k=1}^{m-1} M_{\alpha_k}^*) \cup (B \cap \bigcup_{j=1}^{n_{\alpha_m}} V_j^{\alpha_m*})$  and  $M$ . Hence  $\bigcup_{i=1}^m f_i(\mathcal{B}_{\alpha_i})$  is a connected subset of  $\mathcal{U}$  containing  $B$  and  $M$ . It follows that  $2^X$  is c.i.k. at  $A$ .

**THEOREM 5.** *Let  $A \in 2^X$ . Then  $2^X$  is l.c. at  $A$  if and only if  $2^X$  is l.c. at each component of  $A$ .*

*Proof.* Suppose that  $2^X$  is l.c. at  $A$ . Let  $A_1$  be a component of  $A$  and let  $W$  be an open set containing  $A_1$ . Let  $U$  be an open set such that  $A_1 \subset U \subset W$  and such that  $(\text{bd } U) \cap A = \emptyset$ . Let  $\{U_1, \dots, U_n\}$  be a finite cover of  $A - U$  by open sets such that for each  $i = 1, \dots, n$ ,  $U \cap U_i = \emptyset$  and  $A \cap U_i \neq \emptyset$ . Then  $A \in N(U, U_1, \dots, U_n)$ .

Let  $\mathcal{V}$  be a connected open set such that  $A \in \mathcal{V} \subset N(U, U_1, \dots, U_n)$ . Define  $f: \mathcal{V} \rightarrow N(U)$  by  $f(B) = B \cap U$ . An argument similar to the one used in Theorem 5 will establish that  $f$  is both continuous and open. Hence  $f(\mathcal{V})$  is connected and open.

Let  $V = \bigcup \{f(B) \mid B \in \mathcal{V}\}$ . Then  $V \subset U$ . Let  $Q(A_1)$  be the quasicomponent of  $V$  which contains  $A_1$  and let  $x \in Q(A_1)$ . Let  $B \in \mathcal{V}$  such that  $x \in f(B)$ . Then there exists an open set  $N(V_1, \dots, V_m, V_{m+1}, \dots, V_p)$  such that  $B \in N(V_1, \dots, V_m, V_{m+1}, \dots, V_p) \subset N(V_1^*, \dots, V_m^*, V_{m+1}, \dots, V_p) \subset \mathcal{V}$  and such that  $\bigcup_{i=1}^m V_i^* \subset U$  and  $\bigcup_{i=m+1}^p V_i \subset \bigcup_{i=1}^m U_i$ . Let  $\{V_i, \dots, V_k\}$  be the largest subset of  $\{V_1, \dots, V_m\}$  with the property that for each  $i = 1, \dots, k$ ,  $V_i^* \cap Q(A_1) = \emptyset$ . (A slight modification of the following argument is necessary in the case that

$\{V_1, \dots, V_k\} = \emptyset$ .) Since  $\bigcup_{i=1}^k V_i^*$  is compact, there exist disjoint open-closed sets  $S$  and  $T$  such that  $\bigcup_{i=1}^k V_i^* \subset S, Q(A_1) \subset T$  and  $S \cup T = V$ .

Suppose  $x \notin \text{int } Q(A_1)$ . Let  $O$  be an open set containing  $x$  such that  $O \subset T \cap (\bigcap \{V_i \mid x \in V_i\})$ . Let  $y \in O$  such that  $y \notin Q(A_1)$ . Then there exist disjoint open-closed sets  $T'$  and  $T''$  such that  $Q(A_1) \subset T', y \in T'',$  and  $T' \cup T'' = T$ .

Now  $T', T'',$  and  $S$  are disjoint open sets whose union is  $V$ . Consequently the sets  $N(T'), N(T''), N(S), N(T', T''), N(T', S), N(T'', S),$  and  $N(T', T'', S)$  are pairwise disjoint and  $f(\mathcal{C})$  is contained in the union of these sets.

For each  $i = 1, \dots, k,$  let  $x_i \in V_i$ . For each  $i = k + 1, \dots, m,$   $Q(A_1) \cap V_i^* \neq \emptyset,$  and since  $T'$  is an open set containing  $Q(A_1),$  there exists  $x_i \in T'$  such that  $x_i \in V_i$ . Then

$$\{x_1, \dots, x_m\}, \{x_1, \dots, x_m, y\} \in N(V_1, \dots, V_m) \subset f(\mathcal{C}).$$

Furthermore,  $\{x_1, \dots, x_m\} \in N(T', S)$  and  $\{x_1, \dots, x_m, y\} \in N(T', T'', S)$ . Hence  $f(\mathcal{C})$  is not connected, a contradiction, so the assumption that  $x \notin \text{int } Q(A_1)$  was false.

We have now established that  $Q(A_1)$  is open. So  $Q(A_1)$  and  $V - Q(A_1)$  are disjoint open-closed subsets of  $V$ . If  $Q(A_1)$  were not connected, there would exist a proper open-closed subset of  $Q(A_1)$  (and hence of  $V$ ) containing  $A_1,$  which is impossible. It follows that  $Q(A_1)$  is an open connected subset of  $V$  containing  $A_1.$  Hence, by Theorem 2,  $2^x$  is l.c. at  $A.$

For the converse, suppose that  $2^x$  is l.c. at each component of  $A.$  Let  $N(U_1, \dots, U_n)$  be a basic open set containing  $A.$  By Lemma 2 we may assume that  $N(U_1, \dots, U_n)$  is essential with respect to  $A.$  For each component  $A_\alpha$  of  $A$  let  $\{U_{i_1}, \dots, U_{i_{n_\alpha}}\}$  be the largest subset of  $\{U_1, \dots, U_n\}$  with the property that for each  $j = 1, \dots, n_\alpha, U_{i_j} \cap A_\alpha \neq \emptyset.$  Then  $A_\alpha \in N(U_{i_1}, \dots, U_{i_{n_\alpha}}).$  Let  $U_\alpha = \bigcup_{j=1}^{n_\alpha} U_{i_j}.$  By Theorem 2 there is a connected open set  $V_\alpha$  such that  $A_\alpha \subset V_\alpha \subset U_\alpha.$  For each  $j = 1, \dots, n_\alpha$  let  $V_j^\alpha = V_\alpha \cap U_{i_j}.$  Then

$$A_\alpha \in N(V_1^\alpha, \dots, V_{n_\alpha}^\alpha) \subset N(U_{i_1}, \dots, U_{i_{n_\alpha}})$$

and by Lemma 1,  $N(V_1^\alpha, \dots, V_{n_\alpha}^\alpha)$  is connected. Now  $A \subset \bigcup_\alpha (U_{j=1}^{n_\alpha} V_j^\alpha),$  and since  $A$  is compact, there exist  $\alpha_1, \dots, \alpha_m$  such that  $A \subset \bigcup_{i=1}^m (U_{i=1}^{n_{\alpha_i}} V_j^{\alpha_i}).$  Then

$$A \in N(V_1^{\alpha_1}, \dots, V_{n_{\alpha_1}}^{\alpha_1}, \dots, V_1^{\alpha_m}, \dots, V_{n_{\alpha_m}}^{\alpha_m}) = \mathcal{C} \subset N(U_1, \dots, U_n).$$

The last inclusion follows from the construction and the fact that  $N(U_1, \dots, U_n)$  is essential with respect to  $A.$

Let  $B, C \in \mathcal{V} \cap F(X)$  and for and  $i = 1, \dots, m$  let  $B_i = B \cap (\bigcup_{j=1}^{n_{\alpha_i}} V_j^{\alpha_i})$  and  $C_i = C \cap (\bigcup_{j=1}^{n_{\alpha_i}} V_j^{\alpha_i})$ . Then  $B_i, C_i \in N(V_1^{\alpha_i}, \dots, V_{n_{\alpha_i}}^{\alpha_i}) \cap F(X)$ . As in the proof of Theorem 2, for each  $i = 1, \dots, m$  there exists a connected set  $\mathcal{L}_i$  in  $N(V_1^{\alpha_i}, \dots, V_{n_{\alpha_i}}^{\alpha_i}) \cap F(X)$  which contains  $B_i$  and  $C_i$ . Define  $f_1: \mathcal{L}_1 \rightarrow \mathcal{V} \cap F(X)$  by  $f_1(D) = D \cup (\bigcup_{i=2}^m B_i)$ . Since  $f_1$  is continuous,  $f_1(\mathcal{L}_1)$  is a connected subset of  $\mathcal{V} \cap F(X)$  containing  $B$  and  $C_1 \cup (\bigcup_{i=2}^m B_i)$ . For each  $i = 2, \dots, m - 1$  define  $f_i: \mathcal{L}_i \rightarrow \mathcal{V} \cap F(X)$  by  $f_i(D) = (\bigcup_{k=1}^{i-1} C_k) \cup D \cup (\bigcup_{k=i+1}^m B_k)$ . Then  $f_i(\mathcal{L}_i)$  is a connected subset of  $\mathcal{V} \cap F(X)$  containing  $(\bigcup_{k=1}^{i-1} C_k) \cup (\bigcup_{k=i}^m B_k)$  and  $(\bigcup_{k=1}^i C_i) \cup (\bigcup_{k=i+1}^m B_i)$ . Define  $f_m: \mathcal{L}_m \rightarrow \mathcal{V} \cap F(X)$  by  $f_m(D) = (\bigcup_{i=1}^{m-1} C_i) \cup D$ . Then  $f_m(\mathcal{L}_m)$  is a connected subset of  $\mathcal{V} \cap F(X)$  containing  $(\bigcup_{i=1}^{m-1} C_i) \cup B_m$  and  $C$ . Hence  $\bigcup_{i=1}^m f_i(\mathcal{L}_i)$  is a connected subset of  $\mathcal{V} \cap F(X)$  containing  $B$  and  $C$ . It follows that  $\mathcal{V} \cap F(X)$  is connected, and since  $\mathcal{V} \cap F(X)$  is dense in  $\mathcal{V}$ ,  $\mathcal{V}$  is connected. Hence  $2^X$  is l.c. at  $A$ .

COROLLARY 6. *Let  $A \in 2^X$ . If  $X$  is c.i.k. (l.c.) at each point of  $A$ , then  $2^X$  is c.i.k. (l.c.) at  $A$ .*

The converses of Corollary 6 are false. It is easy to verify (see Lemma 2 of [1]) that for any continuum  $X$ ,  $2^X$  is l.c. at  $X$ .

COROLLARY 7. *The following are equivalent:*

- (1) *For each  $i = 1, \dots, n$ ,  $X$  is c.i.k. (l.c.) at  $p_i$ .*
- (2) *For each  $i = 1, \dots, n$ ,  $2^X$  is c.i.k. (l.c.) at  $\{p_i\}$ .*
- (3)  *$2^X$  is c.i.k. (l.c.) at  $\{p_1, \dots, p_n\}$ .*

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