

## EXTENSIONS OF THE MAXIMAL IDEAL SPACE OF A FUNCTION ALGEBRA

J-E. BJÖRK

Let  $A$  be a function algebra with its maximal ideal space  $M_A$ . Let  $B$  be a function algebra such that  $A \subset B \subset C(M_A)$ . What can be said about  $M_B$ ? We prove that  $M_A = M_B$  if every point  $x \in M_A$  has a fundamental neighborhood system  $\{W\}$  such that the topological boundary  $bW$  of each  $W$  is contained in the Choquet boundary of  $A$  or if  $A$  is a normal function algebra. The first condition is satisfied if  $M_A$  is a one dimensional topological space. Let  $H(A)$  be the function algebra on  $M_A$  generated by all functions which are locally approximable in  $A$ . We prove that  $M_{H(A)} = M_A$  and then we try to generalize this result. If  $f \in C(M_A)$  is such that  $f$  is locally approximable in  $A$  at every point where  $f$  is different from zero then  $M_A$  is the maximal ideal space of the function algebra generated by  $A$  and  $f$ . We also look at closed subsets  $F$  of  $M_A$  such that  $M_{H(F)} = F$  where  $H(F)$  is the function algebra generated by restricting to  $F$  all functions that are defined and locally approximable in  $A$  in some neighborhood of  $F$ . These sets are called natural sets. We prove that there exists a smallest natural set  $B(F)$  containing a closed set  $F$  in  $M_A$  and that the Silov boundary of  $H(B(F))$  is contained in  $F$ . We also find conditions that guarantee that a closed set in  $M_A$  is a natural set.

If  $X$  is a set and  $f$  is a complex-valued function defined on  $X$  then  $|f|_V = \sup\{|f(x)| \mid x \in V\}$  for every  $V \subset X$  and  $f_V$  is the restriction of  $f$  to  $V$ . If  $V$  is a subset of a topological space  $X$  then  $bV$  is the topological boundary of  $V$  in  $X$ . If  $A$  is a function algebra we denote by  $M_A$  its maximal ideal space, and  $S_A$  its Shilov boundary. A point  $x \in M_A$  is a strong boundary point in  $A$  if  $\{x\} = \bigcap P(f)$ , where  $P(f)$  are peak sets of  $A$  in  $M_A$ . We shall use the wellknown fact that  $S_A$  is the closure of the strong boundary points of  $A$  in  $M_A$ . If  $F$  is a closed set in  $M_A$  then  $\text{Hull}_A(F) = \{x \in M_A \mid |f(x)| \leq |f|_F \text{ for every } f \in A\}$ . If  $x \in \text{Hull}_A(F)$  we say that  $F$  is a support of  $x$ . A minimal support of  $x$  is a support  $F$  of  $x$  such that no proper closed subset of  $F$  is a support of  $x$ . Now we have the principle of minimal supports. Let  $F$  be a minimal support of  $x$ . Suppose  $\{f_n\} \in A$  is such that  $|f_n|_F \leq K$  for some constant  $K$  independent of  $n$  and  $\lim |f_n|_{W \cap F} = 0$ , where  $W$  is an open subset of  $M_A$  such that  $W \cap F$  is not empty. Then it follows that  $\lim f_n(x) = 0$ . If  $F$  is a closed set in  $M_A$  then  $A_F$  is the function algebra on  $F$  generated by functions  $f \in C(F)$  such that  $f = g$  on  $F$  for some  $g \in A$ . Now  $M_{A_F}$  can be identified with

$\text{Hull}_A(F)$ . If  $F$  is a closed set in  $M_A$  such that  $F = \text{Hull}_A(F)$  we say that  $F$  is an  $A$ -convex set.  $A$  is a convex function algebra if every closed set in  $M_A$  is  $A$ -convex. If  $B$  is a function algebra on  $M_A$  such that  $A \subset B$  then the maximal ideal space  $M_B$  contains  $M_A$  and  $S_B \subset M_A$ . If  $x \in M_B$  there exists a point  $y(x) \in M_A$  such that  $f(x) = f(y(x))$  for  $f \in A$ . If  $V$  is a subset of  $M_A$  we put  $\{V\}_B = \{x \in M_B \mid y(x) \in V\}$ . The set  $\{V\}_B$  is called the fiber of  $V$  in  $M_B$ . The correspondence between points  $x$  in  $M_A$  and the fibers  $\{x\}_B$  is continuous in the following way: Let  $W$  be an open neighborhood of  $\{x\}_B$  in  $M_B$  for some point  $x \in M_A$ . Then there exists a neighborhood  $V$  of  $x$  in  $M_A$  such that  $\{V\}_B \subset W$ . If  $W$  is an open set in  $M_A$  then  $H_0(W) = \{f \in C(W) \mid f \text{ is locally approximable in } A \text{ at every point in } W, \text{ i.e., if } x \in W \text{ there exists a neighborhood } V \subset W \text{ of } x \text{ and } \{g_n\} \in A \text{ such that } \lim |g_n - f|_V = 0\}$ . We put  $H_0(A) = H_0(M_A)$  and  $H(A)$  is the function algebra generated by  $H_0(A)$  on  $M_A$ . If  $F$  is a closed set in  $M_A$  then  $H_0(F) = \{f \in C(F) \mid f = g \text{ on } F \text{ for some } g \in H_0(V), \text{ where } V \text{ is some neighborhood of } F\}$ . We let  $H(F)$  be the function algebra on  $F$  generated by  $H_0(F)$ . We shall now discuss the results of this paper. The general problem which interests us here is the following: Let  $A$  be a function algebra with its maximal ideal space  $M_A$ . Let  $B$  be a function algebra such that  $A \subset B \subset C(M_A)$ . What can be said about  $M_B$ ? In Lemma 1 we give the well-known construction which shows that  $M_B$  in general is strictly larger than  $M_A$ . A point  $x \in M_A$  is a stationary point if  $\{x\}_B = \{x\}$  for every  $B$  such that  $A \subset B \subset C(M_A)$ .  $A$  is a resistant function algebra if  $M_A$  consists of stationary points. In Theorem 2 we prove that  $A$  is a resistant function algebra if every point  $x \in M_A$  has a fundamental neighborhood system  $\{W\}$  such that  $\{bW\}$  consist of stationary points. We remark here that the Choquet boundary of  $A$  is contained in the set of stationary points and that  $A$  is resistant if  $M_A = [0, 1]$ . A function algebra  $A$  on a compact set  $X$  is regular if  $A$  separates points from closed subsets of  $X$ . It is wellknown that if  $X = M_A$  then  $A$  is normal, i.e.,  $A$  separates disjoint closed sets. In Theorem 4 we prove that if  $A$  is a regular function algebra on  $X$  then  $X$  consists of stationary points when we consider  $X$  as a closed subset of  $M_A$ . We remark that if  $A$  is a normal function algebra on  $X$  then  $X = M_A$ . The rest of this paper is mostly devoted to a study of relations between  $A$  and  $H(A)$ . We have never introduced the general concept of  $A$ -holomorphic functions as is done in [3]. We wish to point out that our methods come almost entirely from [3] and [4]. Our proof of Theorem 5 uses an argument which is essentially the same as in Lemma 3.1, p. 368, in [3]. We point out that Theorem 7 gives a proof of Rado's Theorem: Let  $f \in C(F)$  where  $F$  is a polynomially convex compact set in the complex plane. Assume that  $f$  is

analytic if  $f$  is different from zero. Then it follows that  $f$  is analytic in the interior of  $F$  and hence  $f \in P(F)$ , i.e.,  $f$  can be uniformly approximated by polynomials on  $F$ . In Theorem 8 we prove that if  $H(A)$  is a resistent function algebra then  $A$  is a resistent function algebra. We also discuss the general problem of determining 'domains of holomorphy' in general function algebras. A closed set  $F$  in  $M_A$  is a natural set if  $M_{H(F)} = F$ . The main result about natural sets is contained in Theorem 10 which was essentially wellknown in [3]. Every closed subset  $F$  of  $M_A$  is contained in a smallest natural set  $B(F)$ , the barrier of  $F$ . We have also introduced the set  $\hat{F} = \{y \in M_A \mid \{y\}_{H(F)} \cap M_{H(F)} \text{ is not empty}\}$ . We know that  $\hat{F} \subset B(F)$  and in general the inclusion is strict.<sup>1</sup> Theorem 12 is essentially wellknown in [5] but we believe our proof is different.

1. DEFINITION 1. A function algebra  $A$  is resistent if  $M_B = M_A$  for every function algebra  $B$  such that  $A \subset B \subset C(M_A)$ .

LEMMA 1. A resistent function algebra is convex.

*Proof.* Let  $A$  be a function algebra such that  $\text{Hull}_A(F) - F$  is not empty for some closed set  $F$  in  $M_A$ . Let  $B = \{g \in C(M_A) \mid g_F \in A_F\}$ . Obviously  $A \subset B \subset C(M_A)$  and now we prove that  $M_A \neq M_B$ . Let  $x \in \text{Hull}_A(F) - F$ . If  $g \in B$  we can find  $\{f_n\} \in A$  such that  $\lim |g - f_n|_F = 0$ . Now we put  $\hat{x}(g) = \lim f_n(x)$ . It is easily seen that  $\hat{x}$  is a well defined complex-valued homomorphism on  $B$ . Hence there exists a point  $y \in M_B$  such that  $\hat{x}(g) = g(y)$  for  $g \in B$ . In particular  $f(x) = \hat{x}(f) = f(y)$  for  $f \in A$ . If  $M_A = M_B$  it follows that  $\hat{x}(g) = g(x)$  for  $g \in B$ . But now we choose  $g \in B$  such that  $g(x) = 1$  while  $g = 0$  on  $F$  and obtain a contradiction. Hence  $M_A \neq M_B$  and the lemma follows.

LEMMA 2. Let  $A$  be a convex function algebra and let

$$A \subset B \subset C(M_A).$$

Then the fibers  $\{x\}_B$  are connected in  $M_B$  for every point  $x \in M_A$ .

*Proof.* Suppose that some fiber  $(x)_B$  is disconnected in  $M_B$ . Hence there exists a closed component  $G$  of  $\{x\}_B$  such that  $G \subset M_B - M_A$ . Now we can find a closed neighborhood  $W$  of  $G$  in  $M_B$  such that  $bW \cap \{x\}_B$  is empty and  $W \subset M_B - M_A$ . Let  $F = \{y \in M_A \mid \{y\}_B \cap bW \text{ is not empty}\}$ . Obviously  $F$  is a closed subset of  $M_A$  such that  $x \notin F$ . Let  $y \in G$ , then the local maximum principle shows that  $|g(y)| \leq |g|_{bW}$  for  $g \in B$ . It follows that  $|f(x)| \leq |f|_F$  for  $f \in A$ , hence  $x \in \text{Hull}_A(F)$ ,

<sup>1</sup> I am indebted to the referee for giving an example where  $F \neq B(\hat{F})$ .

a contradiction to the fact that  $A$  is a convex function algebra.

**THEOREM 1.** *Let  $V$  be a closed  $A$ -convex subset of  $M_A$  such that  $A_V$  is resistant. Let  $f \in C(M_A)$  be such that  $f = 0$  in  $M_A - V$ , then  $M_{A(f)} = M_A$ .*

*Proof.* Assume that  $D = M_{A(f)} - M_A$  is not empty. Let  $x \in D$  and choose a minimal support  $F$  of  $x$  such that  $F \subset M_A$ . Now  $F \subset V$  is impossible since  $A_V$  is a resistant function algebra. Because  $f = 0$  in  $M_A - V$  the principle of minimal supports shows that  $f(x) = 0$ . Choose  $y \in M_A$  such that  $g(x) = g(y)$  for  $g \in A$ . Since  $y$  and  $x$  are different points of  $M_{A(f)}$  it follows that  $f(y)$  must be different from zero, hence  $y \in V$ . We have now proved that  $D \subset \{V\}_{A(f)}$ . Now Lemma 1 shows that  $A_V$  is a convex function algebra and Lemma 2 can be applied to show that  $\{z\}_{A(f)}$  are connected in  $M_{A(f)}$  for every  $z \in V$ . In particular  $\{y\}_{A(f)}$  has no isolated points in  $M_{A(f)}$ . Since  $D$  is an open subset of  $M_{A(f)}$  we can find  $x_1 \in D \cap \{y\}_{A(f)}$  such that  $x_1 \neq x$ . But now we get  $f(x_1) = f(x) = 0$  and then  $x$  and  $x_1$  are not different points in  $M_{A(f)}$ , a contradiction.

**DEFINITION 2.** A point  $x \in M_A$  is stationary if  $\{x\}_B = \{x\}$  for every function algebra  $B$  such that  $A \subset B \subset C(M_A)$ .

**THEOREM 2.** *Let  $A$  be a function algebra such that every point  $x \in M_A$  has a fundamental neighborhood system  $\{W\}$  such that each  $bW$  consists of stationary points, then  $A$  is a resistant function algebra.*

*Proof.* Suppose that  $B$  is a function algebra such that

$$A \subset B \subset C(M_A)$$

and assume that  $D = M_B - M_A$  is not empty. Let  $z \in D$  and choose  $y \in M_A$  such that  $f(z) = f(y)$  for  $f \in A$ . Choose an open neighborhood  $V$  of  $y$  in  $M_A$  such that  $bV$  consists of stationary points. Let  $W$  be a closed  $B$ -convex neighborhood of  $z$  in  $M_B$  such that  $W \subset D$ . Now  $\{V\}_B \cap W$  is open and closed in  $W$ . We apply Shilov's Idempotent Theorem to the function algebra  $B_W$ . Hence we find  $\{f_n\} \in B$  such that  $\lim |f_n - 1|_{W \cap \{V\}_B} = 0$  while  $\lim |f_n|_{W - \{V\}_B} = 0$ . Choose a minimal support  $F$  of  $z$  such that  $F \subset bW$ . It follows from the principle of minimal supports that  $F \subset bW \cap \{V\}_B$ . Now we let  $V$  shrink to  $y$  in  $M_A$  and it follows that  $z \in \text{Hull}_B(bW \cap \{y\}_B)$ . This holds for every  $z \in D \cap \{y\}_B$  when  $W$  is a closed  $B$ -convex neighborhood of  $z$  such that  $W \subset D$ . Now we choose a strong boundary point  $x \in D \cap \{y\}_B$  of the function algebra  $B_{\{y\}_B}$  to obtain a contradiction.

**DEFINITION 4.** A point  $x \in M_A$  is locally regular if there exists a neighborhood  $V$  of  $x$  such that to every  $y \in V - \{x\}$  there exists  $f \in A$  with  $f = 0$  in a neighborhood of  $y$  and  $f(x) = 1$ .

**THEOREM 3.** *A locally regular point is a stationary point.*

*Proof.* Let  $x \in M_A$  be a locally regular point. Let  $B$  be a function algebra such that  $A \subset B \subset C(M_A)$ . Let  $D = M_B - M_A$  and assume that  $\{x\}_B \cap D$  is not empty. Let  $V$  be an open neighborhood of  $x$  in  $M_A$  such that to every  $y \in V - \{x\}$  there exists  $f \in A$  with  $f = 0$  in a neighborhood of  $y$  and  $f(x) = 1$ . Let  $z \in \{x\}_B \cap D$  and choose a closed neighborhood  $W$  of  $z$  in  $M_B$  such that  $W \subset D \cap \{V\}_B$ . Let  $F$  be a minimal support of  $z$  such that  $F \subset bW$ . It follows now that  $F \subset \{x\}_B$  holds. Hence  $z \in \text{Hull}_B(bW \cap \{x\}_B)$  and we obtain a contradiction if we choose a suitable point  $z \in D \cap \{x\}_B$ . Hence  $\{x\}_B \cap D$  must be empty and it follows that  $x$  is a stationary point.

**THEOREM 4.** *Let  $A$  be a regular function algebra on a compact set  $X$ . Then every point  $x \in X \cap M_A$  is a stationary point.*

*Proof.* Let  $x \in X \cap M_A$  and put  $R(x) = \{y \in M_A \mid \text{there exists } g \in A \text{ with } g = 0 \text{ in a neighborhood of } y \text{ and } g(x) = 1\}$ . We shall now prove that  $R(x) = M_A - \{x\}$  and then it follows from Theorem 3 that  $x$  is a stationary point. Let  $y \in M_A - \{x\}$  and choose  $g \in A$  such that  $g(y) = 1$  and  $g(x) = 0$ . Let  $V = \{z \in M_A \mid |g(z)| > 1/2\}$  and let  $W = \{z \in X \mid |g(z)| \leq 1/2\}$ . We choose  $f \in A$  such that  $f = 0$  on  $X - W$  and  $f(x) = 1$ . If  $z \in V$  we can choose a minimal support  $F$  of  $z$  such that  $F \subset X$ . Obviously  $F \cap (X - W)$  is not empty and the principle of minimal supports implies that  $f(z) = 0$ . Hence  $f = 0$  on  $V$  and  $f(x) = 1$ , i.e.,  $y \in R(x)$ .

**THEOREM 5.** *Let  $F$  be a closed subset of  $M_A$  and let  $f \in CM_A$  be such that  $f$  is locally approximable in  $A$  at every point in  $M_A - F$ . Then  $M_{A(f)} - M_A \subset \{\text{Hull}_A(F)\}_{A(f)}$ .*

*Proof.* Let  $D = M_{A(f)} - M_A$ . Let  $K = \text{Hull}_{A(f)}(bD)$  and let  $C = A(f)_K$ . We have  $D \subset K = M_C$  and  $bD$  contains the Shilov boundary of  $C$ . Let  $x \in bD$  be a strong boundary point of  $C$ . Assume that  $x \in M_A - F$ . Choose a closed neighborhood  $V$  of  $x$  in  $M_A$  such that there exists  $\{g_n\} \in A$  with  $\lim |g_n - f|_V = 0$ . Now we choose  $h \in C$  such that  $h(x) = |h|_K = 1$  and  $\{x \in K \mid |h(x)| \geq 1/2\} \subset \{V\}_{A(f)}$ . Let

$$D_1 = \{x \in D \mid |h(x)| > 1/2\}.$$

The topological boundary  $bD_1$  of  $D_1$  in  $K$  is obviously contained in the set  $T = \{x \in bD \mid |h(x)| \geq 1/2\} \cup \{x \in K \mid |h(x)| = 1/2\}$ . Choose a point

$x_1 \in D_1$ . Now the local maximum principle shows that we can find a minimal support  $F$  of  $x_1$  in  $C$  such that  $F \subset T$ . Since  $|h(x_1)| > 1/2$  it follows that  $F \cap bD$  contains an open subset of  $F$ . Since  $F \subset T \subset \{V\}_{A(f)}$  we have  $|g|_F \leq |g|_V$  for  $g \in A$ . Now  $\lim |g_n - f|_{F \cap bD} \leq \lim |g_n - f|_V = 0$  and the principle of minimal supports shows that  $\lim g_n(x_1) = f(x_1)$  holds. Now we also have  $x_1 \in \{y_1\}_{A(f)}$  for some point  $y_1 \in V$ . Hence  $f(y_1) = \lim g_n(y_1) = g_n(x_1) = f(x_1)$  and then  $x_1$  and  $y_1$  cannot be different points in  $M_{A(f)}$ , a contradiction. We have now proved that every strong boundary point of  $C$  must belong to  $F$ . It follows that  $S_C \subset F$  and hence  $M_{A(f)} - M_A \subset \text{Hull}_{A(f)}(F)$ . This implies that  $M_{A(f)} - M_A \subset \{\text{Hull}_A(F)\}_{A(f)}$ .

**LEMMA 3.** *Let  $A$  be a function algebra on a compact set  $X$ . Let  $F$  be a closed subset of  $X$ . Then there exists a point  $x \in F$  such that if  $m$  is a representing measure of  $x$  in  $A$  with  $m(F) = 1$  then  $m = e_x$ , i.e.,  $m$  is the unit point mass at  $x$ .*

*Proof.* Choose a strong boundary point  $x \in F$  of the function algebra  $A_F$ .

**THEOREM 6.** *Let  $A \subset B \subset C(M_A)$ . Let  $f \in B$  be such that  $f \in H_0(A)$ . Then  $f$  is constant on each fiber  $\{x\}_B$  for  $x \in M_A$ .*

*Proof.* If  $x \in M_B$  we denote by  $y(x)$  the point in  $M_A$  such that  $x \in \{y(x)\}_B$ . Let  $d(x) = |f(x) - f(y(x))|$  and assume that  $d(x)$  is different from zero. Let  $F = \{x \in M_B \mid d(x) = \|d\| = \sup d(x)\}$ . Obviously  $F$  is a closed subset of  $M_B$  and  $F \cap M_A$  is empty. Let  $x \in F$  and choose an open neighborhood  $V$  of  $y(x)$  in  $M_A$  such that there exists  $\{g_n\} \in A$  with  $\lim |g_n - f|_V = 0$ . Choose now a closed neighborhood  $W$  of  $x$  in  $M_B$  such that  $W \subset \{V\}_B \cap (M_B - M_A)$ . Let  $T$  be a minimal support of  $x$  such that  $T \subset bW$ . Now we can find a positive measure on  $T$  such that  $g(x) = \int g dm$  from  $g \in B$ . It follows that  $|f(x) - g_n(y(x))| = |f(x) - g_n(x)| \leq \int |f - g_n| dm$  for every  $n$ . Hence we also get

$$|f(x) - f(y(x))| \leq \int |f(z) - f(y(z))| dm(z).$$

It follows that  $|f(z) - f(y(z))| = \|d\|$  for every  $z \in T$ , hence  $T \subset F$ . We have now proved that  $x \in \text{Hull}_B(bW \cap F)$  for every  $x \in F$  and every closed neighborhood  $W$  of  $x$  such that  $W \subset (M_B - M_A)$ . Now we derive a contradiction from Lemma 3.

**THEOREM 7.** *Let  $f \in C(M_A)$  and suppose that  $f$  is locally approximable in  $A$  at every point where  $f$  is different zero. Then  $M_{A(f)} = M_A$  and  $\text{Hull}_A(F) = \text{Hull}_{A(f)}(F)$  for every closed subset  $F$  of  $M_A$ .*

*Proof.* Let  $F$  be a closed subset of  $M_A$  such that  $F = \text{Hull}_{A(f)}(F)$ . Let us put  $G = \text{Hull}_A(F)$  and assume that  $D = G - F$  is not empty. Let  $C = A(f)_G$ . We see that the Shilov boundary  $S_C$  of  $C$  meets  $D$ . Hence we can find  $x \in D$  such that  $x$  is a strong boundary point of  $C$ . Let us assume that  $f(x) \neq 0$ . Choose a closed neighborhood  $V \subset (M_A - F)$  of  $x$  in  $M_A$  such that there exist  $\{g_n\} \in A$  with  $\lim |g_n - f|_V = 0$ . Now we choose  $h \in C$  such that if  $P(h) = \{x \in G \mid h(x) = |h|_G\}$  then  $x \in P(h)$  and  $P(h) \subset V$  with  $P(h) \cap bV$  empty. Since  $h \in C$  we can find  $\{h_n\} \in A$  with  $\lim |h_n - h|_{V \cap G} = 0$ . Now the local maximum principle shows that  $|g(x)| \leq |g|_{bV \cap G}$  for  $g \in A$ . It follows that  $|h(x)| = \lim |h_n(x)| \leq \lim |h_n|_{bV \cap G} = |h|_{bV \cap G}$ , contradiction to the fact that  $P(h) \cap bV$  is empty. Hence we have proved that if  $x \in D$  is a strong boundary point of  $C$  then  $f(x) = 0$ . If  $x \in D$  we can choose a minimal support  $T$  of  $x$  such that  $T \subset S_C$ . Since  $F = \text{Hull}_{A(f)}(F)$  it follows that  $T \cap D$  is not empty. Since  $f = 0$  on  $S_C \cap D$  it follows from the principle of minimal supports that  $f(x) = 0$ . Hence we have proved that  $f = 0$  on  $D$ . But then  $A(f)_D = A_D$  and it follows easily that  $D$  cannot contain any strong boundary point of  $C$ . Hence  $S_C \subset F$  which shows that  $D$  must be empty. We have now proved that  $\text{Hull}_A(F) = \text{Hull}_{A(f)}(F)$  for every closed subset  $F$  of  $M_A$ . In particular we see that  $Z(f) = \{x \in M_A \mid f(x) = 0\}$  is an  $A$ -convex set and using Theorem 5 it follows easily that  $M_A = M_{A(f)}$ .

**COROLLARY 1.**  $M_A = M_{H(A)}$  and  $\text{Hull}_A(F) = \text{Hull}_{H(A)}(F)$  for every closed subset  $F$  of  $M_A$ .

**THEOREM 8.** If  $H(A)$  is a resistant function algebra then  $A$  is a resistant function algebra.

*Proof.* If  $A$  is not a resistant function algebra we can find  $g_1 \cdots g_k \in C(M_A)$  such that  $g_1 \cdots g_k$  have no common zero on  $M_A$  while  $g_i(z) = \cdots = g_k(z) = 0$  for some point  $z \in M_{A(g_1 \cdots g_k)}$ . Because  $H(A)$  is resistant we can find  $h_1 \cdots h_k$ , where each  $h_i$  is a polynomial in  $g_1 \cdots g_k$  with coefficients in  $H_0(A)$ , such that  $|h_1 g_1 + \cdots + h_k g_k - 1|_{M_A} < 1/2$ . Let  $h_i = \sum f_{iv} g^v$ , where  $v$  runs over a finite set of multi-indices  $(v_1 \cdots v_k)$  and  $g^v = g_1^{v_1} \cdots g_k^{v_k}$ . Each  $f_{iv} \in H_0(A)$  and we define  $f_{iv}$  on  $M_{A(g_1 \cdots g_k)}$  by letting  $f_{iv}$  be constant on each fiber of  $M_{A(g_1 \cdots g_k)}$  over points of  $M_A$ . Each  $g^v$  is defined on  $M_{A(g_1 \cdots g_k)}$  in the usual way. In this way we can extend each  $h_i$  to  $M_{A(g_1 \cdots g_k)}$ . Call these extensions  $H_1 \cdots H_k$ . It is easily seen that  $H = H_1 g_1 + \cdots + H_k g_k$  is locally approximable in  $A(g_1 \cdots g_k)$  on  $M_{A(g_1 \cdots g_k)}$ . Now  $H(z) = 0$  while  $|H - 1|_{M_A} < 1/2$  and since  $M_A$  contains the Shilov boundary of  $A(g_1 \cdots g_k)$  we derive a contradiction from Corollary 1.

**THEOREM 9.** Let  $f \in C(M_A)$  be such that  $f^n + a_1 f^{n-1} + \cdots + a_n = 0$

on  $M_A$  where  $a_1 \cdots a_n \in A$ , then  $M_A = M_{A(f)}$ .

*Proof.* Let  $g = nf^{n-1} + (n-1)a_1f^{n-2} + \cdots + a_{n-1}$ . It is well known that  $f$  is locally approximable in  $A$  at every point  $x \in M_A$  where  $g(x)$  is different from zero. (See [1], Th. 3.2.5, p. 71.) It follows that  $g$  is locally approximable in  $A$  at every point where  $g$  is different from zero. Now Theorem 7 shows that  $Z(g)$  is  $A$ -convex and then Theorem 5 shows that  $M_{A(f)} - M_A \subset \{Z(g)\}_{A(f)}$ . Let us put  $B = A_{Z(g)}$ , then  $M_B = Z(g)$  and the restriction of  $f$  to  $M_B$  satisfies the equation  $nf^{n-1} + (n-1)b_1f^{n-2} + \cdots + b_{n-1} = 0$  where  $b_i \in B$  are the restrictions of  $a_i$  to  $Z(g)$ . Since  $M_{A(f)} - M_A \subset \{Z(g)\}_{A(f)}$  we see that  $M_{B(f)} - M_B$  is not empty if  $M_{A(f)} - M_A$  is not empty. Hence we can use induction over  $n$  to prove that  $M_{A(f)} = M_A$ .

Let  $A$  be a function algebra. If  $F$  is a closed subset of  $M_A$  we have defined the function algebra  $H(F)$ . We are now interested in the maximal ideal space of  $H(F)$ .

DEFINITION. If  $F$  is a closed subset of  $M_A$  we put  $\hat{F} = \{y \in M_A \mid \{y\}_{H(F)} \cap M_{H(F)} \text{ is not empty}\}$ .

DEFINITION. A natural set in  $M_A$  is a closed subset  $F$  of  $M_A$  such that  $F = M_{H(F)}$ .

LEMMA 4.  $(\cap F_a)^\wedge \subset \cap \hat{F}_a$  for every family  $\{F_a\}$  of closed subsets of  $M_A$ .

*Proof.* Let  $y \in M_A$  be such that  $y \in (\cap F_a)^\wedge$ . Hence there exists a complex-valued homomorphism  $C$  of  $H(\cap F_a)$  such that  $C(g) = g(y)$  for  $g \in A$ . If  $f \in H(F_a)$  the restriction of  $f$  to  $\cap F_a$  obviously gives an element of  $H(\cap F_a)$ . Hence  $C$  can be restricted to  $H(F_a)$  and we obtain a complex-valued homomorphism of  $H(F_a)$  such that  $C(g) = g(y)$  for  $g \in A$ .

THEOREM 10. Let  $F$  be a closed subset of  $M_A$  such that  $F = \hat{F}$ , then  $M_{H(F)} = F$ .

*Proof.* Let  $f \in H_0(F)$  and define  $d(x) = |f(x) - f(y(x))|$  on  $M_{H(F)}$  where  $y(x)$  is the point in  $F$  such that  $g(x) = g(y(x))$  for  $g \in A$ . Assume that  $d$  is not identical zero. Let  $D = \{x \in M_{H(F)} \mid d(x) > 0\}$ . Obviously  $D \cap F$  is empty and hence  $D$  lies off the Shilov boundary of  $H(F)$ . Hence  $D \subset K = \text{Hull}_{H(F)}(bD)$ . Let us put  $C = H(F)_K$  and choose  $x \in bD$  such that  $x$  is a strong boundary point of  $C$ . Choose a closed neighborhood  $V$  of  $y(x)$  in  $M_A$  such that there exists  $\{g_n\} \in A$  with  $\lim |g_n - f|_{V \cap F} = 0$ . Now we choose  $h \in C$  such that  $h(x) = |h|_K = 1$  and  $\{x \in K \mid |h(x)| \geq 1/2\} \subset \{V \cap F\}_{H(F)}$ . Now we obtain a con-

tradition using the same argument as in the final part of Theorem 5. Hence we have proved that if  $f \in H_0(F)$  then  $f$  is constant on each fiber  $\{x\}_{H(F)}$  when  $x \in F$ . Since  $H_0(F)$  is a dense subalgebra of  $H(F)$  it follows that  $F = M_{H(F)}$ .

**COROLLARY 2.** *If  $\{F_a\}$  is a family of natural set of  $M_A$  then  $\cap F_a$  is a natural set.*

*Proof.* Lemma 4 shows that  $(\cap F_a)^\wedge \subset \cap \hat{F}_a = \cap F_a$  and then Theorem 10 implies that  $\cap F_a$  is a natural set.

**DEFINITION.** If  $F$  is a closed subset of  $M_A$  then  $B(F)$  is the intersection of all natural sets containing  $F$ .  $B(F)$  is called the barrier of  $F$ .

Corollary 2 shows that  $B(F)$  is the smallest natural set containing a closed subset  $F$  of  $M_A$ .

**LEMMA 5.** *Let  $F$  be a natural set. Let  $f \in H(F)$  and let  $F_1 = \{x \in F \mid |f(x)| \leq 1\}$ . Then  $F_1$  is a natural set.*

*Proof.* Let  $z \in M_{H(F_1)}$ . If  $g \in H(F)$  the restriction of  $g$  to  $F_1$  gives an element of  $H(F_1)$ . It follows that  $g(z) = g(y)$  for some point  $y \in M_{H(F)}$  when  $g \in H(F)$ . In particular  $f(z) = f(y)$  and since  $|f(z)| \leq |f|_{F_1}$  it follows that  $y \in F_1$ . Hence we have proved that  $F_1 = \hat{F}_1$  and now Theorem 10 implies that  $F_1$  is a natural set.

**THEOREM 11.** *Let  $F$  be a closed subset of  $M_A$ . Let  $S(F)$  be the Shilov boundary of  $H(B(F))$ . Then  $S(F) \subset F$ .*

*Proof.* Assume that  $S(F)$  meets  $B(F) - F$ . Hence we can find  $x \in B(F) - F$  such that  $x$  is a strong boundary point of  $H(B(F))$ . Now we can choose  $f \in H(B(F))$  such that  $F_1 = \{x \in B(F) \mid |f(x)| \leq 1\}$  contains  $F$  and omits the point  $x$ .

Lemma 5 shows that  $F_1$  is a natural set, a contradiction to the fact that  $B(F)$  is the smallest natural set containing  $F$ .

We finally give some examples of natural subsets of  $M_A$ .

**DEFINITION.** An  $A$ -analytic polyhedron  $P$  is a closed set in  $M_A$  of the form  $P = \{x \in V \mid |f_a(x)| \leq 1\}$  where  $V$  is an open neighborhood of  $P$  and  $\{f_a\}$  is a family in  $H_0(V)$ .

**THEOREM 12.** *An  $A$ -analytic polyhedron is a natural set.*

*Proof.* Let  $U$  be an open neighborhood of  $P$  and  $W$  a closed set containing  $U$  such that  $W \subset V$ . Now we can find finitely many  $\{f_a\}$ , say  $f_1 \cdots f_k$  such that  $P_1 = \{x \in W \mid |f_i(x)| \leq 1, i = 1 \cdots k\}$  is contained in  $U$ . Now we can prove that  $P_1$  is a natural set using the same argument as in the final part of Theorem 5. Finally we let  $U$  shrink to  $P$  and obtain natural sets  $\{P_U\}$  such that  $P = \bigcap P_U$ . Now Corollary 2 shows that  $P$  is a natural set.

**DEFINITION.** If  $F$  is a closed subset of  $M_A$  we put  $R_0(F) = \{h \in C(F) \mid h = f/g \text{ where } f, g \in A \text{ and } g \text{ has no zero on } F\}$ .

We let  $R(F)$  be the function algebra on  $F$  generated by  $R_0(F)$ .

**DEFINITION.** If  $F$  is a closed subset of  $M_A$  we put  $\text{Hull}_R(F) = \{x \in M_A \mid g(x) \in g(F) \text{ for } g \in A\}$ .

**THEOREM 13.**  $M_{R(F)} = \text{Hull}_R(F)$  for every closed set  $F$  in  $M_A$  and if  $M_{R(F)} = F$  then  $F$  is a natural set.

*Proof.* If  $y \in M_{R(F)}$  we choose  $x \in M_A$  such that  $g(y) = g(x)$  for  $g \in A$ . It is easily seen that  $x \in \text{Hull}_R(F)$  and that  $(f/g)(y) = f(x)/g(x)$  when  $f/g \in R_0(F)$ . Since  $R_0(F)$  is dense in  $R(F)$  it follows that  $y$  is uniquely determined by  $x$ . Conversely if we choose  $x \in \text{Hull}_R(F)$  then the mapping  $X; f/g \rightarrow f(x)/g(x)$  is well defined on  $R_0(F)$ . We have  $|f(x)/g(x)| \leq |f/g|_F$  for if  $f(x) = g(x)$  while  $|f/g|_F < 1$  we see that  $(g - f)$  is different from zero on  $F$  and hence  $(g - f)(x) \in (g - f)(F)$  is different from zero, a contradiction. Hence we can extend  $X$  to  $R(F)$  and we obtain a complex-valued homomorphism on  $R(F)$  such that  $g$  is mapped into  $g(x)$  when  $g \in A$ . This proves that  $M_{R(F)} = \text{Hull}_R(F)$ . If  $M_{R(F)} = F$  then Corollary 1 can be applied to prove that  $F$  is a natural set.

*Acknowledgement.* I wish to express here my deep gratitude to Professor C. E. Rickart whose original research on this subject has been the source for this paper.

#### BIBLIOGRAPHY

1. L. Hörmander, *An introduction to complex analysis in several variables*, D. Van Nostrand, New Jersey, 1966.
2. H. Rossi and R. Gunning, *Analytic functions of several complex variables*, Prentice-Hall, 1965.
3. C. E. Rickart, *Analytic phenomena in general function algebras*, Pacific J. Math. **18** (1966), 361-377.
4. ———, *The maximal ideal space of functions locally approximable in a function algebra*, Proc. Amer. Math. Soc. **17** (1966), 1320-1326.
5. ———, *Holomorphic convexity for general function algebras*, Yale University, 1967.

Received June 30, 1967, and in revised form December, 18, 1967.

UNIVERSITY OF STOCKHOLM