

## *Pseudo-coalescent and Locally Pseudo-coalescent Classes of Lie Algebras*

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### Introduction

In the recent study of infinite-dimensional Lie algebras, an important role has been played by the concepts of coalescent and locally coalescent classes of Lie algebras introduced in [7, 9]. These classes have been investigated by R. K. Amayo [1–4], R. K. Amayo and I. Stewart [5], S. Tôgô [10, 11], and S. Tôgô and N. Kawamoto [12]. Corresponding to the concept of coalescence, we have introduced that of pseudo-coalescence and shown several analogous results in [8]. We furthermore introduce the new concept, local pseudo-coalescence, which corresponds to local coalescence. We say that a class  $\mathfrak{X}$  is locally pseudo-coalescent if and only if whenever  $H$  is an  $\mathfrak{X}$ -subideal and  $K$  is an  $\mathfrak{X}$ -weak ideal of a Lie algebra  $L$ , then for every finite subset  $F$  of the join  $J = \langle H, K \rangle$  there exists an  $\mathfrak{X}$ -weak ideal  $X$  of  $L$  such that  $F \subseteq X \leq J$ . Any pseudo-coalescent class of Lie algebras is obviously locally pseudo-coalescent. We ask whether the results for local coalescence hold analogously for local pseudo-coalescence. The purpose of this paper is to investigate the properties of locally pseudo-coalescent classes and to show that several classes of Lie algebras are pseudo-coalescent.

In Section 2, we shall ask whether the join of a subideal and a weak ideal is a weak ideal. In Section 3, we shall show the pseudo-coalescence of  $\mathfrak{C}$ ,  $\mathfrak{G}$  and other classes, which are coalescent [1]. We shall also show that the join of a solvable (resp. nilpotent) subideal and a solvable (resp. nilpotent) weak ideal is a solvable (resp. nilpotent) weak ideal if these are permutable. In Section 4, we shall show that if  $\mathfrak{X}$  is a  $\mathbf{Q}$ -closed and locally pseudo-coalescent subclass of  $(\mathbf{E}\mathfrak{M})_{(\omega)}$ , then the class  $\mathfrak{X}_{(\omega)}$  is locally pseudo-coalescent. We shall also show that for any classes  $\mathfrak{X}$  and  $\mathfrak{Y}$  such that  $\mathfrak{X} \leq \mathfrak{Y} \leq \mathbf{M}\mathfrak{X}$ ,  $\mathfrak{X}$  is locally pseudo-coalescent if and only if so is  $\mathfrak{Y}$ , which is analogous to Theorem 3.2 in [12]. Using these results we shall show that the following classes are locally pseudo-coalescent over a field of characteristic 0: the class  $\mathfrak{N}$  of nilpotent Lie algebras, the class  $\mathfrak{N}_{(\omega)}$ , the class  $\mathfrak{D}$  of Lie algebras  $L$  such that every subalgebra of  $L$  is a subideal, the class  $\mathfrak{F}$ , of Fitting algebras, the class  $\mathfrak{B}$  of Baer algebras.

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### §1. Preliminaries

We shall be concerned with Lie algebras over a field  $\Phi$  which are not necessarily finite-dimensional. Throughout this paper,  $L$  will be an arbitrary Lie algebra over a field  $\Phi$  and  $\mathfrak{X}$  will be an arbitrary class of Lie algebras over  $\Phi$ , that is, an arbitrary collection of Lie algebras over  $\Phi$  such that  $(0) \in \mathfrak{X}$  and if  $H \in \mathfrak{X}$  and  $H \simeq K$ , then  $K \in \mathfrak{X}$ , unless otherwise specified.

We employ the notation and terminology in [5, 8, 12]. By  $H \leq L$ ,  $H \triangleleft L$ ,  $H \text{ si } L$  and  $H \triangleleft^m L$  we mean respectively that  $H$  is a subalgebra, an ideal, a subideal and an  $m$ -step subideal of  $L$ .  $H$  is a weak ideal of  $L$  provided that  $H$  is a subalgebra of  $L$  and  $L(\text{ad } H)^n \subseteq H$  for some  $n \geq 0$ , and we write  $H \text{ wi } L$ , more precisely  $H \text{ n-wi } L$  [8]. A Lie algebra (resp. a subalgebra, an ideal, a subideal, a weak ideal of  $L$ ) belonging to  $\mathfrak{X}$  is called an  $\mathfrak{X}$ -algebra (resp. an  $\mathfrak{X}$ -subalgebra, an  $\mathfrak{X}$ -ideal, an  $\mathfrak{X}$ -subideal, an  $\mathfrak{X}$ -weak ideal of  $L$ ).  $\mathfrak{X}$  is pseudo-coalescent provided for any  $\mathfrak{X}$ -subideal  $H$  and  $\mathfrak{X}$ -weak ideal  $K$  of  $L$   $\langle H, K \rangle$  is an  $\mathfrak{X}$ -weak ideal of  $L$  [8]. For any class  $\mathfrak{X}$ ,  $\mathfrak{X}_{(\omega)}$  (resp.  $\mathfrak{X}_\omega$ ) denotes the class of Lie algebras  $L$  such that  $L/L^{(\omega)} \in \mathfrak{X}$  (resp.  $L/L^\omega \in \mathfrak{X}$ ), where  $L^{(\omega)} = \bigcap_{i=0}^{\infty} L^{(i)}$ ,  $L^\omega = \bigcap_{i=1}^{\infty} L^i$  [10].

$\mathfrak{F}$ ,  $\mathfrak{A}$ ,  $\mathfrak{N}$ ,  $\mathfrak{E}\mathfrak{A}$  and  $\mathfrak{G}$  denote respectively the classes of finite-dimensional, abelian, nilpotent, solvable and finitely generated Lie algebras.

We recall a construction of Hartley [7] p. 265–266. Let  $L$  be a Lie algebra over a field  $\Phi$  of characteristic 0. Let  $\Phi_0$  be the field of formal power series

$$a = \sum_{i=m}^{\infty} a_i t^i, \quad a_i \in \Phi, \quad m \in \mathbb{Z},$$

and  $L^\dagger$  be the Lie algebra over  $\Phi_0$  consisting of all formal power series

$$x = \sum_{i=m}^{\infty} x_i t^i, \quad x_i \in L, \quad m \in \mathbb{Z}.$$

For  $H \leq L$   $H^\dagger$  is the set of all elements  $x \in L^\dagger$  with  $x_i \in H$  for all  $i$ . Then  $H^\dagger \leq L^\dagger$ . For  $M \leq L^\dagger$   $M^\dagger$  is the set of first coefficients of elements of  $M$ , together with 0. Then  $M^\dagger \leq L$ . We have several properties:

LEMMA 1.1. *Let  $\Phi$  be a field of characteristic 0.*

- (a) *If  $H \triangleleft^m L$ , then  $H^\dagger \triangleleft^m L^\dagger$ .*
- (b) *If  $H \text{ m-wi } L$ , then  $H^\dagger \text{ m-wi } L^\dagger$ .*
- (c)  *$L \in \mathfrak{N}$  (resp.  $\mathfrak{E}\mathfrak{A}$ ) if and only if  $L^\dagger \in \mathfrak{N}$  (resp.  $\mathfrak{E}\mathfrak{A}$ ).*
- (d) *If  $H$  is finite-dimensional over  $\Phi$ , then  $H^\dagger$  is finite-dimensional over  $\Phi_0$ .*
- (e) *If  $M \triangleleft^n L^\dagger$ , then  $M^\dagger \triangleleft^n L$ .*
- (f) *If  $M \text{ n-wi } L^\dagger$ , then  $M^\dagger \text{ n-wi } L$ .*

(g) If  $M \in \mathfrak{N}$  (resp.  $\mathfrak{E}\mathfrak{N}$ ), then  $M^\perp \in \mathfrak{N}$  (resp.  $\mathfrak{E}\mathfrak{N}$ ).

PROOF. (a), (c), (d), (e) and (g) have been proved in [3, 5].

(b) Let  $H$   $m$ -wi  $L$ . Let  $x = \sum_{i=n}^{\infty} x_i t^i \in L^\dagger$  and  $y_r = \sum_{i(r)=n(r)}^{\infty} y_{i(r)} t^{i(r)} \in H^\dagger$ , where  $r=1, 2, \dots, m$ . Then we have

$$[x, y_1, \dots, y_m] = \sum z_i t^i, \quad z_i = \sum_{j+j(1)+\dots+j(m)=i} [x_j, y_{j(1)}, \dots, y_{j(m)}].$$

Since  $H$   $m$ -wi  $L$ ,  $z_i \in H$  and so  $[x, y_1, \dots, y_m] \in H^\dagger$ . Therefore  $L^\dagger(\text{ad } H^\dagger)^m \subseteq H^\dagger$ , i.e.,  $H^\dagger$   $m$ -wi  $L^\dagger$ .

(f) Let  $M$   $n$ -wi  $L^\dagger$ . Let  $x_0$  be a non-zero element of  $L$  and  $y_0^i$  be a non-zero element of  $M^\perp$ , where  $i=1, 2, \dots, n$ . Then there exist  $x \in L^\dagger$  and  $y^1, y^2, \dots, y^n \in M$  such that  $x_0$  is the first coefficient of  $x = t^r \sum_{i=0}^{\infty} x_i t^i \in L^\dagger$  and  $y_0^i$  is the first coefficient of  $y^j = t^{r(j)} \sum_{i=0}^{\infty} y_i^j t^i \in M$ , where  $j=1, 2, \dots, n$ . Since  $M$   $n$ -wi  $L^\dagger$ ,  $z = [x, y^1, y^2, \dots, y^n] \in M$ . Therefore  $[x_0, y_0^1, \dots, y_0^n]$  is zero or the first coefficient of  $z$  and then it is in  $M^\perp$ . Thus  $M^\perp$   $n$ -wi  $L$ . The proof is complete.

Let  $d$  be a derivation of  $L$ . Define a mapping  $\exp(td)$  of  $L^\dagger$  as follows: for  $x = \sum x_i t^i \in L^\dagger$ ,

$$x^{\exp(td)} = \sum u_r t^r, \quad \text{where } u_r = \sum_{i+j=r} x_i d^j / j!.$$

Then  $\exp(td)$  is a Lie automorphism of  $L^\dagger$ . If  $A$  is a subspace of  $L$ , we denote by  $\exp(tA)$  the group of automorphisms of  $L^\dagger$  generated by the elements  $\exp(t \text{ad}(x))$  with  $x \in A$ .

## §2. The join of a subideal and a weak ideal

In this section, we shall discuss by what conditions the join of a pair of a subideal and a weak ideal is a weak ideal.

We begin with the following

LEMMA 2.1. If  $H$   $m$ -wi  $L$ ,  $K$   $n$ -wi  $L$  and  $H \triangleleft J = \langle H, K \rangle$ , then  $J$   $l$ -wi  $L$ , where  $l = mn$ .

This is Lemma 2.3 in [8].

Let  $H, K \leq L$ .  $H$  and  $K$  are said to be permutable (or  $H$  permutes with  $K$ ) [1] if  $\langle H, K \rangle = H + K$ , i.e., the subalgebra generated by  $H$  and  $K$  equals their vector space sum. Trivially if  $H \triangleleft L$ , then  $H$  permutes with every subalgebra  $K$  of  $L$ . An ordered pair  $(H, K)$  is a modular pair under  $L$  whenever  $H \leq M$  si  $L$  then  $M \cap \langle H, K \rangle = \langle H, M \cap K \rangle$ .

Then we have the following

LEMMA 2.2. Let  $H$  si  $L$ ,  $K$  wi  $L$  and  $J = \langle H, K \rangle$ . If

- (1)  $H$  and  $K$  are permutable, or  
 (2)  $(H, K)$  forms a modular pair under  $L$ ,  
 then  $J \text{ wi } L$ .

The proof may be a slight modification of those of Lemma 2.3 in [1] and Lemma 2.34 in [2].

Let  $\mathfrak{X}$  be a class of Lie algebras and  $H \leq L$ . We say that  $H$  is an  $\mathfrak{X}$ -acceptable subalgebra of  $L$  [5] if there is an ideal  $H_0$  of  $H$  such that  $H/H_0 \in \mathfrak{X}$  and  $[H_0, L] \subseteq H$ . Evidently if  $H \leq K \leq L$  and  $H$  is an  $\mathfrak{X}$ -acceptable subalgebra of  $L$ , then  $H$  is an  $\mathfrak{X}$ -acceptable subalgebra of  $K$ .

Replacing subideals by weak ideals in Lemma 4.3.1 in [5], we have the following

LEMMA 2.3. (a) If  $H$  is an  $\mathfrak{X}$ -acceptable weak ideal of  $L$  and  $\mathfrak{X}$  is  $\mathfrak{Q}$ -closed, then  $H$  is an  $\mathfrak{X} \cap \mathfrak{N}$ -acceptable weak ideal of  $L$ .

(b) If  $L$  is a Lie algebra over a field of characteristic 0 and  $H$  is a  $\mathfrak{G}$ -acceptable weak ideal of  $L$ , then  $H^\dagger$  is a  $\mathfrak{G}$ -acceptable weak ideal of  $L^\dagger$ .

By the above lemma, we can show the following

LEMMA 2.4. Let  $L$  be a Lie algebra over a field of characteristic 0. Let  $K$  be a  $\mathfrak{G}$ -acceptable weak ideal of  $L$  and  $H$  be a subspace of  $L$ . Then there exist finitely many automorphisms  $\alpha_1, \alpha_2, \dots, \alpha_r \in \exp(tK)$  such that  $\langle H, K \rangle = M^\dagger + K$ , where  $M = \langle H^{\dagger\alpha_1}, \dots, H^{\dagger\alpha_r} \rangle$ .

The proof of this lemma is similar to that of the statement before Theorem 4.3.3 in [5].

Then we can prove the analogous results of Theorem 4.3.3 in [5].

THEOREM 2.5. Let  $L$  be a Lie algebra over a field of characteristic 0. If  $H \text{ si } L$ ,  $K \text{ wi } L$  and  $H, K$  are  $\mathfrak{G}$ -acceptable subalgebras of  $L$ , then  $J = \langle H, K \rangle$  is a  $\mathfrak{G}$ -acceptable weak ideal of  $L$ .

PROOF. Since the join of finitely many  $\mathfrak{G}$ -acceptable subalgebras of a Lie algebra is a  $\mathfrak{G}$ -acceptable subalgebra of that algebra (Lemma 4.3.2 in [5]),  $J$  is a  $\mathfrak{G}$ -acceptable subalgebra of  $L$ . So it is enough to show that  $J \text{ wi } L$ . By Lemma 2.3  $H$  and  $K$  are  $\mathfrak{F} \cap \mathfrak{N}$ -acceptable subalgebras of  $L$ . Let  $H \triangleleft^m L$ . If  $m \leq 1$ , then  $J \text{ wi } L$  by Lemma 2.1. We may assume that  $m > 1$ . Let  $M$  be the subalgebra of  $L^\dagger$  as in the above lemma so that  $J = M^\dagger + K$ . If  $\alpha \in \{\alpha_1, \alpha_2, \dots, \alpha_r\}$ , then  $H^{\dagger\alpha}$  is a  $\mathfrak{G}$ -acceptable  $m$ -step subideal of  $L^\dagger$  by Lemmas 1.1 and 2.3. From the fact that the join of finitely many  $\mathfrak{G}$ -acceptable subideals of a Lie algebra is a  $\mathfrak{G}$ -acceptable subideal of that algebra (Theorem 4.3.3 in [5]),  $M = \langle H^{\dagger\alpha_1}, \dots, H^{\dagger\alpha_r} \rangle$  is a  $\mathfrak{G}$ -acceptable subideal of  $L^\dagger$  and so  $M^\dagger \text{ si } L$ . Therefore, by Lemma 2.2  $J = M^\dagger + K \text{ wi } L$ . This completes the proof.

Let  $H \triangleleft^m L$ ,  $K \text{ wi } L$  and  $J = \langle H, K \rangle$ . Then we can prove that  $J$  is a weak ideal of  $L$  for  $m=2$ , but for  $m=3$   $J$  is not necessarily a weak ideal (by the example in [7]).

**PROPOSITION 2.6.** *Let  $L$  be a Lie algebra over  $\Phi$  and let  $H \triangleleft^m L$ . Suppose that*

- (1)  $m=2$ , or
- (2)  $m=3$ ,  $H=H_3 \triangleleft H_2 \triangleleft H_1 \triangleleft L$  and  $H_2/H_3$ ,  $H_1/H_2$  are at most 1-dimensional. Then for any  $K \text{ wi } L$ ,  $\langle H, K \rangle \text{ wi } L$ .

**PROOF.** Suppose that  $H \triangleleft M \triangleleft L$ . By Proposition 2.1.10 in [5], we have  $\langle H^K \rangle = H^K \triangleleft M$  and then  $\langle H^K \rangle \triangleleft K+M$ . Let  $K \text{ n-wi } L$ . Then  $(K+M) \text{ n-wi } L$  by Lemma 2.1 and  $K \text{ n-wi } (K+M)$ . Therefore  $\langle H, K \rangle = K + \langle H^K \rangle \text{ n-wi } (K+M)$  and so  $\langle H, K \rangle \text{ 2n-wi } L$ . Thus the case (1) is proved.

Next we assume (2). By the case (1), we have that  $H_3 \triangleleft H_2 \triangleleft H_1 \cap \langle H_2, K \rangle \triangleleft \langle H_2, K \rangle \text{ wi } L$ . So it is enough to show that  $\langle H_3, K \rangle \text{ wi } \langle H_2, K \rangle$ . Hence we may assume that  $\langle H_2, K \rangle = L$ . Since  $H_3 \leq H_2 \cap \langle H_3, K \rangle \leq H_2$  and  $\dim(H_2/H_3) \leq 1$ , we have  $H_2 \leq \langle H_3, K \rangle$  or  $H_3 = H_2 \cap \langle H_3, K \rangle$ . In the first case, we have  $\langle H_3, K \rangle = \langle H_2, K \rangle = L$ . In the second case, we have  $H_2 \leq \langle H_2, H_1 \cap \langle H_3, K \rangle \rangle \leq H_1$ . Since  $\dim(H_1/H_2) \leq 1$ , we have  $H_1 = \langle H_2, H_1 \cap \langle H_3, K \rangle \rangle$  or  $H_2 = \langle H_2, H_1 \cap \langle H_3, K \rangle \rangle$ . If  $H_1 = \langle H_2, H_1 \cap \langle H_3, K \rangle \rangle$ , then  $H_3 \triangleleft H_1$  and so  $\langle H_3, K \rangle \text{ wi } L$  from the case (1). If  $H_2 = \langle H_2, H_1 \cap \langle H_3, K \rangle \rangle$ , then  $H_2 \geq H_1 \cap \langle H_3, K \rangle$  and so  $H_3 \leq H_1 \cap \langle H_3, K \rangle \leq H_2$ . As  $\dim(H_2/H_3) \leq 1$ , we have  $H_2 = H_1 \cap \langle H_3, K \rangle$  or  $H_3 = H_1 \cap \langle H_3, K \rangle$ . In the first case, we have  $H_2 \leq \langle H_3, K \rangle$  and so  $L = \langle H_2, K \rangle \leq \langle H_3, K \rangle$ , i.e.,  $\langle H_3, K \rangle = L$ . In the second case, we have  $[H_3, K] \subseteq H_1 \cap \langle H_3, K \rangle = H_3$  since  $H_1 \triangleleft L$ . Since  $H_3 \triangleleft H_2$ ,  $H_3 \triangleleft \langle H_2, K \rangle = L$  and so  $\langle H_3, K \rangle \text{ wi } L$  by Lemma 2.1. Thus the case (2) is proved. The proof is complete.

In the above proposition, (1) is an analogue of the last statement of Proposition 2.1.10 in [5] and (2) is a modification of Theorem 3.3 in [6].

**LEMMA 2.7.** *If  $H \triangleleft^m L$  and  $K \text{ n-wi } L$ , then  $\langle H^m, K^n \rangle \text{ wi } L$  for  $0 < n \leq m$ .*

**PROOF.** We can prove it in the same way as the statement before Lemma 2.31 in [2].

### §3. The pseudo-coalescency of $\mathfrak{C}$ and $\mathfrak{G}$

Let  $\mathfrak{C}$  be the class of Lie algebras  $L$  such that  $L^2$  has finite codimension in  $L$ . It easily follows that if  $L \in \mathfrak{C}$ , then every  $L^m$  of the lower central series of  $L$  has finite codimension in  $L$ . Clearly  $\mathfrak{C}$  contains the classes  $\mathfrak{F}$ ,  $\mathfrak{F}_\omega$ ,  $\mathfrak{G}$ , Min, Min- $\triangleleft^n$  ( $1 \leq n$ ), Min-asc, Max, Max- $\triangleleft^n$  ( $1 \leq n$ ), Max-asc, perfect Lie algebras

and simple Lie algebras. Furthermore  $\mathfrak{C}$  is  $\mathcal{Q}$ -closed and  $\mathfrak{C}_\omega = \mathfrak{C}_{(\omega)} = \mathfrak{C}$ . By Lemma 2.3, we have the following

LEMMA 3.1. *If  $K \text{ n-wi } L$  and  $K \in \mathfrak{C}$ , then  $K$  is a  $\mathfrak{G} \cap \mathfrak{R}$ -acceptable weak ideal of  $L$ .*

The class  $\mathfrak{C}$  has been defined by R. K. Amayo [2] to prove the coalescency of  $\mathfrak{G}$ , which was an open question of I. Stewart [9]. We shall show the following

THEOREM 3.2. *Over fields of characteristic 0, the class  $\mathfrak{C}$  is pseudo-coalescent.*

PROOF. Let  $H \text{ si } L$ ,  $K \text{ wi } L$ ,  $H, K \in \mathfrak{C}$  and  $J = \langle H, K \rangle$ . Then  $H$  and  $K$  are  $\mathfrak{G}$ -acceptable subalgebras of  $L$  by Lemma 3.1 and so  $J$  is a  $\mathfrak{G}$ -acceptable weak ideal of  $L$  by Theorem 2.5. We have

$$J/J^2 = (H + J^2/J^2) + (K + J^2/J^2).$$

Since  $H, K \in \mathfrak{C}$ , it follows that  $H + J^2/J^2$  is an  $\mathfrak{F}$ -subideal and  $K + J^2/J^2$  is an  $\mathfrak{F}$ -weak ideal of  $J/J^2$ . Therefore, by the pseudo-coalescency of  $\mathfrak{F}$  (Theorem 4.4 in [8])  $J/J^2 \in \mathfrak{F}$  and so  $J \in \mathfrak{C}$ . This completes the proof.

We say that a class  $\mathfrak{X}$  is  $p$ -persistent if in any Lie algebra the join of an  $\mathfrak{X}$ -subideal and an  $\mathfrak{X}$ -weak ideal is always an  $\mathfrak{X}$ -algebra. Evidently any pseudo-coalescent class is  $p$ -persistent and any  $p$ -persistent class is persistent.

By the definition we have the following

PROPOSITION 3.3. *Let  $\mathfrak{X}$  be a  $p$ -persistent class. Then for any pseudo-coalescent class  $\mathfrak{Y}$ ,  $\mathfrak{X} \cap \mathfrak{Y}$  is pseudo-coalescent.*

COROLLARY 3.4. *Over fields of characteristic 0, the classes  $\mathfrak{G}$ ,  $\mathfrak{G}_{(\omega)}$  and  $\mathfrak{G}_\omega$  are pseudo-coalescent.*

PROOF. Obviously,  $\mathfrak{G}$  is  $p$ -persistent and  $\mathfrak{G} < \mathfrak{C}$ . Therefore  $\mathfrak{G}$  is pseudo-coalescent by the above proposition and so are  $\mathfrak{G}_{(\omega)}$ ,  $\mathfrak{G}_\omega$  by Theorem 4.1 in [8].

Let  $\mathfrak{X}$  be  $\{1, \mathcal{Q}, \mathcal{E}\}$ -closed. Then  $\mathfrak{X}$  is coalescent if and only if  $\mathfrak{X} \cap \mathfrak{E}\mathfrak{A}$  is coalescent (Theorem E in [4]). We shall consider a necessary condition for  $\mathfrak{X} \cap \mathfrak{E}\mathfrak{A}$  to be pseudo-coalescent. First we show the following lemma which is an analogue of Theorem (3) in [4].

LEMMA 3.5. *Let  $\mathfrak{X}$  be  $\{1, \mathcal{Q}, \mathcal{E}\}$ -closed. Let  $H$  be an  $\mathfrak{X}$ -subideal and  $K$  be an  $\mathfrak{X}$ -weak ideal of  $L$ . If  $H, K$  are permutable or the ordered pair  $(H, K)$  forms a modular pair under  $L$ , then  $J = \langle H, K \rangle$  is an  $\mathfrak{X}$ -weak ideal of  $L$ .*

PROOF. By Lemma 2.2, for both cases  $J$  is a weak ideal of  $L$  and so it is enough to show that  $J \in \mathfrak{X}$ .

Suppose that  $H$  and  $K$  are permutable. Let  $H \triangleleft^m L$  and we induct on  $m$ . If  $m=0$ , the result is trivial. If  $m=1$ , then  $H \triangleleft L$  and  $J/H \simeq K/H \cap K \in \mathcal{Q}\mathfrak{X} = \mathfrak{X}$ . Since  $\mathfrak{X} = \mathcal{E}\mathfrak{X}$ ,  $J \in \mathfrak{X}$ . Assume that  $m > 1$  and the result is true for  $m-1$ . Let  $H_1 = \langle H^L \rangle$ . Then  $H_1 \cap J = H + H_1 \cap K$ , which implies  $H$  permutes with  $H_1 \cap K$ . Since  $H_1 \cap K \triangleleft K \in \mathfrak{X}$ ,  $H_1 \cap K \in \mathcal{I}\mathfrak{X} = \mathfrak{X}$  and  $H_1 \cap K$  wi  $H_1$ . Now  $H \triangleleft^{m-1} H_1$ . Hence, by the inductive assumption  $H_1 \cap J \in \mathfrak{X}$ . Since  $H_1 \cap J \triangleleft J$ ,  $J = H_1 \cap J + K$  and  $J/H_1 \cap J \simeq K/K \cap H_1 \in \mathcal{Q}\mathfrak{X} = \mathfrak{X}$ . As  $\mathfrak{X} = \mathcal{E}\mathfrak{X}$ , we have  $J \in \mathfrak{X}$ .

The case which  $(H, K)$  forms a modular pair under  $L$  is similarly proved.

The following classes are  $\{I, \mathcal{Q}, \mathcal{E}\}$ -closed:

$\mathfrak{F}$ ,  $\mathcal{E}\mathfrak{A}$ , Min, Min-si, Min-asc, Max, Max-si, Max-asc.

It follows that the join of an  $\mathcal{E}\mathfrak{A}$ -subideal and an  $\mathcal{E}\mathfrak{A}$ -weak ideal, which are permutable, is an  $\mathcal{E}\mathfrak{A}$ -weak ideal. The class  $\mathfrak{N}$  is  $\{I, \mathcal{Q}\}$ -closed but not  $\mathcal{E}$ -closed. However we can prove the statement similar to Lemma 3.5 by using Lemma 3.3 in [8]: If an  $\mathfrak{N}$ -subideal  $H$  and an  $\mathfrak{N}$ -weak ideal  $K$  of  $L$  are permutable, then the join  $\langle H, K \rangle$  is an  $\mathfrak{N}$ -weak ideal of  $L$ .

**THEOREM 3.6.** *Let  $\Phi$  be of characteristic 0. If  $\mathfrak{X}$  is a  $p$ -persistent subclass of  $\mathcal{C}$ , then  $\mathfrak{X} \cap \mathcal{E}\mathfrak{A}$  is pseudo-coalescent.*

**PROOF.** Let  $H$  si  $L$ ,  $K$  wi  $L$  and  $H, K \in \mathfrak{X} \cap \mathcal{E}\mathfrak{A}$ . Put  $J = \langle H, K \rangle$ . Since  $\mathfrak{X}$  is  $p$ -persistent,  $J \in \mathfrak{X}$  and so it is enough to show that  $J$  is a solvable weak ideal of  $L$ . Since  $\mathfrak{X} \leq \mathcal{C}$ ,  $H$  and  $K$  are  $\mathfrak{G}$ -acceptable subalgebras of  $L$  by Lemma 3.1. By Lemma 2.4, there exist finitely many automorphisms  $\alpha_1, \alpha_2, \dots, \alpha_r \in \exp(tK)$  such that  $J = M^\perp + K$ , where  $M = \langle H^{\uparrow \alpha_1}, \dots, H^{\uparrow \alpha_r} \rangle$ . By Lemmas 1.1 and 2.3,  $H^\uparrow$  is a solvable  $\mathfrak{G}$ -acceptable subideal of  $L^\uparrow$  and so is  $H^{\uparrow \alpha_i}$  for any  $i$ . By the derived join theorem (Theorem 3.3 in [1]) and Theorem 4.3.3 in [5],  $M$  is a solvable  $\mathfrak{G}$ -acceptable subideal of  $L^\uparrow$  and hence  $M^\perp$  is a solvable subideal of  $L$  by Lemma 1.1. Now  $M^\perp$  and  $K$  are permutable. Put  $\mathfrak{X} = \mathcal{E}\mathfrak{A}$  in Lemma 3.5. Then  $J = M^\perp + K$  is a solvable weak ideal of  $L$ . Therefore  $\mathfrak{X} \cap \mathcal{E}\mathfrak{A}$  is pseudo-coalescent. Thus the proof is completed.

Applying Theorem 3.6 for  $\mathfrak{F}$  and  $\mathfrak{G}$ , we have the following

**COROLLARY 3.7.** *Over fields of characteristic 0 the following classes are pseudo-coalescent:*

$$\mathcal{E}\mathfrak{A} \cap \mathfrak{F} = \mathcal{E}\mathfrak{A} \cap \mathfrak{F}_{(\omega)}, \mathcal{E}\mathfrak{A} \cap \mathfrak{F}_\omega, \mathcal{E}\mathfrak{A} \cap \mathfrak{G} = \mathcal{E}\mathfrak{A} \cap \mathfrak{G}_{(\omega)}, \mathcal{E}\mathfrak{A} \cap \mathfrak{G}_\omega, \mathcal{E}\mathfrak{A} \cap \mathcal{C}.$$

**REMARK.** Let the basic field  $\Phi$  be of characteristic  $p > 0$ . By the Hartley's example in [7], any class containing  $\mathfrak{A} \cap \mathfrak{F}$  is not pseudo-coalescent (see [8]). Thus all the classes in Theorem 3.2, Corollaries 3.4 and 3.7 are not pseudo-coalescent.

Next we shall show that  $\mathcal{C}$  is different from both  $\mathfrak{F}_\omega$  and  $\mathfrak{N}_\omega$ . Let  $L = A$

$+\Phi x$  be the Lie algebra over a field  $\Phi$  of characteristic 0 defined in [7] as follows:  $A$  is an abelian subalgebra with basis  $e_0, e_1, e_2, \dots$  and  $[e_i, x] = e_{i+1}$  for all  $i$ . Obviously we have  $A = L^2 + \Phi e_0$  and then  $L \in \mathfrak{C}$ . Let  $A_n = \sum_{i \geq n} \Phi e_i$ . Then  $[A_n, x] = A_{n+1}$  and  $L^n = A_{n-1}$  ( $n \geq 2$ ) by induction. Therefore we have  $L^\omega = \bigcap_{n=1}^{\infty} L^n = \bigcap_{n=1}^{\infty} A_n = (0)$ . Since  $L^n = A_{n-1} \neq (0)$  for any  $n$ ,  $L \notin \mathfrak{R}$ . Thus  $L$  does not belong to both  $\mathfrak{F}_\omega$  and  $\mathfrak{R}_\omega$ .

#### §4. Locally pseudo-coalescent classes

In this section we shall investigate the concept corresponding to local coalescence.

Let  $\mathfrak{X}$  be a class of Lie algebras.  $L\mathfrak{X}$  is the class of Lie algebras  $L$  such that any finite subset of  $L$  lies inside an  $\mathfrak{X}$ -subalgebra of  $L$ .  $\mathfrak{M}\mathfrak{X}$  is the class of Lie algebras  $L$  such that any finite subset of  $L$  lies inside an  $\mathfrak{X}$ -subideal of  $L$ .  $L$  and  $\mathfrak{M}$  are closure operations. Furthermore, we denote by  $\tilde{\mathfrak{M}}\mathfrak{X}$  the class of Lie algebras  $L$  such that any finite subset of  $L$  lies inside an  $\mathfrak{X}$ -weak ideal of  $L$ . Then it is easy to verify that  $\tilde{\mathfrak{M}}$  is a closure operation and  $\mathfrak{X} \leq \mathfrak{M}\mathfrak{X} \leq \tilde{\mathfrak{M}}\mathfrak{X} \leq L\mathfrak{X}$ . We say that a class  $\mathfrak{X}$  is locally pseudo-coalescent if and only if whenever  $H$  is an  $\mathfrak{X}$ -subideal and  $K$  is an  $\mathfrak{X}$ -weak ideal of a Lie algebra  $L$ , then every finite subset  $F$  of  $J = \langle H, K \rangle$  is contained in some  $\mathfrak{X}$ -weak ideal  $X$  of  $L$  such that  $F \subseteq X \leq J$ . Evidently any pseudo-coalescent class is locally pseudo-coalescent.

We begin with the following

**PROPOSITION 4.1.** (a) *If  $\mathfrak{X}$  is locally pseudo-coalescent, then  $\mathfrak{X} \cap \mathfrak{G}$  is pseudo-coalescent.*

(b) *If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are s-closed and locally pseudo-coalescent, then  $\mathfrak{X} \cap \mathfrak{Y}$  is locally pseudo-coalescent.*

(c) *If  $\mathfrak{X}$  is  $\tilde{\mathfrak{M}}$ -closed and locally pseudo-coalescent and  $\mathfrak{Y}$  is pseudo-coalescent, then  $\mathfrak{X} \cap \mathfrak{Y}$  is pseudo-coalescent.*

**PROOF.** (a) The proof is immediate.

(b) Let  $H$  (resp.  $K$ ) be an  $\mathfrak{X} \cap \mathfrak{Y}$ -subideal (resp. an  $\mathfrak{X} \cap \mathfrak{Y}$ -weak ideal) of a Lie algebra  $L$ . Put  $J = \langle H, K \rangle$  and let  $F$  be any finite subset of  $J$ . Then there exist an  $\mathfrak{X}$ -weak ideal  $X$  and a  $\mathfrak{Y}$ -weak ideal  $Y$  of  $L$  such that  $F \subseteq X \leq J$  and  $F \subseteq Y \leq J$ . Since  $\mathfrak{X}$  and  $\mathfrak{Y}$  are s-closed, it follows that  $X \cap Y$  is an  $\mathfrak{X} \cap \mathfrak{Y}$ -weak ideal of  $L$ . Hence  $\mathfrak{X} \cap \mathfrak{Y}$  is locally pseudo-coalescent.

(c) Let  $H$  (resp.  $K$ ) be an  $\mathfrak{X} \cap \mathfrak{Y}$ -subideal (resp. an  $\mathfrak{X} \cap \mathfrak{Y}$ -weak ideal) of a Lie algebra  $L$  and put  $J = \langle H, K \rangle$ . Since  $\mathfrak{Y}$  is pseudo-coalescent,  $J$  is a  $\mathfrak{Y}$ -weak ideal of  $L$ . For any finite subset  $F$  of  $J$ , there exists an  $\mathfrak{X}$ -weak ideal  $X$  of  $L$  such that  $F \subseteq X \leq J$ . Since  $X$  is an  $\mathfrak{X}$ -weak ideal of  $J$ , this implies that  $J \in \tilde{\mathfrak{M}}\mathfrak{X} = \mathfrak{X}$ . Therefore  $\mathfrak{X} \cap \mathfrak{Y}$  is pseudo-coalescent.



We shall show the following statements which are analogous to Theorems 4.1 and 4.2 in [8].

**THEOREM 4.2.** (a) Let  $\mathfrak{X}$  be a  $\mathcal{Q}$ -closed subclass of  $(\mathfrak{E}\mathfrak{A})_{(\omega)}$ . If  $\mathfrak{X}$  is locally pseudo-coalescent, then so is  $\mathfrak{X}_{(\omega)}$ .

(b) Let  $\mathfrak{X}$  be an  $\{\mathfrak{s}, \mathcal{Q}\}$ -closed subclass of  $\mathfrak{N}_{\omega}$ . If  $\mathfrak{X}$  and  $\mathfrak{N} \cap \mathfrak{X}$  are locally pseudo-coalescent, then so is  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{X}$ .

**PROOF.** (a) Let  $H$  (resp.  $K$ ) be an  $\mathfrak{X}_{(\omega)}$ -subideal (resp. an  $\mathfrak{X}_{(\omega)}$ -weak ideal) of a Lie algebra  $L$ . Put  $I = H^{(\omega)} + K^{(\omega)}$ . Then  $I \triangleleft L$ . Therefore  $H + I/I$  (resp.  $K + I/I$ ) is an  $\mathfrak{X}$ -subideal (resp. an  $\mathfrak{X}$ -weak ideal) of  $L/I$  since  $\mathfrak{X}$  is  $\mathcal{Q}$ -closed. Put  $J = \langle H, K \rangle$  and let  $F$  be any finite subset of  $J$ . If we denote  $\bar{F}$  the image of  $F$  under the natural homomorphism of  $L$  onto  $L/I$ ,  $\bar{F}$  is a finite subset of  $J/I$ . Since  $\mathfrak{X}$  is locally pseudo-coalescent, there exists a subalgebra  $X$  of  $L$  such that  $\bar{F} \subseteq X/I \subseteq J/I$  and  $X/I$  is an  $\mathfrak{X}$ -weak ideal of  $L/I$ . Therefore  $F \subseteq X \subseteq J$  and  $X \text{ wi } L$ . Now we have  $\mathfrak{X}_{(\omega)} \leq (\mathfrak{E}\mathfrak{A})_{(\omega)}$  since  $\mathfrak{X} \leq (\mathfrak{E}\mathfrak{A})_{(\omega)}$ . Hence  $H/H^{(\omega)}$  and  $K/K^{(\omega)}$  are solvable and therefore  $H^{(\omega)} = H^{(n)}$  and  $K^{(\omega)} = K^{(n)}$  for some integer  $n$ . It follows that  $I^{(\omega)} = I$  and so  $X^{(\omega)} \geq I$ . Therefore  $X/X^{(\omega)} \in \mathcal{Q}\mathfrak{X} = \mathfrak{X}$  and hence  $X \in \mathfrak{X}_{(\omega)}$ . Thus  $\mathfrak{X}_{(\omega)}$  is locally pseudo-coalescent.

(b) Let  $H$  (resp.  $K$ ) be an  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{X}$ -subideal (resp. an  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{X}$ -weak ideal) of a Lie algebra  $L$ . Put  $J = \langle H, K \rangle$  and let  $F$  be any finite subset of  $J$ . Since  $\mathfrak{X}$  is locally pseudo-coalescent, there exists an  $\mathfrak{X}$ -weak ideal  $X$  of  $L$  such that  $F \subseteq X \subseteq J$ . If we put  $I = H^{\omega} + K^{\omega}$ , then  $I$  is an ideal of  $L$ . Since  $\mathfrak{X} = \mathcal{Q}\mathfrak{X}$  and  $\mathfrak{X} \leq \mathfrak{N}_{\omega}$ ,  $H + I/I$  (resp.  $K + I/I$ ) is an  $\mathfrak{N} \cap \mathfrak{X}$ -subideal (resp. an  $\mathfrak{N} \cap \mathfrak{X}$ -weak ideal) of  $L/I$ . If we denote by  $\bar{F}$  the image of  $F$  under the natural homomorphism of  $L$  onto  $L/I$ ,  $\bar{F}$  is a finite subset of  $J/I$ . Since  $\mathfrak{N} \cap \mathfrak{X}$  is locally pseudo-coalescent, there exists a subalgebra  $Y$  of  $L$  such that  $\bar{F} \subseteq Y/I \subseteq J/I$  and  $Y/I$  is an  $\mathfrak{N} \cap \mathfrak{X}$ -weak ideal of  $L/I$ . Therefore  $F \subseteq Y \subseteq J$  and  $Y \text{ wi } L$ . Now  $I$  is solvable and so is  $Y$ . Since  $\mathfrak{X} = \mathfrak{s}\mathfrak{X}$ ,  $X \cap Y$  is an  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{X}$ -weak ideal of  $L$  and  $F \subseteq X \cap Y \subseteq J$ . Thus  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{X}$  is locally pseudo-coalescent. The proof is complete.

Furthermore, we can show the following theorem which corresponds to Theorem 3.2 in [12].

**THEOREM 4.3.** Let  $\mathfrak{X}$  and  $\mathfrak{Y}$  be classes such that  $\mathfrak{X} \leq \mathfrak{Y} \leq \mathfrak{M}\mathfrak{X}$ . Then  $\mathfrak{X}$  is locally pseudo-coalescent if and only if  $\mathfrak{Y}$  is locally pseudo-coalescent.

**PROOF.** Assume that  $\mathfrak{X}$  is locally pseudo-coalescent. Let  $H$  (resp.  $K$ ) be a  $\mathfrak{Y}$ -subideal (resp. a  $\mathfrak{Y}$ -weak ideal) of a Lie algebra  $L$ . If  $F$  is a finite subset of  $J = \langle H, K \rangle$ , then there exist finite sets  $A \subseteq H$  and  $B \subseteq K$  such that  $F \subseteq \langle A, B \rangle \subseteq J$ . Since  $H$  is an  $\mathfrak{M}\mathfrak{X}$ -subalgebra, there exists an  $\mathfrak{X}$ -subideal  $M$  of  $H$  containing  $A$ . Similarly there exists an  $\mathfrak{X}$ -subideal  $N$  of  $K$  containing  $B$ . Then  $F \subseteq \langle M, N \rangle$ . Now  $M$  (resp.  $N$ ) is an  $\mathfrak{X}$ -subideal (resp. an  $\mathfrak{X}$ -weak ideal)

of  $L$  and  $\mathfrak{X}$  is locally pseudo-coalescent. Therefore there exists an  $\mathfrak{X}$ -weak ideal  $X$  of  $L$  such that  $F \subseteq X \leq \langle M, N \rangle$ . Clearly  $X$  belongs to  $\mathfrak{Y}$  and  $F \subseteq X \leq J$ . Therefore  $\mathfrak{Y}$  is locally pseudo-coalescent.

Conversely, assume that  $\mathfrak{Y}$  is locally pseudo-coalescent. Let  $H$  (resp.  $K$ ) be an  $\mathfrak{X}$ -subideal (resp. an  $\mathfrak{X}$ -weak ideal) of a Lie algebra  $L$ . Then  $H$  (resp.  $K$ ) is a  $\mathfrak{Y}$ -subideal (resp. a  $\mathfrak{Y}$ -weak ideal) of  $L$ . Put  $J = \langle H, K \rangle$  and let  $F$  be any finite subset of  $J$ . Then there exists a  $\mathfrak{Y}$ -weak ideal  $Y$  of  $L$  such that  $F \subseteq Y \leq J$ . Since  $\mathfrak{Y} \leq \mathfrak{M}\mathfrak{X}$ , there exists an  $\mathfrak{X}$ -subideal  $X$  of  $Y$  such that  $F \subseteq X \leq Y$ . Clearly  $X$  is an  $\mathfrak{X}$ -weak ideal of  $L$  and  $F \subseteq X \leq J$ . Therefore  $\mathfrak{X}$  is locally pseudo-coalescent. This proof is complete.

We recall the following classes:

$\mathfrak{D}$ : The class of Lie algebras  $L$  such that every subalgebra of  $L$  is a subideal of  $L$ .

$\mathfrak{D}^*$ : The class of Lie algebras  $L$  such that each element of  $L$  generates a subideal of  $L$ .

Then it is known that  $\mathfrak{N} \leq \mathfrak{D} \leq \mathfrak{D}^* \leq \mathfrak{L}\mathfrak{N}$  [2, 9].

Let a field  $\Phi$  be of characteristic 0.

$\mathfrak{F}_i$ : The class of Fitting algebras, that is, the class of Lie algebras which are generated by their nilpotent ideals.

$\mathfrak{B}$ : The class of Baer algebras, that is, the class of Lie algebras which are generated by their nilpotent subideals.

By the coealescency of  $\mathfrak{N} \cap \mathfrak{F}$  and Theorem 6.2.1 in [5], we have

$$\mathfrak{D}^* = \mathfrak{B} = \mathfrak{M}(\mathfrak{N} \cap \mathfrak{F}), \mathfrak{N} \leq \mathfrak{F}_i \leq \mathfrak{B}, \mathfrak{N} \leq \mathfrak{D} \leq \mathfrak{B}.$$

Applying the above theorem for  $\mathfrak{N} \cap \mathfrak{F}$  and other pseudo-coalescent classes, we have the following

**COROLLARY 4.4.** *Over fields of characteristic 0 the following classes are locally pseudo-coalescent:*

- (1)  $\mathfrak{N}, \mathfrak{D}, \mathfrak{F}_i, \mathfrak{B}, \mathfrak{N}_{(\omega)},$
- (2)  $\mathfrak{M}(\mathfrak{E}\mathfrak{N} \cap \mathfrak{F}), \mathfrak{M}(\mathfrak{E}\mathfrak{N} \cap \mathfrak{G}), \mathfrak{M}\mathfrak{F}, \mathfrak{M}\mathfrak{G}, \mathfrak{M}\mathfrak{C}, \mathfrak{M}\mathfrak{F}_{(\omega)}, \mathfrak{M}\mathfrak{F}_{\omega}, \mathfrak{M}\mathfrak{G}_{(\omega)}, \mathfrak{M}\mathfrak{G}_{\omega}, \mathfrak{M}\mathfrak{N}_{(\omega)}.$

We generalize the 'only if' part in Theorem 4.3 in the following

**PROPOSITION 4.5.** *Let  $\mathfrak{X}$  and  $\mathfrak{Y}$  be classes such that  $\mathfrak{X} \leq \mathfrak{Y} \leq \tilde{\mathfrak{M}}\mathfrak{X}$ . If  $\mathfrak{Y}$  is locally pseudo-coalescent, then so is  $\mathfrak{X}$ .*

**PROOF.** Let  $H$  (resp.  $K$ ) be an  $\mathfrak{X}$ -subideal (resp. an  $\mathfrak{X}$ -weak ideal) of a Lie algebra  $L$ . Put  $J = \langle H, K \rangle$  and let  $F$  be any finite subset of  $J$ . Then there exists a  $\mathfrak{Y}$ -weak ideal  $Y$  of  $L$  such that  $F \subseteq Y \leq J$ . Since  $\mathfrak{Y} \leq \tilde{\mathfrak{M}}\mathfrak{X}$ , there exists an  $\mathfrak{X}$ -weak ideal  $X$  of  $Y$  such that  $F \subseteq X \leq Y$ . Therefore  $X$  is an  $\mathfrak{X}$ -weak ideal of

$L$  and  $F \subseteq X \leq J$ . Thus  $\mathfrak{X}$  is locally pseudo-coalescent.

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