

## Structure of Rings Satisfying $(Hm)$ and $(Ham)$

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All rings considered in this paper are commutative but may not have a unity. An ideal  $A$  of a ring  $R$  is said to be a multiplication ideal if for every ideal  $B$  of  $R$ ,  $B \subseteq A$ , there is an ideal  $C$  of  $R$  such that  $B = AC$ . An ideal  $A$  is said to be an  $M$ -ideal if for every ideal  $B$  containing  $A$ , there is an ideal  $C$  such that  $A = BC$ .  $R$  is said to be a multiplication ring if every ideal of  $R$  is a multiplication ideal (equivalently every ideal is an  $M$ -ideal). A ring  $R$  is said to be an  $(AM)$ -ring if for any two ideals  $A$  and  $B$  of  $R$ ,  $A < B$ , there is an ideal  $C$  of  $R$  such that  $A = BC$ . An ideal  $A$  is said to be simple if there is no ideal  $A'$  with  $A^2 < A' < A$ . A ring  $R$  is said to be primary if  $R$  has at most one proper prime ideal.  $R$  is said to be a special primary ring if  $R$  has a prime ideal  $P$  such that every ideal of  $R$  is a power of  $P$ . If  $S$  is a multiplicatively closed subset of  $R$  and  $A$  is any ideal then  $A^e$  denotes the extension of  $A$  to the quotient ring  $R_S$  and  $A^{ec}$  denotes the contraction of  $A^e$  to  $R$ . A ring is said to satisfy  $(*)$ -condition if every ideal with prime radical is primary. A ring  $R$  is said to satisfy  $(Hm)$  or  $(Ham)$  according as every proper homomorphic image of  $R$  is a multiplication ring or an  $(AM)$ -ring. The purpose of this note is to determine the structure of rings satisfying  $(Hm)$  and  $(Ham)$  and the desired structure is given by Theorems 1.7 and 2.5.

1. Let  $R$  be a ring and  $N$  be its set of nilpotent elements. For any subset  $S$  of  $R$ , define  $S^\perp = (N : S) = \text{set of all } x \text{ in } R \text{ such that } xS \subseteq N$  [7, p. 434]. The following lemma is due to Griffin [7, Lemma 7].

LEMMA 1.1. *If for any element  $x$  of a ring  $R$  there exists an ideal  $D$  such that  $(x) = D(N + (x) + x^\perp)$  then there is an idempotent  $e \in (x^\perp)^\perp$  and a positive integer  $n$  such that  $x^n = ex^n$ .*

LEMMA 1.2. *If  $R$  is a ring satisfying  $(Hm)$  and  $x \in R$  such that  $x^2 \neq 0$  then  $(x)$  is an  $M$ -ideal.*

PROOF. Suppose  $A$  is any ideal of  $R$  such that  $x \in A$ . Now  $(x)/(x^2) \subseteq A/(x^2)$  in  $R/(x^2)$  which is a multiplication ring. There is an ideal  $I$  containing  $x^2$  such that  $(x)/(x^2) = (A/(x^2))(I/(x^2))$ . Thus  $(x) = AI + (x^2) = A(I + (x)) + (x^2) = A(I + (x))$ , since  $x^2 \in A(I + (x))$ . Therefore  $(x)$  is an  $M$ -ideal.

COROLLARY 1.3. *If  $R$  is a ring satisfying  $(Hm)$  such that  $\text{rad}(0) = (0)$  then  $R$  is a multiplication ring.*

**COROLLARY 1.4.** *If  $R$  is a ring satisfying (Hm) and  $x \in R$  with  $x^2 \neq 0$  then there are an idempotent  $e \in (x^\perp)^\perp$  and an integer  $n$  such that  $x^n = ex^n$ .*

**PROOF.** It follows from Lemmas 1.1 and 1.2.

**LEMMA 1.5.** *If  $R$  is a ring satisfying (Hm) such that  $x^2 \neq 0$  for some  $x \in R$  then  $R$  is idempotent.*

**PROOF.** Since  $R/(x^2)$  is a multiplication ring,  $(R/(x^2))^2 = R/(x^2)$ . Thus  $R = R^2 + (x^2) = R^2$ .

**THEOREM 1.6.** *If  $R$  is a ring satisfying (Hm) and  $x \in R$  such that  $x^2 \neq 0$  then there exists an idempotent  $e$  such that  $x = ex$ .*

**PROOF.** Since  $x^2 \neq 0$ ,  $(x)$  is an  $M$ -ideal. There is an ideal  $I$  of  $R$  such that  $(x) = IR = IR^2 = (IR)R = xR$ . Let  $x = xy$ ,  $y \in R$ . Now  $0 \neq x^2 = x^2y^2$  implies that  $y^2 \neq 0$  and by Corollary 1.4 we get an idempotent  $e$  and an integer  $n$  such that  $y^n = ey^n$ . Then  $x = xy = xy^2 = \dots = xy^n = x(ey^n) = e(xy^n) = ex$ .

**NOTATION.** Let  $R$  be a ring and  $x$  a non-zero element of  $R$ . If there exists a prime integer  $p$  such that  $px = 0 = x^2$  then we denote  $I_p^x = \{x, 2x, \dots, px = 0\}$  which is isomorphic to  $Z/(p)$  as a  $Z$ -module.

**THEOREM 1.7.\*** *A ring  $R$  satisfies (Hm) if and only if  $R$  satisfies one of the following:*

- I.  $R$  is a multiplication ring.
- II.  $x^2 = 0$  for each  $x \in R$  and  $R = I_p^x$  type.
- III.  $R$  has a unity and a unique maximal ideal  $M$  such that
  - (i)  $M^2 = (0)$ .
  - (ii) If  $x, y \in M$  such that  $(x) \not\subseteq (y)$  and  $(y) \not\subseteq (x)$  then  $M = (x) + (y)$ .
  - (iii) There is an ideal  $A$  such that  $(0) < A < M$  and every such  $A$  is principal.
  - (iv)  $R$  does not contain a chain of five ideals.
  - (v)  $R$  is noetherian.

**PROOF.** Assume  $R$  satisfies (Hm). Suppose II does not hold. Let  $x \in R$  such that  $x^2 \neq 0$ . By Theorem 1.6 there exists an idempotent  $e$  such that  $x = ex$ . Let  $A = eR$  and  $B = \{r - er : r \in R\}$ . Then  $A$  and  $B$  are ideals of  $R$  and it is easy to see that  $R = A \oplus B$ . Clearly  $A \neq (0)$ . If  $A < R$  then  $B \neq (0)$  and hence  $A (\cong R/B)$  and  $B (\cong R/A)$  are multiplication rings and consequently  $R$  is a multiplication ring. If  $A = R$  then  $e$  is the unity of  $R$  and (i) to (v) of III follow from [14, Theorem 2.5 and Theorem 3.12]. Now suppose  $x^2 = 0$  for each  $x$  in  $R$ . If  $(0) < (x) < R$ ,

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\*) I am indebted to the referee, whose comments enabled me to put Theorem 1.7 in the present form.

then  $R/(x)$  is a multiplication ring. Let  $\bar{e} = e + (x)$  be any non-zero idempotent in  $R/(x)$ . It can be easily seen that  $e^2 \neq 0$  which is impossible. Thus  $R = (x)$  for every  $x \neq 0$  in  $R$ . It is now plain that  $R = I_p^x$  type for some prime integer  $p$ .

The converse is trivial, for if  $R$  satisfies I or II then  $R$  evidently satisfies (Hm) and if  $R$  satisfies III then  $R$  satisfies (Hm) by [14, Theorem 3.12].

**COROLLARY 1.8.** *A ring satisfying (Hm) satisfies (\*)-condition.*

**PROOF.** This follows from Theorem 1.7 and [6, Theorem 7].

**2.** In this section we establish the structure of rings satisfying (Ham). The structure of (AM)-rings was established by Mori [10] and Griffin [7].

**LEMMA 2.1.** *If  $R$  is an (AM)-ring then  $R$  satisfies one of the following:*

- I.  $R = R^2$  and hence  $R$  is a multiplication ring.
- II.  $R \neq R^2$  and every non-zero ideal of  $R$  is principal and a power of  $R$ .

**PROOF.** This is [7, Proposition 4].

**LEMMA 2.2.** *Let  $R$  be a ring satisfying (Ham). If  $A < B$  are ideals of  $R$  such that  $AB \neq (0)$  then there is an ideal  $C$  of  $R$  such that  $A = CB$ .*

**PROOF.** Let  $a \in A$  and  $b \in B$  such that  $ab \neq 0$ . Since  $A/(a) < B/(a)$ , there is an ideal  $I$  containing  $(a)$  such that  $A/(a) = (I/(a))(B/(a))$ . Thus  $A = IB + (a)$ . Again  $(a)/(ab) < B/(ab)$  implies that there is an ideal  $J$  containing  $(ab)$  such that  $(a) = JB + (ab)$ . Thus  $A = IB + JB + (ab) = (I + J)B + (ab) = (I + J)B$ .

**COROLLARY 2.3.** *If  $R$  is a ring satisfying (Ham) without nilpotent elements then  $R$  is an (AM)-ring.*

**LEMMA 2.4.** *If  $A$  is any ideal of a ring  $R$  such that there is no ideal of  $R$  properly between  $A$  and  $A^2$  then for every positive integer  $n$ , the only ideals between  $A$  and  $A^n$  are  $A, A^2, A^3, \dots, A^n$ .*

**PROOF.** This is [3, Lemma 3].

**THEOREM 2.5.** *A ring  $R$  satisfies (Ham) if and only if  $R$  satisfies one of the following:*

- I.  $R = R^2$  and  $R$  satisfies (Hm).
- II.  $R \neq R^2$  but  $R^2 = (0)$  such that every non-zero proper ideal of  $R$  is of the type  $I_p^x$  and every two proper distinct ideals  $I_p^x$  and  $I_q^y$  intersect at  $(0)$  and  $R = I_p^x \oplus I_q^y$ .
- III. Either  $R$  is an (AM)-ring or there is a non-zero proper prime ideal  $P$  of  $R$  satisfying the following:
  - (i)  $P^2 = (0)$  and  $P = I_p^x$  type.

- (ii)  $P < R^2$  or  $R = R^2 \oplus P$ .  
 (iii) The only ideals of  $R$  are  $(0)$ ,  $P$ ,  $R$ ,  $R^2, \dots$ . Each ideal of  $R$  is generated by at most two elements.

PROOF. Suppose  $R$  satisfies (Ham).

Case I.  $R = R^2$ . We shall prove that  $R$  satisfies I. Let  $A \neq (0)$  be any ideal of  $R$ . Since  $R/A$  is an (AM)-ring and  $(R/A)^2 = R/A$ , we deduce from Lemma 2.1 that  $R/A$  is a multiplication ring. Thus  $R$  satisfies (Hm).

Case II.\*  $(0) = R^2 < R$ . In this case the ideals of  $R$  are the  $Z$ -submodules of the additive group  $R$ . By Lemma 2.1, every homomorphic image of  $R$  is simple and isomorphic to  $Z/(p)$  for some prime  $p$ . It follows that  $R$  is a finitely generated abelian group. By Lemma 2.1,  $R$  satisfies the condition II.

Case III.  $(0) < R^2 < R$ . Let  $0 \neq y \in R^2$ . Suppose there is an ideal  $I$  such that  $R^2 < I < R$ . Then  $R/(y)$  is an (AM)-ring and  $(R/(y))^2 = (R^2 + (y))/(y) = R^2/(y) < R/(y)$ . Lemma 2.1 implies that every non-zero ideal of  $R/(y)$  is a power of  $R/(y)$  which is impossible since  $(R/(y))^2 < I/(y) < R/(y)$ . Thus there is no ideal of  $R$  properly between  $R$  and  $R^2$ . Using Lemma 2.4 we deduce that the only ideals of  $R$  between  $R$  and  $R^n$  are  $R, R^2, \dots, R^n$  for every integer  $n$ . Hence every ideal of  $R$  properly containing  $(y)$  is a power of  $R$ . Let  $A$  be any ideal of  $R$ . If  $A^2 \neq (0)$  then every ideal of  $R$  properly containing  $A^2$  is a power of  $R$ . In particular if  $A^2 < A$  then  $A$  is a power of  $R$ . Hence for every ideal  $A$  of  $R$ , either  $A^2 = (0)$  or  $(0) \neq A = A^2$  or  $A$  is a power of  $R$ . Suppose  $A^2 \neq (0)$  and  $A$  is not a power of  $R$ . Then  $A = A^2$ . Let  $0 \neq x \in A^2$ . Then every ideal of  $R$  properly containing  $(x)$  is a power of  $R$ . As  $(x) \subseteq A$  and  $A$  is not a power of  $R$ , we get  $(x) = A$ . Since  $A = A^2$ ,  $(x) = (x^2) = (x^3) = \dots$ . Let  $x = rx^2, r \in R$ . Then  $(rx)^2 = rx$ . Denote  $e = rx$ . Then  $e$  is a non-zero idempotent and  $A = (x) = (e)$ . Let  $B = \{r - er : r \in R\}$ . Then  $R = A \oplus B$ .  $A \cong R/B$  and  $A^2 = A$  implies that  $A$  is a multiplication ring. Since  $R$  is not a multiplication ring,  $B$  is not a multiplication ring. But  $B \cong R/A$  is an (AM)-ring. Therefore  $B^2 \neq B$ . Hence  $B^2 = (0)$  or  $B = R^k$  for some integer  $k > 1$ . If  $B^2 = (0)$ , then  $R^2 = A^2 \oplus B^2 = A^2 = A \subseteq R$ . We get that  $A = R^2$  which is impossible. Now suppose that  $B = R^k, k > 1$ . Then  $R = A \oplus R^k = A^2 \oplus R^k \subseteq R^2$  which is again impossible. Thus for every ideal  $A$  of  $R$ , either  $A$  is a power of  $R$  or  $A^2 = (0)$ . If  $A$  is any proper ideal of  $R$  such that  $A \not\subseteq R^2$ , then  $R = R^2 + A$ . If there is a non-zero  $y \in R^2 \cap A$ , then  $A$  is a power of  $R$  or  $A = (y) \subseteq R^2$ , a contradiction. Hence  $R = R^2 \oplus A$ . Let  $0 \neq a \in A$ . Then as above  $R = R^2 \oplus (a)$  and therefore  $A = (a)$ . Thus every non-zero ideal  $A$  of  $R$  satisfies one of the following:

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\*) I am thankful to the referee for suggesting me the proof of Case II which has considerably simplified my original proof.

- (i)  $A$  is a power of  $R$ .  
(ii)  $A^2 = (0)$ ,  $A$  is a principal ideal generated by every non-zero element of  $A$  such that either  $R = R^2 \oplus A$  or  $A < R^2$ .

Also  $R^2 \neq (0)$ . Let  $a, b \in R$  such that  $ab \neq 0$ . If  $(ab) < (a)$  then  $(a)$  is a power of  $R$  and if  $(ab) < (b)$  then  $(b)$  is a power of  $R$ . If  $(ab) = (a) = (b)$  then we get  $(a) = (a^2)$  and such a case is impossible, as we have already proved. Thus for some  $k$ ,  $R^k = (x)$  is a principal ideal. If  $k = 1$  then every ideal of  $R$  is principal. Suppose  $k > 1$ . Let  $R^t$  be any power of  $R$ . We can find a least integer  $m$  such that  $t < 2mk$ . If  $R^t = R^{2mk}$  then  $R^t$  is a principal ideal. If  $R^t > R^{2mk}$  and  $R^{2mk} \neq (0)$  then  $R^t/R^{2mk}$  is a non-zero ideal of  $R/R^{2mk}$  which is an  $(AM)$ -ring whose every ideal is principal. Since  $R^{2mk}$  and  $R^t/R^{2mk}$  are principal ideals,  $R^t$  is generated by at most two elements. If  $R^{2mk} = (0)$  then by Lemma 2.4, the only ideals of  $R$  are powers of  $R$  and hence  $R$  is an  $(AM)$ -ring.

Consider now  $\text{rad}(0)$ . If  $\text{rad}(0) = R$  then every element of  $R$  is nilpotent. Thus  $R^k = (x)$  is nilpotent, showing that  $R$  is an  $(AM)$ -ring. If  $\text{rad}(0) \neq R$  then there is a prime ideal  $P$ ,  $(0) < P < R$ . Clearly  $P$  is not a power of  $R$ . Thus  $P^2 = (0)$  and  $P$  is the principal ideal generated by every non-zero element of  $P$  such that either  $R = R^2 \oplus P$  or  $P < R^2$ . Suppose  $A \neq (0)$  be any ideal of  $R$  which is not a power of  $R$ . Then  $A^2 = (0)$  and it implies that  $A \subseteq P$ . Since  $P$  is generated by every non-zero element of  $P$ ,  $A = P$ . Thus  $P$  is the only non-zero ideal of  $R$  which is not a power of  $R$ . Hence either  $R$  is an  $(AM)$ -ring or there is a prime ideal  $P$  of  $R$  such that  $P = I_p^x$  type,  $P < R^2$  or  $R = R^2 \oplus P$ .

Now assume that  $R$  satisfies any one of I, II, III. If  $R$  satisfies I then clearly  $R$  satisfies  $(Ham)$ . Suppose  $R$  satisfies II. If  $A$  is any non-zero proper ideal of  $R$  then  $R = A \oplus I_q^y$  type by II. Since  $I_q^y$  is an  $(AM)$ -ring,  $R/A (\cong I_q^y)$  is an  $(AM)$ -ring and hence  $R$  satisfies  $(Ham)$ . Lastly assume that  $R$  satisfies III. If  $R^k \neq (0)$  for any  $k$  then  $R/R^k$  is clearly an  $(AM)$ -ring. It remains only to verify that  $R/P$  is an  $(AM)$ -ring. Now any non-zero ideal of  $R/P$  is  $(R^k + P)/P$ ,  $k$  an integer such that  $R^k \not\subseteq P$ . Now  $(R^k + P)/P = (R/P)^k$  and hence  $R/P$  is an  $(AM)$ -ring.

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