

# ENDOMORPHISM RINGS OF INDUCED LINEAR REPRESENTATIONS<sup>1</sup>

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## Introduction

Let  $\psi$  be a complex linear character of a subgroup  $H$  of a finite group  $G$ . In [2], C. W. Curtis and the author exhibited a basis and corresponding structure constants for the endomorphism ring  $E$  of a module affording the induced character  $\psi^G$ . In this paper we attack the same problem at characteristic  $p$ .

Section one establishes a relationship between the endomorphism ring  $E$  with an endomorphism ring at characteristic  $p$  related to  $\psi$ , while section two examines the decomposition theory of  $E$  relative to that of the group-algebra of  $G$ .

The following notations will be used throughout this paper:

$G$	a finite group of order $ G $
$H$	a subgroup of $G$ of order $ H $
$K$	a $p$ -adic number field containing the $ G ^{\text{th}}$ roots of 1
$R$	the ring of integers in $K$
$P$	the maximal ideal of $R$
$F$	the residue class field $R/P$
$\psi$	a linear representation of $H$ in $K$
$e$	the idempotent $ H ^{-1} \sum_{h \in H} \psi(h^{-1})h$ in $KH$
$M$	the right $KH$ -module $eKH$
$N$	the right $KG$ -module $eKG$
$E$	the endomorphism ring $eKGe$ .

Observe that the  $KH$ -module  $M$  affords the representation  $\psi$ , and  $N = eKG \simeq eKH \otimes_{KH} KG = M^G$ . Finally,  $E = eKGe \simeq \text{End}_{KG}(N)$ , where we view  $E$  as operating on the left of  $N$ . For additional notation and terminology the reader may consult [2] and [3].

The following is a routine result about orders, modules and endomorphism rings which sets the stage for our discussion.

(0.1) PROPOSITION. *Let  $R$  be a noetherian domain with quotient field  $K$  and let  $A$  be a finite-dimensional  $K$ -algebra with  $R$ -order  $A'$ . Suppose  $L$  is a right  $A$ -module and  $L'$  a finitely generated right  $A'$ -submodule of  $L$  such that  $L'K = L$ . Then every  $A'$ -endomorphism of  $L'$  can be extended uniquely to an  $A$ -endomorphism of  $L$ , and under this embedding  $\text{End}_{A'}(L')$  is an  $R$ -order in  $\text{End}_A(L)$ .*

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<sup>1</sup> This paper is a portion of a Ph.D. Thesis by the author submitted to The University of Oregon, August, 1968.

Received July 14, 1969.

We include the following result [2, Theorem 2.2] giving a basis and structure constants for  $E = eKGe$ .

(0.2) THEOREM. Assume  $G, H, \psi$  are as above. If  $\{g_i\}$  is a set of representatives of the distinct  $(H, H)$ -double cosets  $HgH$  for which  $\psi^g = \psi$  on  $H^{(g)}$ , then the set of  $a_i = (\text{ind } g_i)eg_i e$  is a basis for  $E$ . Moreover if  $a_i a_j = \sum_k \alpha_{ijk} a_k$ , then the constants of structure  $\alpha_{ijk}$  are all algebraic integers in  $K$ .

Recall that  $H^{(g)} = g^{-1}Hg \cap H$ ,  $\text{ind } g = [H:H^{(g)}]$ , and  $\psi^g(h^g) = \psi(h)$  for  $h \in H, g \in G$ .

### 1. Modular endomorphism ring

Clearly  $N$  is an  $(E, KG)$ -bimodule. By Theorem 0.2, the set  $E'$  of all  $R$ -linear combinations of the elements  $\{a_i\}$  is an  $R$ -order in  $E$ . Our aim is to reverse the idea of Proposition 0.1 and identify in  $N$  a right  $RG$ -module  $N'$  whose endomorphism ring is  $E'$ .

(1.1) LEMMA. Let  $G = \cup Hx_i$  (disjoint),  $x_i = 1$ . Then  $N = eKG$  has a  $K$ -basis  $\mathfrak{B} = \{ex_i\}$ . Let  $N' = \sum R ex_i$ , i.e.,  $N'$  is all  $R$ -linear combinations of elements of  $\mathfrak{B}$ . Then restricting the domains of operators on the left and right to  $E'$  and  $RG$  respectively,  $N'$  is an  $(E', RG)$ -bimodule.

Proof. Since  $G = \cup Hx_i$  and  $N = eKG, M^g \simeq N = \sum Mx_i$  (direct sum). But  $M = eKH = K \cdot e$  because  $M$  is one dimensional. Hence  $N = \sum K \cdot ex_i$  (direct sum) and  $\mathfrak{B}$  is a  $K$ -basis. Now suppose  $g \in G$  and  $ex_i \in \mathfrak{B}$ . Write  $x_i g = hx_j$  for  $h \in H$ ; then

$$(ex_i)g = e(x_i g) = e(hx_j) = (eh)x_j = \psi(h) \cdot ex_j \in R \cdot ex_j \subset N'.$$

Thus  $N'$  is a right  $RG$ -module. Finally we compute the action of  $E'$  on elements of  $\mathfrak{B}$ . For  $f \in E'$ , if  $f(e) \in N'$  then  $f(ex_i) = f(e)x_i \in N'$  since  $N'$  is a right  $RG$ -module. Hence it suffices to check what elements of  $E'$  do to  $e$ . Observe that  $E = eKGe$  acts on  $N$  by left multiplication. Suppose  $a_j \in E'$  is an  $R$ -basis element. Then  $a_j = (\text{ind } g)ege$  where we write  $g_j = g$  to simplify notation. Consider  $a_j e = (\text{ind } g)ege^2 = a_j$ . Then  $a_j \in eKG = N$ . Let  $H = \cup H^{(g)} h_k$  (disjoint). Now  $e = |H|^{-1} \sum_{h \in H} \psi(h^{-1})h$  so that

$$\begin{aligned} a_j &= (\text{ind } g)eg\{|H|^{-1} \sum_{h \in H} \psi(h^{-1})h\} \\ &= |H^{(g)}|^{-1}eg\{\sum_{h \in H} \psi(h^{-1})h\} \\ &= |H^{(g)}|^{-1}eg\{\sum_{h \in H^{(g)}, k} \psi(h_k^{-1} h^{-1})hh_k\} \\ &= |H^{(g)}| \{ \sum_{h \in H^{(g)}, k} \psi(h_k^{-1})\psi(h^{-1})eghh_k \} \\ &= |H^{(g)}|^{-1} \{ \sum_{h \in H^{(g)}, k} \psi(h_k^{-1})\psi(h^{-1})eh^{\sigma^{-1}}gh_k \} \\ &= |H^{(g)}|^{-1} \{ \sum_{h \in H^{(g)}, k} \psi(h_k^{-1})\psi(h^{-1})\psi(h^{\sigma^{-1}})egh_k \} \\ &\hspace{15em} (\text{since } h^{\sigma^{-1}} \in H) \\ &= \sum_k \psi(h_k^{-1})egh_k \{|H^{(g)}|^{-1} \sum_{h \in H^{(g)}} \psi(h^{-1})\psi^{\sigma}(h)\}. \end{aligned}$$

But  $\psi^\theta = \psi$  on  $H^{(\theta)}$  so by the usual orthogonality relations,

$$|H^{(\theta)}|^{-1} \sum_{h \in H^{(\theta)}} \psi(h^{-1})\psi^\theta(h) = 1.$$

Thus

$$(1.2) \quad a_j e = a_j = \sum_k \psi(h_k^{-1}) e g h_k \in N'$$

since each  $\psi(h_k^{-1}) \in R$  and each  $e g h_k \in N'$ . Now  $E'$  is generated over  $R$  by the set  $\{a_j\}$ , so (1.2) shows that  $N'$  is a left  $E'$ -module. Clearly then  $N'$  is an  $(E', RG)$ -bimodule, as desired.

Observe that  $N' = eRG$  is a subset of  $eKG$ , and  $N'$  is independent of the choice of coset representatives. We will assume that  $N'$  and  $E'$  (see the beginning of this section) are fixed in what follows.

(1.3) LEMMA.  *$N'$  is a faithful left  $E'$ -module.*

*Proof.* Suppose  $f \in E' \subset E = \text{End}_{KG}(N)$ . If  $fN' = 0$  then  $0 = K \cdot fN' = f \cdot KN' = fN$  so  $f = 0$  since  $N$  is clearly a faithful left  $E$ -module. This proves the lemma.

Let  $\theta$  be any  $RG$ -endomorphism of  $N'$ . Then by (0.1) there exists a unique  $KG$ -endomorphism  $\theta^N$  of  $N$  which extends  $\theta$  such that  $\theta^N(kn) = k\theta(n)$  for  $k \in K, n \in N'$ .

(1.4) LEMMA. *Let  $\theta \in \text{End}_{RG}(N')$  and write  $\theta^N = \sum_{j \in J} \beta_j a_j, \beta_j \in K$ , where  $\theta^N$  is the extension of  $\theta$  to a  $KG$ -endomorphism of  $N$ . Then each  $\beta_j \in R$  so that  $\theta^N \in E'$ .*

*Proof.* Since  $\theta^N$  extends  $\theta$  and  $e \in N'$ ,

$$\sum_{j \in J} \beta_j a_j = \sum_{j \in J} \beta_j a_j(e) = \theta^N(e) \in N'.$$

By (0.2) the support of  $a_j$  lies in the double coset  $Hg_jH$  (viewing elements in  $KG$  as functions from  $G$  to  $K$ ). For  $i \neq j$  the support of  $a_i$  is disjoint from the support of  $a_j$ . Thus in examining  $\sum_{j \in J} \beta_j a_j$  we need only consider one  $(H, H)$ -double coset at a time. Let  $j$  be fixed and write  $g = g_j$ . By (1.2), we have  $a_j = \sum_k \psi(h_k^{-1}) e g h_k$  where  $H = \cup H^{(\theta)} h_k$  (disjoint). For each  $k$  write  $g h_k = d_k x_{i(k)}, d_k \in H$ , where  $G = \cup H x_i$  (disjoint) as in Lemma 1.1. (Then we also know that  $\mathfrak{B} = \{e x_i\}$  is an  $R$ -basis for  $N'$ .) We then obtain

$$a_j = \sum_k \psi(h_k^{-1}) e g h_k = \sum_k \psi(h_k^{-1}) \psi(d_k) e x_{i(k)}.$$

Now  $H x_{i(k)} = H x_{i(m)}$  implies  $H g h_k = H g h_m$  which implies  $h_k$  and  $h_m$  are in the same right coset of  $H^{(\theta)}$ , so  $k = m; k \rightarrow i(k)$  is therefore one-to-one. Now since  $\mathfrak{B}$  is an  $R$ -basis for  $N'$  and  $\sum_j \beta_j a_j \in N'$  the above formula implies that  $\beta_j a_j \in N'$  for each  $j$ . Clearly

$$\beta_j a_j = \sum_k \beta_j \psi(h_k^{-1}) \psi(d_k) e x_{i(k)},$$

so since  $\mathfrak{B} = \{e x_i\}$  is an  $R$ -basis for  $N'$  and  $k \rightarrow i(k)$  is one-to-one, each  $\beta_j \psi(h_k^{-1}) \psi(d_k) \in R$ . But  $\psi(h_k^{-1}) \psi(d_k)$  is a unit in  $R$  for each  $k$ , and so each  $\beta_j \in R$ . This shows that  $\theta^N \in E'$ , and proves the lemma.

The preceding lemmas combine to prove the following:

(1.5) THEOREM.  $E' \cong \text{End}_{RG}(N')$ .

*Proof.* For  $f \in E' \subset E$  define the restriction  $f_{N'}$  of  $f$  to  $N'$ . By (1.1),  $f_{N'} \in \text{End}_{RG}(N')$ . Lemma 1.3 implies that  $f \rightarrow f_{N'}$  is a monomorphism. Finally (1.4) shows that the mapping is onto  $\text{End}_{A'}(N')$ . This proves the theorem.

For the remainder of the paper we set  $E'' = E'/PE'$ , the  $P$ -residue class algebra of  $E'$ , and  $N'' = N'/PN'$ .

It is obvious that  $N''$  is an  $(E'', FG)$ -bimodule since  $FG \simeq RG/PG$ . The following allows us to identify  $E''$  as a subalgebra of  $\text{End}_{FG}(N'')$ .

(1.6) LEMMA.  $N''$  is a faithful left  $E''$ -module.

*Proof.* Since  $R$  is a principal ideal domain,  $P = \pi R$  for some  $0 \neq \pi \in R$ . Thus  $E'' = E'/\pi E'$ ,  $N'' = N'/\pi N'$ , etc. Suppose  $\theta + \pi E' \in E''$  with  $\theta \in E' \subset E$  and assume  $(\theta + \pi E')N'' = 0$ , i.e.,  $\theta N' \subset \pi N'$ . Consider  $\pi^{-1}\theta \in E$ . Then  $(\pi^{-1}\theta)N' \subset \pi^{-1}(\pi N') = N'$  so by the proof of (1.4),  $\pi^{-1}\theta \in E'$ . But then  $\theta = \pi(\pi^{-1}\theta) \in \pi E'$  so  $\theta + \pi E' = 0$  in  $E''$ . We conclude that  $N''$  is faithful.

(1.7) COROLLARY. There is an algebra monomorphism of  $E''$  into  $\text{End}_{FG}(N'')$ .

We wish to know the structure of  $\text{End}_{FG}(N'')$  in order to examine the structure of  $N''$ . In particular we would like to know when the monomorphism of (1.7) is actually an isomorphism. This is just a dimensionality problem which we proceed to settle.

Since  $\psi$  defined on  $H$  has values in  $R$  we can consider the residue class function  $\varphi : H \rightarrow F^*$  defined by  $\varphi(h) = \psi(h) + P$ . (Each  $\psi(h)$  is a unit in  $R$  so  $\psi(h) \notin P$  for all  $h \in H$ .) Clearly  $\varphi$  is a linear representation of  $H$  in  $F = R/P$ . Moreover  $M''$  is a right  $FH$ -module which affords the representation  $\varphi$  defined above, where  $M' = eRH$  and  $M'' = M'/PM'$ .

(1.8) LEMMA. As right  $FG$ -modules,  $(M'')^G \cong N''$ .

*Proof.* By definition,  $(M'')^G = M'' \otimes_{FH} FG$ . Define

$$f : M'' \times FG \rightarrow N''$$

via  $f(re + PM', a) = (re + PN')a, r \in R, a \in FG$ . (Recall that  $M' = R \cdot e$ .) This is well defined since  $PM' \subset PN'$ . Clearly  $f$  is  $FH$ -balanced. Thus there is an  $FG$ -homomorphism

$$\hat{f} : M'' \otimes_{FH} FG \rightarrow N''.$$

But  $N''$  is generated over  $FG$  by  $e + PN' = \hat{f}(e + PM' \otimes 1)$  so  $\hat{f}$  is an epimorphism. Finally since  $M''$  is one dimensional over  $F$ , the dimension  $((M'')^G : F)$  is just  $[G:H]$  which in turn is the dimension  $(N'' : F)$ . Thus  $\hat{f}$  is an isomorphism.

(1.9) COROLLARY. *The  $F$ -dimension of  $\text{End}_{FG}(N'')$  is the number of  $(H, H)$ -double cosets  $HgH$  in  $G$  such that  $\varphi^g = \varphi$  on  $H^{(g)}$ .*

*Proof.* Since  $N'' \cong (M''^G)$  by (1.8) and  $M''$  has character  $\varphi$  we may apply the Intertwining Number Theorem [3, (44.5)] to obtain the desired result.

(1.10) THEOREM. *The following statements are equivalent:*

- (a) *The  $F$ -algebras  $E''$  and  $\text{End}_{FG}(N'')$  are isomorphic.*
- (b) *For each  $g \in G$ , if  $\varphi^g = \varphi$  on  $H^{(g)}$  then  $\psi^g = \psi$  on  $H^{(g)}$ .*
- (c) *For each  $g \in G$ , if  $\psi^g = \psi$  on the  $p$ -regular elements of  $H^{(g)}$ , then  $\psi^g = \psi$  on  $H^{(g)}$ .*

*Proof.* By (1.7),  $E'' \simeq \text{End}_{FG}(N'')$  if and only if the dimensions  $(E'' : F)$  and  $(\text{End}_{FG}(N'') : F)$  are equal. But  $(E'' : F) = (E : K)$  and by (0.2) this is the number of double cosets  $HgH$  for which  $\psi^g = \psi$  on  $H^{(g)}$ . Clearly  $\psi^g = \psi$  on  $H^{(g)}$  implies  $\varphi^g = \varphi$  on  $H^{(g)}$ , so that by Corollary 1.9,  $E'' \simeq \text{End}_{FG}(N'')$  if and only if (b) holds.

Let  $m$  be the  $p'$ -part of  $|H|$ . By assumption,  $K$  contains a primitive  $m^{\text{th}}$  root of unity (contained also in  $R$ ) which reduces modulo  $P$  to a primitive  $m^{\text{th}}$  root of unity in  $F$ . Moreover  $w \leftrightarrow w + P$  is a group isomorphism of  $m^{\text{th}}$  roots of unity between  $K$  and  $F$ .

Assume first that  $\varphi^g = \varphi$  on  $H^{(g)}$ . If  $h \in H^{(g)}$  is  $p$ -regular then  $\psi^g(h)$  and  $\psi(h)$  are  $m^{\text{th}}$  roots of units in  $K$  such that

$$\psi^g(h) + P = \varphi^g(h) = \varphi(h) = \psi(h) + P.$$

By the isomorphism  $w \leftrightarrow w + P$  we conclude that  $\psi^g(h) = \psi(h)$ . Therefore  $\psi^g = \psi$  on the  $p$ -regular elements of  $H^{(g)}$ . On the other hand assume  $\psi^g = \psi$  on the  $p$ -regular elements of  $H^{(g)}$ . Choose any  $h \in H^{(g)}$  and write  $h = h_1 h_2$  where  $h_1$  is  $p$ -regular and  $h_2$  is  $p$ -singular. Since both  $\varphi^g$  and  $\varphi$  are homomorphisms of  $H^{(g)}$  into  $F$  and  $F$  has characteristic  $p$ , both contain the  $p$ -singular elements in their kernels. Therefore

$$\varphi^g(h) = \varphi^g(h_1) = \psi^g(h_1) + P = \psi(h_1) + P = \varphi(h_1) = \varphi(h),$$

so

$$\varphi^g = \varphi \text{ on } H^{(g)}.$$

This proves the equivalence of (b) and (c)

(1.11) COROLLARY. *If  $p$  is relatively prime to  $|H|$  then  $E'' \simeq \text{End}_{FG}(N'')$ .*

(1.12) COROLLARY. *If  $H$  is a  $p$ -group then  $E'' \simeq \text{End}_{FG}(N'')$  if and only if  $\psi^g = \psi$  on  $H^{(g)}$  for all  $g \in G$ .*

*Examples.* Let  $G$  be a group and suppose  $h$  is an element of  $G$  of order  $p$ . Let  $H$  be the subgroup of  $G$  generated by  $h$  and assume  $C_G(H) = N_G(H)$ , where  $C_G(H)$  and  $N_G(H)$  are the centralizer and normalizer of  $H$ , respectively. Then for each  $g \in G$  either  $h^g = h$  or  $H^{(g)} = \{1\}$ . (Note that  $C_G(H) = N_G(H)$  if  $G$  is a  $p$ -group.) Thus for  $\psi$  any linear  $KH$ -character,  $\psi^g = \psi$  on  $H^{(g)}$  for all  $g \in G$ . Note that the corresponding  $FH$ -character  $\varphi$  is the 1-character

since  $H$  is a  $p$ -group. Thus there may be many  $KH$ -characters  $\psi$  which reduce to the same  $FH$ -character  $\varphi$ .

Now let  $G$  be the dihedral group of order 8,  $H$  the cyclic normal subgroup of order 4. Let  $\chi$  be the irreducible  $KG$ -character of degree 2. Then  $\chi_H = \psi + \psi^g$  for  $\psi$  some linear character of  $H$  and  $g \in G, g \notin H$ . Moreover  $\psi^g \neq \psi$ . By Corollary 1.12,  $E'' \not\cong \text{End}_{FG}(N'')$  for  $p$  equal to 2, since in this case  $H$  is a 2-group.

We show, as a sort of converse to the preceding development, that if we start with a linear representation  $\varphi$  of  $H$  in  $F$  there is a representation  $\psi$  of  $H$  in  $K$  such that  $\psi$  reduces modulo  $P$  to  $\varphi$  and which satisfies the compatibility condition (c) of Theorem 1.10.

(1.13) PROPOSITION. *Let  $\varphi$  be a linear  $FH$ -character. Then there exists a linear  $KH$ -character  $\psi$  such that  $\psi(h) + P = \varphi(h)$  for all  $h \in H$  and which satisfies condition (c) in (1.10).*

*Proof.* Let  $H'$  be the derived group of  $H$  and write  $H/H' = H_1 \oplus H_2$  where  $|H_1|$  is prime to  $p$  and  $|H_2|$  is a power of  $p$ . Since  $\varphi$  is a linear character of  $H$ ,  $\varphi$  factors through  $H/H'$ . Also  $\varphi(h_2) = 1$  for all  $h_2 \in H_2$  since  $H_2$  is a  $p$ -group and  $F$  has characteristic  $p$ . The elements of  $H_1$  are all  $p$ -regular, so to each  $h_1 \in H_1$  we correspond  $\psi(h_1) \in K$  uniquely defined by  $\psi(h_1) + P = \varphi(h_1)$ . (See the proof of Theorem 1.10.) Since  $w \leftrightarrow w + P$  is a group-isomorphism between the  $|H_1|$ <sup>th</sup> roots of unity in  $K$  and  $F$ ,  $\psi : H_1 \rightarrow K$  is a homomorphism. This pulls back to a homomorphism  $\psi : H \rightarrow K$  in the natural way. Clearly  $\psi$  is determined by what it does to the  $p'$ -elements of  $H$ , so if  $\psi^g = \psi$  on the  $p$ -regular elements of  $H^{(g)}$ , then  $\psi^g = \psi$  on  $H^{(g)}$ . Notice that  $\psi(h) + P = \varphi(h)$  for all  $h \in H$  by construction, concluding the proof.

The reduction to the residue class algebras given above enable us to examine the representation induced from a linear representation of  $H$  at characteristic  $p$  by looking at the corresponding situation at characteristic zero: Proposition 1.13 shows how to construct a suitable representation at characteristic zero, and Theorem 0.2 gives the structure of the endomorphism ring.

### 2. Modular decomposition theory

Throughout this section we assume the hypotheses and notation of Section 1.

(2.1) LEMMA.  *$M''(N'')$  is isomorphic to a right ideal in  $FH$  (respectively  $FG$ ).*

*Proof.* Let  $x = \sum_{h \in H} \varphi(h^{-1})h \in FH$ . Since  $\varphi$  is linear,  $xFH = xF$  and is isomorphic to  $M''$ . Similar to the proof of (1.8) we have that  $xFG \cong (xFH)^g \cong (M'')^g \cong N''$ .

(2.2) THEOREM. *The right  $FG$ -module  $N''$  is  $FG$ -projective if and only if  $p$  is relatively prime to  $|H|$ .*

*Proof.* If  $p$  is relatively prime to  $|H|$  then  $\varepsilon = |H|^{-1}x$  is an idempotent in  $FH$ —where  $x$  is defined in the proof of (2.1)—and  $\varepsilon FH = xFH \cong M''$ . But then  $N'' \cong (M'')^{\mathcal{G}} \cong \varepsilon FH$  which is  $FG$ -projective. Conversely suppose  $N''$  is  $FG$ -projective. Then  $N''$  is an  $FG$ -direct summand of a finitely generated free  $FG$ -module, say  $N'' \oplus N_1 \cong \sum_{\alpha} FG$  (direct sum). But  $(FG)_H$  is a free  $KH$ -module so that  $(N'')_H \oplus (N_1)_H \cong \sum_{\beta} FH$  (direct sum). Thus  $(N'')_H$  is  $FH$ -projective. By [3, (63.6)],  $M''$  is an  $FH$ -direct summand of  $(N'')_H \cong ((M'')^{\mathcal{G}})_H$ . Hence  $M''$  is  $FH$ -projective. Now  $FH$  is quasi-Frobenius so  $M''$  is  $FH$ -injective [3, (58.14)], and since  $M''$  is isomorphic to the right ideal  $xFH$  of  $FH$  as in the proof of (2.1) we may conclude that  $xFH = xF$  is a direct summand of  $FH$ . Thus there is a non-zero idempotent  $\varepsilon$  in  $xF$  such that  $\varepsilon FH = xF$ , say  $\varepsilon = x\alpha$  for some  $\alpha \in F$ . But then

$$x\alpha = \varepsilon = \varepsilon^2 = x^2\alpha^2 = |H| \cdot x\alpha^2$$

(since  $x^2 = |H| \cdot x$ ) and  $\varepsilon \neq 0$  so  $|H| \neq 0$  in  $F$ . Thus  $p$  is relatively prime to  $|H|$ . This proves the lemma.

We next consider the relationships between the various  $E$ -,  $E'$ -, and  $E''$ -modules. For convenience, define  $A = KG, A' = RG, A'' = A'/PA' \cong FG$ . Recall that, by hypothesis,  $R$  is a complete local ring. The point of view here is influenced by Swan [4], and roughly parallels the theory for group-algebras.

Let  ${}_{E''}\mathcal{P}$  and  ${}_{E'}\mathcal{P}$  denote the categories of finitely generated projective left  $E''$ - and  $E'$ -modules respectively,  ${}_{E''}\mathcal{M}$  and  ${}_{E'}\mathcal{M}$  the categories of all finitely generated left  $E$ - and  $E''$ -modules respectively. Similarly define  ${}_{A''}\mathcal{P}$ , etc. For  $S$  any ring and  $\mathfrak{X}$  a category of  $S$ -modules let  $\mathcal{G}(\mathfrak{X})$  denote the Grothendieck group of  $\mathfrak{X}$ , i.e., the abelian group generated by all  $[T]$  with  $T \in \mathfrak{X}$  and with relations  $[T] = [U] + [V]$  whenever there is an exact sequence  $0 \rightarrow U \rightarrow T \rightarrow V \rightarrow 0$  of  $S$ -modules in  $\mathfrak{X}$ .

(2.3) *Construction.* Consider the  $(E'', A'')$ -bimodule  $N''$ . Then  $(N'')^* = \text{Hom}_{A''}(N'', A'')$  is an  $(A'', E'')$ -bimodule. Moreover the functors

$$U'' = N'' \otimes_{A''} \_ \quad \text{and} \quad V'' = (N'')^* \otimes_{E''} \_$$

take  $A''$ -modules to  $E''$ -modules and  $E''$ -modules to  $A''$ -modules respectively. Similarly define  $U = N \otimes_{A'} \_$  and  $V = N^* \otimes_{E'} \_$  where  $N^* = \text{Hom}_A(N, A)$ .

Consider the rectangle

$$\begin{array}{ccc} \mathcal{G}({}_{E''}\mathcal{P}) & \xrightarrow{c'} & \mathcal{G}({}_{E''}\mathcal{M}) \\ \mathbf{T}' \quad \downarrow e'_1 & & \uparrow d' \\ \mathcal{G}({}_{E'}\mathcal{P}) & \xrightarrow{e'_2} & \mathcal{G}({}_{E'}\mathcal{M}) \end{array}$$

defined as follows:

- (a) For  $Q \in {}_{E''}\mathcal{P}$  define  $c'([Q])$  to be  $[Q]$ , viewed as an element of  $\mathcal{G}({}_{E''}\mathcal{M})$ .

(b) For  $Q \in {}_{E'}\mathcal{P}$  let  $Q'$  be the projective  $E'$ -module such that  $Q' + PQ' \cong Q$  (see [3, (77.11)]), and define  $e_1'([Q]) = [Q']$ .

(c) For  $Q' \in {}_{E'}\mathcal{P}$  define  $e_2'([Q']) = [K \otimes_R Q']$ , an element of  $\mathfrak{G}({}_{E'}\mathfrak{M})$ .

(d) For  $L \in {}_{E'}\mathfrak{M}$  let  $L'$  be any  $R$ -free order  $E'$ -module contained in  $L$ , and define  $d'([L]) = [L' + PL']$  in  $\mathfrak{G}({}_{E'}\mathfrak{M})$ .

The above maps are all well defined and the rectangle commutes. (See [3, Chapter 12]).

Now consider the rectangle

$$\begin{array}{ccc}
 & \mathfrak{G}({}_{A'}\mathcal{P}) & \xrightarrow{c} & \mathfrak{G}({}_{A'}\mathfrak{M}) \\
 \mathbf{T} & e_1 \downarrow & & \uparrow d \\
 & \mathfrak{G}({}_{A'}\mathcal{P}) & \xrightarrow{e_2} & \mathfrak{G}({}_{A'}\mathfrak{M})
 \end{array}$$

defined analogously.

We attempt to relate the rectangles  $\mathbf{T}$  and  $\mathbf{T}'$  using the functors  $U''$ ,  $V''$ ,  $U$ , and  $V$ . First note that  $N = eA$  and so  $N^* \cong Ae$ . (Therefore  $N^*$  is left  $A$ -projective.) Moreover  $U(L) = N \otimes_{A'} L \cong eA \otimes_{A'} L \cong eL$  as left  $E$ -modules,  $E = eAe$ . If we define  $\hat{U}$  from  $\mathfrak{G}({}_{A'}\mathfrak{M})$  to  $\mathfrak{G}({}_{E'}\mathfrak{M})$  via  $\hat{U}([L]) = [eL] = [U(L)]$  we have an epimorphism [2, Theorem 1.1] of abelian groups. Moreover  $\hat{V}$  from  $\mathfrak{G}({}_{E'}\mathfrak{M})$  to  $\mathfrak{G}({}_{A'}\mathfrak{M})$  via  $\hat{V}([L]) = [V(L)]$  is a splitting map for  $\hat{U}$ ; i.e., the composition  $\hat{U} \circ \hat{V}$  is the identity map of  $\mathfrak{G}({}_{E'}\mathfrak{M})$ .

To relate the rectangles  $\mathbf{T}$  and  $\mathbf{T}'$  further we desire to factor the map  $c'$  in some way through  $c$ , using the functors  $U''$  and  $V''$ .

(2.4) LEMMA. *The following are equivalent:*

- (a) *The functor  $V''$  takes projective  $E''$ -modules to projective  $A''$ -modules.*
- (b) *The functor  $U''$  takes exact sequences of  $A''$ -modules to exact sequences of  $E''$ -modules.*
- (c)  *$N''$  is right  $A''$ -projective.*
- (d)  *$N''$  is right  $A''$ -flat.*
- (e) *The prime  $p$  is relatively prime to  $|H|$ .*

*Proof.* The equivalence of (c) through (e) follows from Theorem 2.2 and the fact that in Artinian rings flat is equivalent to projective (see [1, Theorem 3.3 (c)]). The equivalence of (b) and (d) is by definition. Now suppose  $V''$  takes projective  $E''$ -modules to projective  $A''$ -modules. Then in particular  $V''(E'') = (N'')^* \otimes_{E''} E'' \cong (N'')^*$  is left  $A''$ -projective. But then  $N'' \cong ((N'')^*)^*$  is right  $A''$ -projective. Thus (a) implies (c). Conversely suppose  $N''$  is right  $A''$ -projective. Then by (2.2),  $p$  is relatively prime to  $|H|$  and so  $\varepsilon = e + PA'$  is an idempotent in  $A''$ , and  $\varepsilon A'' \cong N''$ . Thus  $E'' \cong \varepsilon A'' \varepsilon$  and  $(N'')^* \cong A'' \varepsilon$ . Let  $f$  be an idempotent in  $E'' = \varepsilon A'' \varepsilon$  (by identification). Then

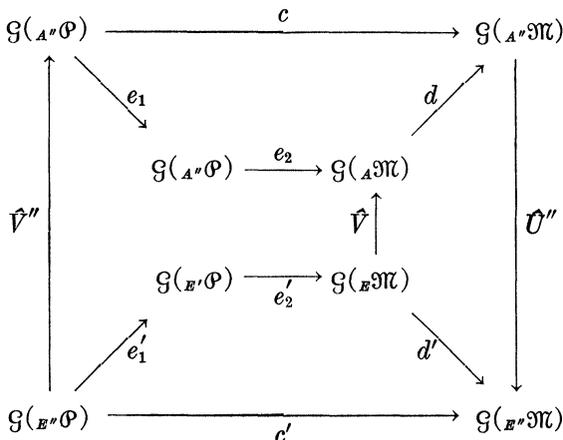
$$V''(E''f) = (N'')^* \otimes_{E''} E''f \cong A'' \varepsilon \otimes_{\varepsilon A'' \varepsilon} (\varepsilon A'' \varepsilon)f \cong A''f,$$

which is clearly  $A''$ -projective. Thus (c) implies (a). This proves the theorem.

(2.5) THEOREM. *The maps*

$$\hat{U}'' : \mathfrak{G}_{(A^* \mathfrak{M})} \rightarrow \mathfrak{G}_{(E^* \mathfrak{M})} \quad \text{and} \quad \hat{V}'' : \mathfrak{G}_{(E^* \mathcal{P})} \rightarrow \mathfrak{G}_{(A^* \mathcal{P})}$$

given by  $\hat{U}''([L]) = [U''(L)]$  and  $\hat{V}''([Q]) = [V''(Q)]$  are well defined if and only if  $p$  is relatively prime to  $|H|$ , and in this case the following diagram commutes:



*Proof.* The first part of the theorem follows immediately from (2.4). Commutativity is easy to check.

One can interpret Theorem 2.5 as giving some information about the transformations  $c'$  and  $d'$  in terms of the corresponding transformations  $c$  and  $d$ . One can also use the above relationships to obtain information about the block decomposition of  $E''$  in terms of the decomposition in  $A''$ .

(2.6) COROLLARY. *Let  $M_1, \dots, M_r$  and  $N_1, \dots, N_s$  be complete sets of (non-isomorphic) simple modules in  ${}_A \mathfrak{M}$  and  ${}_{A^*} \mathfrak{M}$ , respectively, arranged so that*

$$U(M_1), \dots, U(M_r) \quad \text{and} \quad U''(N_1), \dots, U''(N_s)$$

*are complete sets of simple modules in  ${}_E \mathfrak{M}$  and  ${}_{E^*} \mathfrak{M}$ , respectively. Then*

$$[M_1], \dots, [M_r] \quad \text{and} \quad [N_1], \dots, [N_s]$$

*are bases for  $\mathfrak{G}_{(A^* \mathfrak{M})}$  and  $\mathfrak{G}_{(E^* \mathfrak{M})}$ , respectively, and if*

$$d[M_i] = \sum_{j=1}^s d_{ij}[N_j] \quad (1 \leq i \leq r)$$

*then*

$$d'[U(M_i)] = \sum_{j=1}^{s'} d_{ij}[U''(N_j)] \quad (1 \leq i \leq r')$$

*Proof.* By (2.5),  $d' = \hat{U}'' d \hat{V}$ , so that for  $1 \leq i \leq r'$ ,

$$\begin{aligned}
 d'[U(M_i)] &= \hat{U}'' d \hat{V}[U(M_i)] \\
 &= \hat{U}'' d[VU(M_i)] \\
 &= \hat{U}'' d[M_i]
 \end{aligned}$$

$$\begin{aligned}
 &= \mathcal{O}'' \sum_{j=1}^s d_{ij}[N_j] \\
 &= \sum_{j=1}^{s'} d_{ij}[U''(N_j)].
 \end{aligned}$$

Here one checks that  $VU(M_i) \simeq M_i$  for  $1 \leq i \leq r'$ , and that  $U''(N_j) = 0$  unless  $1 \leq j \leq s'$ .

(2.7) COROLLARY. *Let  $N_1, \dots, N_s$  be as in (2.6), and let  $Q_1, \dots, Q_s$  be a complete set of (non-isomorphic) indecomposable modules in  ${}_A\mathcal{P}$ , arranged so that  $Q_i/JQ_i \simeq N_i$  for  $1 \leq i \leq s$  ( $J = J(A'')$ ). Then  $U''(Q_1), \dots, U''(Q_{s'})$  is a complete set of indecomposable modules in  ${}_{E'}\mathcal{P}$ , and if*

$$c[Q_i] = \sum_{j=1}^s c_{ij}[N_j] \quad (1 \leq i \leq s)$$

then

$$c'[U''(Q_i)] = \sum_{j=1}^{s'} c_{ij}[U''(N_j)] \quad (1 \leq i \leq s').$$

*Proof.* Similar to the proof of (2.6).

*Example.* Let  $G = D_6$ , the dihedral group of order 12, generated by elements  $a$  and  $b$  with relations  $a^6 = b^2 = baba = 1$ . Let  $H = \{1, b\}$ , a subgroup of order 2. For  $K$  take  $\mathbf{Q}(w)$ ,  $w$  a primitive 12<sup>th</sup> root of 1, so that  $K$  is a splitting field for  $KG$ . Finally, let  $p = 3$ . Then  $|H| = 2$ , and 2 is prime to 3 so the theorems of this section apply.

Let  $\psi$  be the 1-character of  $H$ ; then  $e = 1/2(1 + b)$  is the idempotent in  $KH$  which corresponds to  $\psi$ , and  $eKGe = E$  is the endomorphism ring of  $eKG$ .

$A = KG$  has 6 simple left modules, say  $M_1, \dots, M_6$ , four of dimension one and two of dimension two. Of these, two one-dimensional modules, say  $M_1$  and  $M_2$ , and both two-dimensional modules, say  $M_3$  and  $M_4$ , map to simple  $E$ -modules under  $U$ . Now  $A'' = FG$  has four simple modules, say  $N_1, \dots, N_4$ , all of which are one dimensional, and all are "reduced" from the one-dimensional left  $A$ -modules  $M_1, M_2, M_5, M_6$ , at characteristic zero. Of these, two map to simple  $E''$ -modules under  $U''$ , namely  $N_1$  and  $N_2$  (those reduced from  $M_1$  and  $M_2$ ). Let  $Q_1, \dots, Q_4$  be the indecomposable projective left  $A''$ -modules, arranged so that  $Q_i/JQ_i \simeq N_i$  for  $1 \leq i \leq 4$ , where  $J = J(A'')$ .

Matrices for the maps  $c$  and  $d$  may be given as follows:

$$d = \begin{array}{c} \\ \\ \\ \\ \\ \\ \end{array} \begin{array}{c} \\ \\ \\ \\ \\ \\ \end{array} \begin{array}{c} N_1 \quad N_2 \quad N_3 \quad N_4 \\ \left[ \begin{array}{cc|cc} 1 & 0 & & \\ 0 & 1 & & \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ \hline & & 1 & 0 \\ & & 0 & 1 \end{array} \right] \end{array}$$

$$c = \begin{matrix} & N_1 & N_2 & N_3 & N_4 \\ \begin{matrix} Q_1 \\ Q_2 \\ Q_3 \\ Q_4 \end{matrix} & \left[ \begin{array}{cc|cc} 2 & 0 & 1 & 0 \\ 0 & 2 & 0 & 1 \\ \hline 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 2 \end{array} \right] \end{matrix}.$$

By (2.6) and (2.7), the corresponding matrices for  $c'$  and  $d'$  are merely the upper left-hand submatrices of the matrices for  $c$  and  $d$ —we have been careful to arrange the modules so that they appear in the proper order required by (2.6) and (2.7).

$$d' = \begin{matrix} & eN_1 & eN_2 \\ \begin{matrix} eM_1 \\ eM_2 \\ eM_3 \\ eM_4 \end{matrix} & \left[ \begin{array}{cc} 1 & 0 \\ 0 & 1 \\ 1 & 0 \\ 0 & 1 \end{array} \right] \end{matrix}$$

$$c' = \begin{matrix} & eN_1 & eN_2 \\ \begin{matrix} eQ_1 \\ eQ_2 \end{matrix} & \left[ \begin{array}{cc} 2 & 0 \\ 0 & 2 \end{array} \right] \end{matrix}$$

Here  $eL$  denotes  $U(L)$  or  $U''(L)$ , whichever is appropriate.

Observe from the matrix for  $c'$ , that  $E''$  has exactly two blocks. One checks that  $(E:K) = (E'':F) = 4$ , so each block of  $E''$  consists of a single indecomposable projective, and that each such indecomposable projective has exactly two one-dimensional composition factors (which are isomorphic).

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