

## A REMARK ON FIBRE HOMOTOPY EQUIVALENCES

BY

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### 1. Introduction

Let  $\mathcal{L}(E)$  denote the group of all (non-based) fibre homotopy classes of fibre homotopy equivalences of the fibration  $(E, p, B)$ . Y. Nomura [7] has studied this group when  $(E, p, B)$  is a principal fibre space in the restricted sense, and established some exact sequences.

In this note we study this group for the relative principal fibration

$$(P_f, p, B) \quad (p^{-1}(\ast) = \Omega Y),$$

and generalize Nomura's result. We obtained the following result.

**THEOREM.** *The following sequence of groups and maps is exact:*

$$1 \rightarrow \text{Ker } i^* \xrightarrow{\Delta} \mathcal{L}_0(P_f) \xrightarrow{J_0} \mathcal{E}(\Omega Y), \text{ where } i^*: [P_f, \Omega_D Z]_D \rightarrow [\Omega Y, \Omega Y].$$

*In particular, if  $Y = K(G, n + 1)$  ( $n \geq 1$ ), the sequence of groups and homomorphisms*

$$1 \rightarrow H^n(B; G) \xrightarrow{\Delta} \mathcal{L}_0(P_f) \xrightarrow{J_0} \mathcal{E}(\Omega Y) = \text{Aut } G$$

*is exact, where  $H^n(B; G)$  is the local coefficient cohomology induced by  $\phi: \pi_1(B) \rightarrow \text{Aut } G$  and  $\mathcal{L}_0(P_f) = \mathcal{L}(P_f)$  if  $n \geq 2$ .*

These results apply to a fairly large class of fibrations (cf. [6]), especially to the stage of Postnikov-systems of non-simple spaces and fibrations. Specific examples are worked out in Section 5.

All spaces have well-pointed base points. Maps and homotopies preserve base points unless otherwise stated. All spaces are assumed to have the homotopy type of a connected CW-complex.

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### 2. Based fibre homotopy equivalences

Let  $\mathcal{L}_0(E)$  denote the group of based fibre homotopy classes of based fibre homotopy equivalences of the fibration  $(E, p, B)$  ( $p^{-1}(\ast) = F$ ). Then we have the following:

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**PROPOSITION 2.1.**  $\pi_1(F)$  operates on  $\mathcal{L}_0(E)$  on the right, and  $\mathcal{L}(E)$  is isomorphic to the quotient.

*Proof.* This follows from standard arguments along the lines of [9, p. 380].

**3. Relative principal fibrations**

Let  $Z \rightarrow D$  be the arbitrary Hurewicz fibration; let  $Z \in \text{Top} (D = D)$  and  $P_D Z \rightarrow Z \in \text{Top} (D = D)$  be the canonical path-loop fibration in the category. Let  $B \in \text{Top} (* \rightarrow D)$  and  $f: B \rightarrow Z \in \text{Top} (* \rightarrow D)$ . Then  $f$  induces a fibration  $p: P_f = B \times_Z P_D Z \rightarrow B$ , which is called a relative principal fibration. This is a fibration with fibre  $\Omega Y$ , where  $Y$  is the fibre of  $Z \rightarrow D$  (cf. [4], [5], [15] and [1]).

$$\begin{array}{ccccc}
 \Omega Y & \longrightarrow & \Omega Y & & \\
 \downarrow i & & \downarrow & & \\
 P_f & \longrightarrow & P_D Z & \supset & \Omega_D Z \\
 \downarrow p & & \downarrow & & \\
 B & \xrightarrow{f} & Z & \longrightarrow & D
 \end{array}$$

**LEMMA 3.1** (cf. [13, Lemma 1.7]).  $P_f$  is well-pointed.

*Proof.* If  $Y$  is well-pointed, the mapping space  $Y^I$  is well-pointed [11, Lemma 4]. Also the fibre of the fibration

$$Y^I \rightarrow Y \times Y: \lambda \rightarrow (\lambda(0), \lambda(1)),$$

that is,  $\Omega Y$  is well-pointed since  $Y \times Y$  is well-pointed [11, Lemma 6]. Since  $\Omega Y$  and  $B$  are well-pointed,  $P_f$  is well-pointed [10, Theorem 12]. Q.E.D.

Because  $(P_f, p, B)$  is a relative principal fibration, we have a  $D$ -map  $v: \Omega_D Z \times_D P_f \rightarrow P_f$  that defines the following action for any  $B$ -space  $X$  (cf. [4] and [5]):

$$(3.2) \quad v_*: [X, \Omega_D Z]_D \times [X, P_f]_B \rightarrow [X, P_f]_B.$$

Also we have a  $D$ -map  $h: P_f \times_B P_f \rightarrow \Omega_D Z$ , which is called a relative primary difference (cf. [1]). The map  $h$  induces a map

$$(3.3) \quad h_*: [X, P_f]_B \times [X, P_f]_B \rightarrow [X, \Omega_D Z]_D.$$

Given a  $B$ -map  $v: X \rightarrow P_f$ , we define the mappings  $\Delta_v$  and  $\Gamma_v$  by

$$\Delta_v = v_* ( \ , [v] ) \quad \text{and} \quad \Gamma_v = h_* ( [v], \ ).$$

**PROPOSITION 3.4** (cf. [1, Theorem (2.2.11)]). Let  $u: X \rightarrow B$  be a (based) map. If  $u$  has a lifting  $v: X \rightarrow P_f$ , the map  $\Delta_v$  gives a bijection between  $[X, \Omega_D Z]_D$  and  $[X, P_f]_B$ .

*Proof.* It is easy to check that  $\Delta_v$  and  $\Gamma_v$  are inverse bijections. Q.E.D.

Let  $u = p: P_f \rightarrow B$  and  $v = 1: P_f \rightarrow P_f$ . Then we have the following corollary, which is a generalization to relative principal fibrations of the result of D. W. Kahn [3].

**COROLLARY 3.5** (cf. [3, Lemma 2.3]).  $\Delta_1$  gives a 1-1 correspondence between  $\mathcal{L}_0(P_f)$  and a subset of  $[P_f, \Omega_D Z]_D$ . Also  $\mathcal{L}_0(P_f)$  is equivalent to

$$\{[w] \in [P_f, \Omega_D Z]_D \mid i^*w + 1_{\Omega Y}: \Omega Y \rightarrow \Omega Y \text{ is a homotopy equivalence}\}.$$

Consider the following sequence of groups:

$$(3.6) \quad [\Omega Y, \Omega Y] \xleftarrow{i^*} [P_f, \Omega_D Z]_D \xleftarrow{p^*} [B, \Omega_D Z]_D.$$

We define the map  $\Delta: \text{Ker } [i^*: [P_f, \Omega_D Z]_D \rightarrow [\Omega Y, \Omega Y]] \rightarrow \mathcal{L}_0(P_f)$  by

$$\Delta(a) = v_*\{a, 1_{P_f}\} \quad (a \in [P_f, \Omega_D Z]_D).$$

$$\begin{array}{ccccc} \Omega Y & \xrightarrow{\{\bar{a}, 1\}} & \Omega Y \times \Omega Y & \xrightarrow{\bar{v}} & \Omega Y \\ \downarrow i & & \downarrow i & & \downarrow i \\ P_f & \xrightarrow{\{a, 1\}} & \Omega_D Z \times_D P_f & \xrightarrow{v} & P_f \\ \downarrow p & & \downarrow p & & \downarrow p \\ B & \xrightarrow{1} & B & \xrightarrow{1} & B \end{array}$$

Since  $\bar{a}: \Omega Y \rightarrow \Omega Y$  is null-homotopic, the restriction of the map  $v_*\{a, 1_{P_f}\}$  to the fibre  $\Omega Y$  is homotopic to the identity. Hence  $v_*\{a, 1_{P_f}\}$  is a fibre homotopy equivalence by the theorem of A. Dold [2, Theorem 6.3]. Since  $P_f$  is well-appointed by Lemma 3.1, this map is a based fibre homotopy equivalence (cf. [1, Lemma (1.1.9)]). Therefore the map  $\Delta$  is well defined.

We have the sequence of groups and maps

$$\text{Ker } i^* \xrightarrow{\Delta} \mathcal{L}_0(P_f) \xrightarrow{j_0} \mathcal{E}(\Omega Y),$$

where  $\mathcal{E}(\Omega Y)$  is a group of based homotopy classes of based homotopy equivalences of  $\Omega Y$ .

**PROPOSITION 3.7.** *The map  $\Delta$  is injective.*

*Proof.* By Corollary 3.5,  $\mathcal{L}_0(P_f)$  is equivalent to a subset of  $[P_f, \Omega_D Z]_D$ . By definition,  $\Delta$  is injective. Q.E.D.

**4. Proof of the theorem**

**THEOREM 4.1.**  $\text{Im } \Delta = \text{Ker } J_0$ .

*Proof.*  $J_0 \Delta = 1$  is evident. Take a based fibre homotopy equivalence  $g: P_f \rightarrow P_f$  such that  $J_0(g) \simeq 1 \text{ rel } *$ . By Proposition 3.4, there exists a  $D$ -map  $\omega: P_f \rightarrow \Omega_D Z$  such that  $v\{\omega, 1_{P_f}\}$  is based fibre homotopic to  $g$ . Then

$$v\{\omega i, i\} = v\{\omega, 1_{P_f}\}i \simeq gi = ig_0 \simeq i \quad ( : \text{ based fibre homotopic}).$$

By Proposition 3.4,  $v_*(\ , [i]): [\Omega Y, \Omega Y] = [\Omega Y, \Omega_D Z]_D \rightarrow [\Omega Y, P_f]_B$  is a bijection. Therefore  $\omega i \simeq * \text{ rel } *$ , that is,  $\omega \in \text{Ker } i^*$ . Q.E.D.

**THEOREM 4.2.**  $\Delta$  is a homomorphism of groups on the image of  $p^*: [B, \Omega_D Z]_D \rightarrow [P_f, \Omega_D Z]_D$ .

*Proof.* The proof is the same as that of Theorem 3.5 in [13] by using Lemma 1.6 in [15]. Q.E.D.

**THEOREM 4.3** (cf. [7, Theorem 2.2]). *The following sequence of groups and maps is exact:*

$$1 \longrightarrow \text{Ker } i^* \xrightarrow{\Delta} \mathcal{L}_0(P_f) \xrightarrow{J_0} \mathcal{E}(\Omega Y).$$

$\text{Im } J_0$  is the subgroup of all elements of  $\mathcal{E}(\Omega Y)$  which extend to the fibre homotopy equivalence from  $P_f$  to  $P_f$ .

Let  $\phi: \pi_1(B) \rightarrow \text{Homeo}(K(G, n + 1), *)$  be the action of  $\pi_1(B)$  on  $K(G, n + 1)$ . Let  $K = K(\pi_1(B), 1)$  and consider the universal covering  $\tilde{K} \rightarrow K$  and the usual action of  $\pi_1(B)$  on  $\tilde{K}$ . Then we have a Hurewicz fibration

$$K(G, n + 1) \longrightarrow L = \tilde{K} \times_{\pi_1(B)} K(G, n + 1) \xrightarrow{q} K$$

Since  $\tilde{K} \times_{\pi_1(B)} * = K$ , we have the canonical cross section  $s: K \rightarrow L$ . Hence  $L \in \text{Top}(K = K)$ . Let  $Z = L$  and  $D = K$  in Section 3. Then we have the following:

**COROLLARY 4.4.** *The following sequence of groups and homomorphisms is exact for  $n \geq 1$ :*

$$1 \longrightarrow H^n(B; G) \xrightarrow{\Delta} \mathcal{L}_0(P_f) \xrightarrow{J_0} \mathcal{E}(\Omega K(G, n + 1)) = \text{Aut } G,$$

where  $H^n(B; G)$  is the local coefficient cohomology induced by  $\phi: \pi_1(B) \rightarrow \text{Aut } G$ , and  $\mathcal{L}_0(P_f) = \mathcal{L}(P_f)$  if  $n \geq 2$ .

*Proof.* The following sequence is exact (cf. [4, p. 4]):

$$[\Omega Y, \Omega Y] \xleftarrow{i^*} [P_f, \Omega_K L]_K \xleftarrow{p^*} [B, \Omega_K L]_K \longleftarrow 0.$$

also  $[B, \Omega_K L]_K = H^n(B; G)$ . Apply Theorem 4.2. Q.E.D.

*Remark.* The above exact sequence seems to be closely related to the extension for the essential term  $E_1^{p-1}$  of the spectral sequence obtained by W. Shih [8].

**COROLLARY 4.5** [12, Proposition 2.9]. *If  $H^n(B; G) = 0$ , then the map  $J_0$  is monic.*

Let  $\{X_n\}$  be the Postnikov-system of a CW-complex  $X$ . Every  $X_n$  is well-pointed, since  $X_n$  is a mapping track of the inclusion  $X \rightarrow X_{n-1}$  (cf. [12, p. 219], [11, p. 439]). And every  $p_n: X_n \rightarrow X_{n-1}$  ( $p^{-1}(\ast) = F$ ) is a relative principal fibration (cf. [4]).

**THEOREM 4.6.** *The following sequence of groups and homomorphisms is exact for every  $n \geq 2$ :*

$$1 \longrightarrow H^n(X_{n-1}; \pi_n(X)) \xrightarrow{\Delta} \mathcal{L}(X_n) \xrightarrow{J_0} \mathcal{E}(F) = \text{Aut } \pi_n(X),$$

where  $\pi_1(X) = \pi_1(X_{n-1})$  acts on  $\pi_n(X)$  usually.  $\text{Im } J_0$  is contained in the equivariant subgroup of  $\text{Aut } \pi_n(X)$  under the action of  $\pi_1(X)$  on  $\pi_n(X)$ , and  $\mathcal{L}(X_n) = \mathcal{L}_0(X_n)$ .

Let  $\mathcal{E}_\#(X)$  be the group of all based homotopy classes of based homotopy-equivalences of  $X$  inducing the identity automorphisms of all homotopy groups.

**COROLLARY 4.7** [12, Theorem 1.3]. *Assume that the connected CW-complex  $X$  satisfies  $\pi_i(X) = 0$  ( $i > N$ ) or  $\dim X = N$ , for some integer  $N$ , and that the cohomology groups of the local coefficients are  $H^n(X_{n-1}; \pi_n(X)) = 0$  ( $1 < n \leq N$ ). Then  $\mathcal{E}_\#(X) = 1$ .*

*Proof.* Let  $f: X \rightarrow X$  be a based homotopy equivalence which induces the identity automorphisms of all homotopy groups. Then  $f$  induces a based homotopy equivalence  $f_n: X_n \rightarrow X_n$  for every  $n \geq 1$  up to homotopy (cf. [13, Proposition 2.3]). Every  $f_n$  induces the identity automorphisms of all homotopy groups by the definition of the Postnikov-system. Assuming  $\mathcal{E}_\#(X_{n-1}) = 1$ , then  $f_{n-1} \simeq 1$  and  $f_n$  can be deformed to a based fibre homotopy equivalence  $f'_n$ , that is,  $f'_n \in \mathcal{L}_0(X_n)$ . Since  $H^n(X_{n-1}; \pi_n(X)) = 0$ ,  $f'_n \simeq 1$  by Theorem 4.6. Hence  $f_n \simeq 1$ . Note that  $\mathcal{E}(X) = \mathcal{E}(X_N)$  and  $\mathcal{E}_\#(X_1) = \mathcal{E}_\#(K(\pi_1(X), 1)) = 1$ . The result is shown by induction on  $n$ . Q.E.D.

**COROLLARY 4.8.** *The following sequence of groups and homomorphisms is exact:*

$$1 \longrightarrow \mathcal{E}_\#(X_2) \longrightarrow \mathcal{L}(X_2) \xrightarrow{J_0} \text{Aut } \pi_2(X)$$

*Proof.* Let  $k \in H^3(X_1; \pi_2(X))$  be the Postnikov  $k$ -invariant. Then by [14, Theorem 2.2],  $\Delta: H^2(X_1; \pi_2(X)) \rightarrow \mathcal{E}_\#(X_2)$  is an isomorphism, where  $\Delta(a) = v_\ast(a, 1_{p_k})$  in (3.2). Q.E.D.

### 5. Examples

*Example 5.1.* If  $\pi_2(X_2) = Z_2$ , then  $\mathcal{L}_0(X_2) = \mathcal{L}(X_2) = H^2(\pi_1(X); Z_2)$ .

*Proof.*  $\text{Aut } Z_2 = 1$ , and use Corollary 4.8. Q.E.D.

In this example, if  $\pi_1(X) = Z$ , then  $\mathcal{L}(X_2) = \mathcal{L}_0(X_2) = 1$ .

*Example 5.2* (cf. [12, Example 4.1]). Let  $G$  be a finite group, which acts on the odd dimensional sphere  $S^{2n-1}$ , and let  $X = S^{2n-1}/G$ . Then  $\mathcal{L}_0(X_{2n-1}) = \mathcal{L}(X_{2n-1})$  is a subgroup of  $\text{Aut } Z = Z_2$ .

*Proof.* Apply Corollary 4.8, since  $\mathcal{E}_\#(X_2) = H^{2n-1}(G; Z) = 0$ . Q.E.D.

*Example 5.3* (cf. [12, Example 4.3]). If  $\pi_1(X)$  is a free group,  $\mathcal{L}_0(X_2) = \mathcal{L}(X_2)$  is a subgroup of  $\text{Aut } \pi_2(X)$ .

*Proof.* If  $\pi_1(X)$  is a free group,  $H^2(X_1; \pi_2(X)) = 0$ . Q.E.D.

*Example 5.4* (cf. [12, Example 4.4]). Let  $X$  be simple and acyclic. Then  $\mathcal{L}_0(X_n) = \mathcal{L}(X_n)$  is a subgroup of  $\text{Aut } \pi_n(X)$  for every  $n$ .

*Proof.* If  $X$  is simple and acyclic,  $H^n(X_{n-1}; \pi_n(X)) = 0$  for every  $n$ . Apply Theorem 4.6. Q.E.D.

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